

# KENTUCKY HORSE RACING COMMISSION

August 18, 2020

## AGENDA

**Location:** Kentucky Horse Racing Commission  
Conference Room  
4063 Iron Works Parkway, building B  
Lexington, KY 40511

**Time:** 1:30 pm

### I. Call to Order and Roll Call

### II. New Business

1. Minutes from April 21, 2020 and June 16, 2020
2. Ratification of Churchill Downs' 2020 Spring Meet KTDF Purse Structure
3. Kentucky Downs' September Meet Amended 2020 KTDF Purse Structure
4. Ellis Park's Summer Meet Amended 2020 KTDF Purse Structure
5. Churchill Downs' 2020 September Meet KTDF Purse Structure
6. Keeneland's 2020 Fall Meet KTDF Purse Structure
7. Ratification of Executive Director's Approval of Kentucky Derby Future Wagers
8. Derby City Gaming's Request for Approval of Software Updates to Six Scientific Game Corporation Entertaining Game Themes
9. Derby City Gaming's Request for Approval of Scientific Games Pari-Mutuel Wagering Pools and Entertaining Game Themes
10. Request from Kentucky Downs Racing and Gaming for Approval to Add 450 Ainsworth Game Technology Entertaining Game Terminals to Their Floor
11. Kentucky Downs Racing and Gaming Request for Approval of AGS, LLC Pari-Mutuel Wagering Pools and Entertaining Game Themes
12. Request from Kentucky Downs Racing and Gaming for Approval of Two New Exacta Systems Pari-Mutuel Wagering Pools and Entertaining Game Themes
13. Keeneland Red Mile Request to Increase the Number of Historical Horse Racing Terminals
14. Keeneland Red Mile Request for Approval of PariMAX 3.24
15. Ratification of Executive Director Approval of The Red Mile License to Conduct Live Horse Racing, Simulcasting, and Pari-Mutuel Wagering
16. Ratification of Executive Director Approval of The Red Mile's Revised Post Times
17. WKY Development's Requests to Amend Section R of its 2020 Application to Conduct Live Horse Racing, Simulcasting and Pari-Mutuel Wagering to Revise its Race Dates and Post Times for their 2020 Race Meet
18. Keeneland's Proposed 2020 Fall Race Meet and Breeders' Cup Meet Officials & Date Preference System
19. Churchill Downs' Proposed 2020 Fall Race Meet Officials and Date Preference System
20. Oak Grove Racing, Gaming & Hotel's 2020 Race Meet Officials

## **21. Reports**

- a.** Thoroughbred Rulings
- b.** Standardbred Rulings
- c.** Veterinary Division
- d.** Pari-Mutuel Wagering Division

## **III. Executive Session**

During the meeting on August 18, 2020 the Commission will go into closed session pursuant to KRS 61.810(1) (C) to discuss pending litigation

## **IV. Other Business**

Final Orders Regarding *Hernandez v. KHRC*, KHRC-20-TB-002, *Saez v. KHRC*, KHRC-19-TB-004

## **V. Adjournment**

**KENTUCKY HORSE RACING COMMISSION**  
**MINUTES OF THE MEETING**

Date: April 21, 2020 at 1:30 PM

Place: Via video conference from Kentucky Horse Racing Commission, Conference Room, 4063 Iron Works Parkway, Building B, Kentucky Horse Park, Lexington, Kentucky

Members Present: Chairman Franklin S. Kling, Jr.; Vice-Chairman Frank Jones, Jr.; Commissioner J. Gatewood Bell, Jr.; Commissioner Stuart E. Brown, II, DVM; Commissioner Kerry T. Cauthen; Commissioner Pat Day; Commissioner Douglas A. Hendrickson; Commissioner Lesley Howard; Commissioner Kenneth A. Jackson; and Commissioner Brereton C. Jones, Jr.; Commissioner Foster Northrop, DVM; Commissioner Charles O’Connor; Commissioner J. David Richardson, M.D., and Commissioner Mark Simendinger

Ex-officio Members Present: Secretary Kerry B. Harvey, Public Protection Cabinet

KHRC Staff Participating/Present: Marc A. Guilfoil, Executive Director; Jamie Eads, Deputy Executive Director and Director of Division of Incentives and Development; Jennifer Wolsing, General Counsel; Steve May, Director of Pari-Mutuel Wagering and Compliance; Bruce Howard, DVM, Interim Equine Medical Director and Chief Racing Veterinarian; and Barbara Borden, Chief State Steward

Others Participating: None.

<i>AGENDA ITEM</i>	<i>TRANSCRIPT PAGE NUMBER(S)</i>	<i>DISCUSSION / CONCLUSIONS / RECOMMENDATIONS</i>	<i>ACTION TAKEN</i>
<b>I. Call to Order and Roll Call</b>	4 – 5	Meeting of the Kentucky Horse Racing Commission (KHRC) called to order and roll call performed by Chairman Kling. A quorum was recognized.  Chairman Kling also welcomed Jennifer Wolsing as KHRC’s new General Counsel. He also noted the arrival of Commissioner Brown for the record.	No action taken.
<b>II. New Business</b> <b>Tab 1 Minutes from February 18, 2020 and March 19, 2020</b>	5 – 61 5 – 6	Chairman Kling requested a motion from the Commission to address the request submitted by KHRC staff to approve the proposed	Chairman Kling called for a vote to approve the proposed minutes as

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	6 – 7	<p>minutes of the February 18, 2020 Commission meeting. Motion by Commissioner Cauthen. Second by Vice-Chairman Jones.</p> <p>Ms. Eads presented the proposed minutes of the February 18, 2020 Commission meeting as outlined in the agenda materials.</p> <p>Approval was recommended by KHRC staff.</p> <p>Chairman Kling requested a motion from the Commission to address the request submitted by KHRC staff to approve the proposed minutes of the March 19, 2020 Special Commission meeting. Motion by Vice-Chairman Jones. Second by Commissioner Day.</p> <p>Ms. Eads presented the proposed minutes of the March 19, 2020 Special Commission meeting as outlined in the agenda materials.</p> <p>Approval was recommended by KHRC staff.</p>	<p>presented. Motion carried with no abstentions or objections.</p> <p>Chairman Kling called for a vote to approve the proposed minutes as presented. Motion carried with no abstentions or objections.</p>
<p><b>Tab 2 KHBIF Period V: Applications for Participation in 2020</b></p>	7 – 9	<p>Chairman Kling requested a motion for the Commission to address the recommendation of the KHRC staff to approve the proposed Kentucky Horse Breeders’ Incentive Fund allocation for 2021. Motion by Commissioner Brown. Second by Commissioner Bret Jones.</p> <p>Ms. Eads presented the proposed Period V applications for non-race breeders’ incentive fund as outlined in the agenda materials.</p> <p>Approval was recommended by KHRC staff.</p>	<p>Chairman Kling called for a vote to approve the request as presented. Motion carried with no objections or abstentions.</p>
<p><b>Tab 3 Ellis Park’s Request for Approval of Castle Hill Historical Horse Racing System</b></p>	9 – 12	<p>Chairman Kling requested a motion for the Commission to address the request submitted by Ellis Park to approve five Castle Hill Gaming Historical Horse Racing pari-mutuel wagering pools and 53 associated entertaining game themes. Motion by Commissioner Hendrickson. Second by Commissioner Cauthen.</p>	<p>Chairman Kling called for a vote to approve the request as presented. Motion carried with no</p>

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		<p>Mr. May presented Ellis Park's request dated March 31, 2020 as outlined in the agenda materials.</p> <p>Approval was recommended by KHRC staff.</p>	<p>objections or abstentions.</p>
<p><b>Tab 4 Churchill Downs' Request for Approval of International Game Technology Historical Horse Racing System</b></p>	<p>12 – 16</p>	<p>Chairman Kling requested a motion from the Commission to address the request submitted by Churchill Downs Racetrack by and through its wholly-owned subsidiary Derby City Gaming, LLC ("Derby City Gaming") to approve one International Game Technology historical horse racing pari-mutuel wagering pool and one associated entertaining game theme. Motion by Commissioner Northrop. Second by Commissioner Day.</p> <p>Mr. May presented Derby City Gaming's request dated April 3, 2020 as outlined in the agenda materials.</p> <p>Approval was recommended by KHRC staff.</p>	<p>Chairman Kling called for a vote to approve the request as presented. Motion carried with no objections or abstentions.</p>
<p><b>Tab 5 Churchill Downs' Request for Approval of Additional Ainsworth Game Technology Historical Horse Racing Pools and Entertaining Game Themes</b></p>	<p>16 – 18</p>	<p>Chairman Kling requested a motion from the Commission to address the request submitted by Churchill Downs Racetrack by and through its wholly-owned subsidiary Derby City Gaming to approve two additional Ainsworth Game Technology historical horse racing pari-mutuel wagering pools and two associated entertaining game themes. Motion by Commissioner Richardson. Second by Commissioner Northrop.</p> <p>Mr. May presented Derby City Gaming's request dated April 3, 2020 as outlined in the agenda materials.</p> <p>Approval was recommended by KHRC staff.</p>	<p>Chairman Kling called for a vote to approve the request as presented. Motion carried with no objections or abstentions.</p>
<p><b>Tab 6 Churchill Downs' Request for Approval of</b></p>	<p>18 – 23</p>	<p>Chairman Kling requested a motion from the Commission to address the request submitted Churchill Downs Racetrack by and through its</p>	<p>Chairman Kling called for a vote to approve the</p>

<b>AGENDA ITEM</b>	<b>TRANSCRIPT PAGE NUMBER(S)</b>	<b>DISCUSSION / CONCLUSIONS / RECOMMENDATIONS</b>	<b>ACTION TAKEN</b>
<b>Additional Scientific Games Technologies Historical Horse Racing Pools and Entertaining Game Themes</b>		<p>wholly-owned subsidiary Derby City Gaming to approve four new Scientific Game Corporation historical horse racing pari-mutuel wagering pools, five entertaining game themes associated with the new pools, updates to four previously approved pari-mutuel wagering pools, and three new entertaining game themes associated with the previously approved pari-mutuel wagering pools. Motion by Commissioner Northrop. Second by Commissioner Richardson.</p> <p>Mr. May noted a typographical error in the agenda materials memorandum heading that references “four additional Ainsworth Game Technology pools.” He stated “these are Scientific Game pools.” Following his correction, Mr. May presented Derby City’s request dated April 3, 2020 as outlined in the agenda materials.</p> <p>Approval was recommended by KHRC.</p>	<p>request as presented. Motion carried with no objections or abstentions.</p>
<b>Tab 7 Kentucky Downs Request for Additional Exacta Systems Technologies Historical Horse Racing Pools and Entertaining Games Themes</b>	23 – 26	<p>Chairman Kling requested a motion from the Commission to address the request submitted by Kentucky Downs LLC to approve one new Exacta System pari-mutuel wagering pool, four associated entertainment game themes, and three new entertainment game themes based on previously approved Exacta System pari-mutuel wagering pools. Motion by Commissioner Cauthen. Second by Commissioner Northrop.</p> <p>Mr. May presented the request from Kentucky Downs LLC dated April 1, 2020 as outlined in the agenda materials.</p> <p>Approval was recommended by KHRC staff.</p>	<p>Chairman Kling called for a vote to approve the request as presented. Motion carried with no objections or abstentions.</p>
<b>Tab 8 Keeneland Red Mile Request for Approval of PariMAX Version 3.20</b>	26 – 28	<p>Chairman Kling requested a motion from the Commission to address the request submitted by KRM Wagering LLC to approve PariMAX historical horse racing pari-mutuel wagering pool version 3.20.</p>	<p>Chairman Kling called for a vote to approve the request as presented</p>

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		<p>Motion by Commissioner Brown. Second by Commissioner Northrop.</p> <p>Mr. May presented the request from KRM Wagering LLC dated March 18, 2020 as outlined in the agenda materials.</p> <p>Approval was recommended by KHRC staff.</p>	<p>and contingent upon completion of the two (2) conditions set out in the agenda materials. Motion carried with no objections or abstentions.</p>
<p><b>Tab 9 Ratification of Executive Director Approval of Amendment to Kentucky Downs' Hours of Operation</b></p>	<p>28 – 30</p>	<p>Chairman Kling requested a motion from the Commission to address ratification of the KHRC Executive Director's conditional approval of the request submitted by Kentucky Downs to amend the operational hours of the historical horse racing designated area. Motion by Commissioner Hendrickson. Second by Commissioner Northrop.</p> <p>Mr. May presented the request from Kentucky Downs dated February 28, 2020 as outlined in the agenda materials.</p> <p>Ratification of the KHRC Executive Director's conditional approval was recommended by KHRC staff.</p>	<p>Chairman Kling called for a vote to ratify the KHRC Executive Director's conditional approval of the request as presented. Motion carried with no objections or abstentions.</p>
<p><b>Tab 10 Ratification of Executive Director Approval of Turfway Park Cross Country Pick Five Wager Participation</b></p>	<p>31 – 33</p>	<p>Chairman Kling requested a motion from the Commission to address ratification of the KHRC's Executive Director's conditional approval of the request submitted by Churchill Downs by and through its wholly-owned subsidiary Turfway Park LLC, to approve participation in a cross country Pick-5 hosted by the New York Racing Association. Motion by Commissioner Richardson. Second by Commissioner Northrop.</p> <p>Mr. May presented Churchill Downs'/Turfway Park LLC's request dated March 11, 2020 as outlined in the agenda materials.</p> <p>Ratification of the KHRC Executive Director's conditional approval was recommended by KHRC staff.</p>	<p>Chairman Kling called for a vote to ratify the KHRC Executive Director's conditional approval of the request as presented. Motion carried with no objections or abstentions.</p>

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<b>Tab 11 Players Bluegrass Downs and Keeneland Agreement on Uncashed Pari-Mutuel Tickets and Vouchers</b>	33 – 37	<p>Chairman Kling stated that the Commission would participate in a discussion concerning the agreement between Keeneland Association and Players Bluegrass Downs regarding uncashed pari-mutuel wagering tickets and vouchers. He noted that the discussion was for only informational purposes and no action by the Commission would be required.</p> <p>Mr. May presented the agreement between Keeneland Association and Players Bluegrass Downs' agreement as outlined in the agenda materials.</p>	Informational only. No action taken.
N/A	37 – 38	Chairman Kling noted that the next Agenda Item to be presented would be Tab 15.	Informational only. No action taken.
<b>Tab 15 Ratification of Executive Director's Approval of Turfway Park's Auxiliary Location</b>	37 – 41	<p>Chairman Kling requested a motion from the Commission to address ratification of the KHRC Executive Director's conditional approval of the request submitted by Churchill Downs by and through its wholly-owned subsidiary Turfway Park LLC to approve a proposed offsite facility at the Newport Shopping Center. Motion by Commissioner Richardson. Second by Commissioner Northrop.</p> <p>Ms. Wolsing presented Churchill Downs'/Turfway Park's request as outlined in the agenda materials.</p> <p>Ratification of the KHRC Executive Director's conditional approval was recommended by KHRC staff.</p>	Chairman Kling called for a vote to ratify the KHRC Executive Director's conditional approval of the request as presented. Motion carried with no objections or abstentions.
<b>Tab 12 Newport Racing and Gaming Request to Provide Historical Horse Racing</b>	41 – 44	Chairman Kling requested a motion from the Commission to address the conditional approval of the KHRC Executive Director of the request submitted by Turfway Park LLC to approve simulcast wagering and exotic wagers on historical horse racing at the Newport Racing & Gaming facility located at Newport Shopping	Chairman Kling called for a vote to ratify the KHRC Executive Director's conditional approval of the request as presented and contingent upon the

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		<p>Center. Motion by Commissioner Hendrickson. Second by Commissioner Cauthen.</p> <p>Mr. May presented the request from Turfway Park LLC dated April 3, 2020 as outlined in the agenda materials.</p> <p>Ratification of the KHRC Executive Director's conditional approval contingent upon the fulfillment of the conditions set out in the agenda materials was recommended by KHRC staff.</p>	<p>conditions set forth in the agenda materials. Motion carried with no objections or abstentions.</p>
<p><b>Tab 13 Jamgotchian v. Kentucky Horse Racing Commission: Update and Ratification</b></p>	<p>44 – 47</p>	<p>Chairman Kling requested a motion from the Commission to address ratification of the KHRC Executive Director's conditional approval of issuance of an ordinary license to Mr. Jamgotchian. Motion by Commissioner Richardson. Second by Commissioner Simendinger.</p> <p>Ms. Wolsing presented the KHRC Executive Director's conditional approval of issuance of an ordinary license to Mr. Jamgotchian.</p> <p>Ratification of the KHRC Executive Director's conditional approval was recommended by KHRC staff.</p>	<p>Chairman Kling called for a vote to ratify the KHRC Executive Director's conditional approval of the issuance of an ordinary license to Mr. Jamgotchian. Motion carried with no objections and one (1) abstention by Commissioner O'Connor.</p>
<p><b>Tab 14 Amendments to Section O of Racing Applications and Amendments to Host Track Status</b></p>	<p>47 – 50</p>	<p>Chairman Kling requested a motion from the Commission to address the recommendation of the KHRC Race Dates Committee to modify the September 2020 race and host track date calendar. Motion by Commissioner Day. Second by Commissioner Northrop.</p> <p>Ms. Wolsing presented the Race Dates Committee's recommendation as outlined in the agenda materials. She noted that the Commission approved Churchill Downs' request as to the shift in race dates at its March 19, 2020 meeting; however, there were two proposed trades that were not documented at that meeting: 1) Ellis Park is currently the host track on September 7,</p>	<p>Chairman Kling called for a vote to approve the recommendation of the KHRC Race Dates Committee to modify the September 2020 race and host track date calendar as presented. Motion carried with no objections or abstentions.</p>

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		<p>2020 and Kentucky Downs and Ellis Park have agreed to share hosting duties 50/50 on that date; and 2) Churchill Downs is currently the host track on September 16 and Churchill Downs and Kentucky Downs have agreed that Kentucky Downs will be the host track on that date.</p> <p>Approval was recommended by KHRC staff.</p>	
N/A	50	<b>BREAK TAKEN</b>	No action taken.
<b>Tab 16 Ratification of Filed Amendment to 810 KAR 8:020's Incorporated Materials</b>	50 – 52	<p>Upon return from the break, Chairman Kling requested a motion from the Commission to address the request submitted by the Interim Equine Medical Director and Chief State Veterinarian, Dr. Howard, to approve the proposed changes to the Withdrawal Guidelines incorporated by reference in 810 KAR 8:020. Motion by Vice-Chairman Frank Jones. Second by Commissioner Day.</p> <p>Dr. Howard presented the request as outlined in the agenda materials.</p> <p>Ratification of the KHRC Executive Director's approval was recommended by KHRC staff.</p>	<p>Chairman Kling called for a vote to approve the proposed changes to the Withdrawal Guidelines incorporated by reference under 810 KAR 8:020 as presented. Motion carried with no objections or abstentions.</p>
<b>Tab 17 Ellis Park's 2020 Proposed Race Meet Officials and Date Preference System</b>	52 – 54	<p>Chairman Kling requested a motion from the Commission to address the request submitted by Ellis Park to approve the proposed 2020 roster of racing officials and dates preference system. Motion by Commissioner Richardson. Second by Commissioner Northrop.</p>	<p>Chairman Kling called for a vote to approve the request as presented. Motion carried with no objections or abstentions.</p>

AGENDA ITEM	TRANSCRIPT PAGE NUMBER(S)	DISCUSSION / CONCLUSIONS / RECOMMENDATIONS	ACTION TAKEN
		<p>Ms. Borden presented Ellis Park’s request dated April 2, 2020 as outlined in the agenda materials. She noted that the proposed dates preference system included addition of the provisions for the digital foal certificate in place of the paper foal certificate. All listed officials are ROAP accredited and have previously performed the duties for which they are listed and will be licensed before the meet opening.</p> <p>Approval is recommended by KHRC staff.</p>	
<p><b>Tab 18 Reports</b></p> <p><b>a. Thoroughbred Rulings</b></p> <p><b>b. Standardbred Rulings</b></p> <p><b>c. Veterinary Division</b></p> <p><b>d. Pari-Mutuel Wagering Division</b></p> <p><b>e. Litigation</b></p>	<p>54 – 61</p> <p>54 – 55</p> <p>55 – 57</p> <p>57 – 58</p> <p>58 – 61</p> <p>61</p>	<p>a. Ms. Borden presented the thoroughbred rulings reports as outlined in the agenda materials.</p> <p>b. Ms. Wolsing presented the Standardbred ruling activity report. She explained the revocation of a licensee.</p> <p>c. Dr. Howard presented the veterinary division report as outlined in the agenda materials.</p> <p>d. Mr. May presented the pari-mutuel wagering division report as outlined in the agenda materials.</p> <p>e. Litigation and Regulation report is for informational purposes only.</p>	<p>No action taken. Informational items only.</p>

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<p>III. Executive Session</p> <p>During the meeting on April 21, 2020, the Commission will go into closed session pursuant to KRS 61.810(1)(c) to discuss pending litigation</p> <p><i>Hughes v. KHRC;</i>  <i>Arnold v. KHRC;</i>  #KHRC-18-TB-002</p> <p><i>Hahn v. KHRC;</i> #KHRC-16-TB-004</p> <p><i>Maker v. KHRC;</i> #KHRC-16-TB-001</p> <p><i>Sharp v. KHRC;</i> #KHRC-18-TB-003</p> <p><i>Cannon v. KHRC;</i>  #KHRC-19-TB-009</p> <p><i>Gaffalione v. KHRC;</i>  #KHRC-19-TB-012</p> <p><i>Landeros v. KHRC;</i>  #KHRC-19-TB-010</p> <p><i>Lauer v. KHRC;</i> #KHRC-19-TB-003</p> <p><i>Mena v. KHRC;</i> #KHRC-19-TB-005</p> <p><i>Sutherland v. KHRC;</i>  #KHRC-19-TB-006</p>	<p>61 – 67  61 – 62</p> <p>62</p>	<p>Chairman Kling requested a motion from the Commission to go into closed session to discuss proposed or pending litigation against or on behalf of the agency as permitted by KRS Chapter 61.810(1)(c). Motion by Commissioner Northrop. Second by Commissioner Hendrickson.</p> <p style="text-align: center;"><b><u>CLOSED SESSION</u></b></p> <p>Chairman Kling requested a motion from the Commission to return from closed session to open session. Motion by Commissioner Simendinger. Second by Commissioner Richardson.</p> <p style="text-align: center;"><b><u>RETURN TO OPEN SESSION</u></b></p>	<p>Chairman Kling called for a vote for the Commission to go into closed session. Motion carried with no objections or abstentions.</p> <p>Chairman Kling called for a vote for the Commission to return from closed session to open session. Motion carried with no objections or abstentions.</p>

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<p>IV. Other Business  Tab 19. <i>Hughes v. KHRC</i>, KHRC-19-TB-002</p>	<p>62 – 70  62 – 63</p>	<p>Upon return from closed session, Chairman Kling requested a motion from the Commission to address the administrative appeal captioned <i>Timothy Hughes v. KHRC</i>, #KHRC-19-TB-002. Motion by Commissioner Richardson. Second by Commissioner Simendinger. Chairman Kling requested a motion from the Commission to address the administrative appeal of, <i>Hughes v. KHRC</i>, #KHRC-19-TB-002. Motion by Commissioner Richardson. Second by Commissioner Simendinger.</p> <p>Ms. Wolsing presented the settlement agreement in the Hughes appeal as outlined in the agenda materials.</p> <p>Approval of the settlement agreement was recommended by KHRC staff.</p>	<p>Chairman Kling called for a vote to approve the proposed settlement agreement in <i>Hughes v. KHRC</i> as presented. Motion carried with no objections or abstentions.</p>

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<p><b>Tab 20. Final Orders regarding: <i>Cannon v. KHRC</i>, KHRC-19-TB-009; <i>Gaffalione v. KHRC</i>, KHRC-19-TB-013; <i>Landeros v. KHRC</i>, KHRC-19-TB-013 (should be KHRC-19-TB-010); <i>Lauer v. KHRC</i>, KHRC-19-TB-003; <i>Mena v. KHRC</i>, KHRC-19-TB-005; <i>Sutherland v. KHRC</i>, KHRC-19-TB-006</b></p>	<p>63 – 65</p>	<p>Chairman Kling requested a motion from the Commission to address the following KRS 13B administrative appeals: <i>Cannon v. KHRC</i>, #KHRC-19-TB-009; <i>Gaffalione v. KHRC</i>, #KHRC-19-TB-012; <i>Landeros v. KHRC</i>, #KHRC-19-TB-010; <i>Lauer v. KHRC</i>, #KHRC-19-TB-003; <i>Mena v. KHRC</i>, #KHRC-19-TB-005; and <i>Sutherland v. KHRC</i>, #KHRC-19-TB-006. Motion by Commissioner Hendrickson. Second by Commissioner Simendinger.</p> <p>Ms. Wolsing first noted a correction to the <i>Landeros</i> case number, that the correct number is KHRC-19-TB-013<sup>1</sup>. She stated that these appellants had each withdrawn their appeals and required final orders of dismissal approved by the Commission. She further noted that going forward, for any reason, final orders dismissing an appeal action should be issued by the Commission.</p> <p>Approval of the final orders in the subject administrative appeals were recommended by KHRC staff.</p>	<p>Chairman Kling called for a vote to approve the final orders dismissing the administrative appeals in <i>Cannon v. KHRC</i>; <i>Gaffalione v. KHRC</i>; <i>Landeros v. KHRC</i>; <i>Lauer v. KHRC</i>; <i>Mena v. KHRC</i> and <i>Sutherland v. KHRC</i> as presented. Motion carried with no objections or abstentions.</p>

<sup>1</sup> Ms. Wolsing’s attempted correction of the *Landeros* case number contained an error. *Landeros v. KHRC*’s case number is #KHRC-19-TB-010, as Chairman Kling had originally stated.

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<p><b>Tab 21. Final Orders regarding: <i>Arnold v. KHRC</i>, KHRC-18-TB-002; <i>Hahn v. KHRC</i>, KHRC-16-TB-004; <i>Maker v. KHRC</i>, KHRC-16-TB-001; <i>Sharp v. KHRC</i>, KHRC-18-TB-003</b></p>	<p>65 – 67</p>	<p>Chairman Kling requested a motion from the Commission to address the following KRS 13B administrative appeals: <i>George Arnold II v. KHRC</i>; #KHRC-18-TB-002; <i>Anita Hahn v. KHRC</i>; #KHRC-16-TB-004; and <i>Michael J. Maker</i>, #KHRC-16-TB-001. <i>Sharp v. KHRC</i>, #KHRC-18-TB-003, was intentionally omitted from Chairman Kling’s requested motion. Motion by Commissioner Brown. Second by Commissioner Simendinger.</p> <p>Ms. Wolsing explained that the stewards’ had withdrawn and amended their initial rulings due to new scientific findings that resulted in the KHRC laboratory rescission their initial decisions and to report that the horses passed their screens. She stated a final order dismissing the administrative appeals should be issued.</p> <p>Approval was recommended by KHRC staff.</p>	<p>Chairman Kling called for a vote to approve the proposed Final Orders as presented in <i>Arnold v. KHRC</i>; <i>Hahn v. KHRC</i>; and <i>Maker v. KHRC</i>. <i>Sharp v. KHRC</i> was intentionally omitted from the call for a vote to approve. Motion carried with no objections and one abstention by Commissioner Northrop.</p>
<p><b>N/A</b></p>	<p>67 – 70</p>	<p>Chairman Kling commended the KHRC staff on putting together a successful meeting. He further noted the departure of Mr. May, commended him on his many contributions and thanked him for his service.</p> <p>Mr. May addressed the Commission and commended David Brown and Waqas Ahmed for their assistance.</p>	<p>No action taken. Informational item only.</p>
<p><b>IV. Adjournment</b></p>	<p>70 – 71</p>	<p>Chairman Kling requested a motion to adjourn. Motion by Commissioner Cauthen. Second by Commissioner Northrop. Meeting adjourned.</p>	<p>Chairman Kling called for a vote to adjourn. Motion carried with no objections or abstentions.</p>

**NOTE:** The Agenda materials and any amendments and/or supplements thereto, subject to any applicable exemptions, and the official Transcript are incorporated by reference as if set forth fully herein.

**KENTUCKY HORSE RACING COMMISSION**  
**MINUTES OF THE MEETING**

Date: June 16, 2020 at 1:30 PM

Place: Via video conference from Kentucky Horse Racing Commission, Conference Room, 4063 Iron Works Parkway, Building B, Kentucky Horse Park, Lexington, Kentucky

Members Present: Chairman Jon Rabinowitz; Vice-Chairman Frank Jones, Jr.; Commissioner J. Gatewood Bell, Jr.; Commissioner Stuart E. Brown, II, DVM; Commissioner Kerry T. Cauthen; Commissioner Naveed Chowhan, M.D.; Commissioner Douglas A. Hendrickson; Commissioner Lesley Ann May Howard; Commissioner Kenneth A. Jackson; Commissioner Franklin S. Kling, Jr.; Commissioner Alan J. Leavitt; Commissioner Foster Northrop, DVM; Commissioner Charles O'Connor; Commissioner Mark Simendinger; and Commissioner James Edwin Worley

Ex-officio Members Present: Secretary Kerry B. Harvey, Public Protection Cabinet

KHRC Staff Participating/Present: Marc A. Guilfoil, Executive Director; Jamie Eads, Deputy Executive Director and Director of Division of Incentives and Development; Jennifer Wolsing, General Counsel; Chad Thompson, Deputy General Counsel; Bruce Howard, DVM, Equine Medical Director; Nicholas Smith, Chief Racing Veterinarian; and Barbara Borden, Chief State Steward

Others Participating: None.

<i>AGENDA ITEM</i>	<i>TRANSCRIPT PAGE NUMBER(S)</i>	<i>DISCUSSION / CONCLUSIONS / RECOMMENDATIONS</i>	<i>ACTION TAKEN</i>
<b>I. Call to Order and Roll Call</b>	4 – 6	<p>Chairman Rabinowitz called the meeting of the Kentucky Horse Racing Commission (KHRC) to order and performed the roll call. A quorum was recognized.</p> <p>Chairman Rabinowitz also acknowledged the outstanding work by General Counsel Jennifer Wolsing and Deputy General Counsel Chad Thompson for their oral argument presentation before the Sixth Circuit and welcomed Mr. Thompson to the KHRC staff. Additionally, he announced other personnel appointments congratulating Dr. Howard's appointment as Equine Medical Director, Dr. Smith's appointment as Chief Racing Veterinarian, and Commissioners</p>	No action taken.

AGENDA ITEM	TRANSCRIPT PAGE NUMBER(S)	DISCUSSION / CONCLUSIONS / RECOMMENDATIONS	ACTION TAKEN
		Chowhan, Worley, and Leavitt's appointments as commission members.	
<b>II. New Business</b> <b>Tab 1 Keeneland KTDF Allotment Request for July Meet</b>	5 – 61 6 – 8	<p>Chairman Rabinowitz requested a motion from the Commission to address the recommendation submitted by the Kentucky Thoroughbred Development Fund advisory committee (KTDF) to approve the proposed KTDF purses and proposed KTDF purse structure for Keeneland Association's July 2020 meet, and approve the proposed transfer of \$125,000 in KTDF money from Keeneland Association to Ellis Park. Motion by Commissioner Simendinger. Second by Commissioner Hendrickson.</p> <p>Ms. Eads presented Keeneland's request dated June 1, 2020 as outlined in the agenda materials. At its June 3, 2020 meeting, the KTDF advisory committee approved recommendation of Keeneland's request.</p> <p>Approval of the KTDF advisory committee's recommendation was recommended by KHRC staff.</p>	Chairman Rabinowitz called for a vote to approve the KTDF advisory committee's recommendation as presented. Motion carried with no abstentions or objections.
<b>Tab 2 Ellis Park KTDF Allotment Request for Summer Meet</b>	8 – 10	<p>Chairman Rabinowitz requested a motion for the Commission to address the recommendation of the KTDF advisory committee to approve the proposed KTDF purses and the proposed KTDF purse structure for Ellis Park's summer 2020 meet. Motion by Vice-Chairman Jones. Second by Commissioner O'Connor.</p> <p>Ms. Eads presented Ellis Park's request dated June 2, 2020 as outlined in the agenda materials. At its June 3, 2020 meeting, the KTDF advisory committee approved recommendation of Ellis Park's request.</p>	Chairman Rabinowitz called for a vote to approve the KTDF advisory committee's recommendation as presented. Motion carried with no objections or abstentions.

<i>AGENDA ITEM</i>	<i>TRANSCRIPT PAGE NUMBER(S)</i>	<i>DISCUSSION / CONCLUSIONS / RECOMMENDATIONS</i>	<i>ACTION TAKEN</i>
		Approval of the KTDF Advisory Committee's recommendation was recommended by KHRC staff.	
<b>Tab 3 Kentucky Downs' KTDF Allotment Request for September Meet</b>	10 – 12	<p>Chairman Rabinowitz requested a motion for the Commission to address the recommendation submitted by the KTDF advisory committee to approve the proposed KTDF purses and the proposed KTDF purse structure for Kentucky Downs' September 2020 meet, and further to approve the proposed KTDF advertising expenditure of up to \$75,000. Motion by Vice-Chairman Jones. Second by Commissioner O'Connor.</p> <p>Ms. Eads presented Kentucky Downs' request dated June 4, 2020 as outlined in the agenda materials. At its June 3, 2020 meeting, the KTDF advisory committee approved recommendation of Kentucky Downs' request.</p> <p>Approval of the KTDF advisory committee's recommendation was recommended by KHRC staff.</p>	Chairman Rabinowitz called for a vote to approve the KTDF advisory committee's recommendation request as presented. Motion carried with no objections or abstentions.
<b>N/A</b>	12	Before moving to the next agenda item, Chairman Rabinowitz commended and thanked Mr. Bill Landes and the entire KTDF advisory committee for their leadership.	No action taken. Informational item only.
<b>Tab 4 Churchill Downs' Request to Amend Section R of Racing Application</b>	12 – 16	Chairman Rabinowitz requested a motion from the Commission to address the recommendation submitted by the KHRC Race Dates Committee (Race Dates Committee) to approve the proposed amendment to Section R of both Churchill Downs Racetrack LLC's and Ellis Entertainment LLC's 2020 application for a license to conduct live horse racing, simulcasting, and pari-mutuel wagering. Motion by Vice-Chairman Jones. Second by Commissioner Northrop.	Chairman Rabinowitz called for a vote to approve the Race Dates Committee's recommendation as presented. Motion carried with no objections or abstentions.

AGENDA ITEM	TRANSCRIPT PAGE NUMBER(S)	DISCUSSION / CONCLUSIONS / RECOMMENDATIONS	ACTION TAKEN
		<p>Ms. Wolsing presented Churchill Downs Racetrack LLC's and Ellis Entertainment LLC's request dated March 17, 2020 as outlined in the agenda materials. At its April 21, 2020 meeting, the Race Dates Committee recommended approval of Churchill Downs Racetrack LLC's and Ellis Entertainment LLC's requests.</p> <p>Approval of the Race Dates Committee's recommendation was recommended by KHRC staff.</p>	
<p><b>Tab 5 Keeneland's Request to Amend Section R of Racing Application</b></p>	<p>16 – 18</p>	<p>Chairman Rabinowitz requested a motion from the Commission to address the recommendation submitted by the Race Dates Committee to amend Section R of both the Keeneland Association, Inc.'s and Ellis Entertainment LLC's 2020 application for license to conduct live horse racing, simulcasting and pari-mutuel wagering. Motion by Vice-Chairman Jones. Second by Commissioner Bell.</p> <p>Ms. Wolsing presented the requests from Keeneland Association Inc. dated May 22, 2020 and Ellis Entertainment LLC dated May 26, 2020 as outlined in the agenda materials. At its May 27, 2020 meeting, the Race Dates Committee recommended approval of Keeneland Association Inc.'s and Ellis Entertainment LLC's requests.</p> <p>Approval of the Race Dates Committee's recommendation was recommended by KHRC staff.</p>	<p>Chairman Rabinowitz called for a vote to approve the Race Dates Committee's recommendation as presented. Motion carried with no objections or abstentions.</p>
<p><b>Tab 6 Proposed Amendment to 810 KAR 3:020, 810 KAR 4:040 and 810 KAR 8:030</b></p>	<p>18 – 26 18 – 23</p>	<p>Chairman Rabinowitz requested a motion from the Commission to address the joint recommendation of the KHRC Rules Committee (Rules Committee) and the KHRC Safety and Welfare Committee (Safety and Welfare Committee) to amend 810 KAR 4:040 and 810 KAR 8:030. Motion by Commissioner Simendinger. Second by Commissioner Hendrickson.</p>	<p>Chairman Rabinowitz called for a vote to approve the joint recommendation of the Rules Committee and Safety and Welfare Committee to approve</p>

AGENDA ITEM	TRANSCRIPT PAGE NUMBER(S)	DISCUSSION / CONCLUSIONS / RECOMMENDATIONS	ACTION TAKEN
	23 – 26	<p>Ms. Wolsing presented the proposed amendments to 810 KAR 4:040 and 810 KAR 8:030 as outlined in the agenda materials. At its June 15, 2020 joint meeting, the Race Dates Committee and the Safety and Welfare Committee approved recommendation of the proposed amendments.</p> <p>Approval of the Race Dates Committee and Safety and Welfare Committee’s joint recommendation was recommended by KHRC staff.</p> <p>Chairman Rabinowitz requested a motion from the Commission to address the recommendation of the Rules Committee to amend 810 KAR 3:020. Motion by Vice-Chairman Jones. Second by Commissioner Brown.</p> <p>Ms. Wolsing presented the proposed amendments to 810 KAR 3:020 as outlined in the agenda materials. At its June 15, 2020 meeting, the Race Dates Committee approved recommendation of the proposed amendments.</p> <p>Approval of the Race Dates Committee’s recommendation was recommended by KHRC staff.</p>	<p>the proposed amendments to 810 KAR 4:040 and 810 KAR 8:030 as presented. Motion carried with no objections or abstentions.</p> <p>Chairman Rabinowitz called for a vote to approve the recommendation of the Rules Committee to approve the proposed amendments to 810 KAR 3:020 as presented. Motion carried with no objections and one abstention by Commissioner Chowhan.</p>
<p><b>Tab 7 WKY Development’s Request for Historical Horse Racing at Oak Grove Racing and Gaming</b></p>	26 – 28	<p>Chairman Rabinowitz requested a motion from the Commission to address the request submitted by WKY Development LLC d/b/a Oak Grove Racing, Gaming and Hotel to approve offering historical horse racing at the Oak Grove facility, contingent upon the Commission receiving a memorandum satisfying 811 KAR Chapter 1, Sub-chapter 250, Section 6, Sub-section 2. Motion by Vice-Chairman Jones. Second by Commissioner Jackson.</p>	<p>Chairman Rabinowitz called for a vote to approve the request as presented and contingent upon the Commission receiving a memorandum satisfying 811 KAR 1:250(6)(2). Motion carried with no</p>

AGENDA ITEM	TRANSCRIPT PAGE NUMBER(S)	DISCUSSION / CONCLUSIONS / RECOMMENDATIONS	ACTION TAKEN
		<p>Ms. Wolsing presented the request from WKY Development LLC d/b/a Oak Grove Racing, Gaming and Hotel dated May 29, 2020 as outlined in the agenda materials.</p> <p>Approval was recommended by KHRC staff.</p>	<p>objections or abstentions.</p>
<p><b>Tab 8 Derby City's Request to Approve Additional Scientific Game Corporation Historical Horse Racing Pools and Entertaining Game Themes</b></p>	<p>28 – 31</p>	<p>Chairman Rabinowitz requested a motion from the Commission to address the request submitted by Churchill Downs Racetrack LLC, by and through its wholly owned subsidiary Derby City Gaming LLC to approve offering 30 new International Game Technology entertaining game themes, 29 historical horse racing pari-mutuel wagering pools, and Ascent Foundation HHR software used on International Game Technology terminals. Motion by Commissioner Kling. Second by Commissioner Cauthen.</p> <p>Commissioner Kling presented the requests by Derby City Gaming dated May 29, 2020 as outlined in the agenda materials.</p> <p>Approval was recommended by KHRC staff.</p>	<p>Chairman Rabinowitz called for a vote to approve the request as presented. Motion carried with no objections or abstentions.</p>
<p><b>Tab 9 Derby City's Request to Approve Additional Scientific Game Corporation Historical Horse Racing Pools and Entertaining Game Themes</b></p>	<p>31 – 34</p>	<p>Chairman Rabinowitz requested a motion from the Commission to address the request submitted by Churchill Downs Racetrack LLC, by and through its wholly owned subsidiary, Derby City Gaming LLC, to approve one new Scientific Games Corporation historical horse racing pari-mutuel wagering pool, 15 new associated entertaining game themes, and 5 additional pool configuration options. Motion by Commissioner Simendinger. Second by Commissioner O'Connor.</p> <p>Commissioner Kling presented the request by Derby City Gaming dated May 29, 2020 as outlined in the agenda materials.</p> <p>Approval is recommended by KHRC staff.</p>	<p>Chairman Rabinowitz called for a vote to approve the request as presented. Motion carried with no objections or abstentions.</p>

<b>AGENDA ITEM</b>	<b>TRANSCRIPT PAGE NUMBER(S)</b>	<b>DISCUSSION / CONCLUSIONS / RECOMMENDATIONS</b>	<b>ACTION TAKEN</b>
<p><b>Tab 10 Derby City's Request to Approve Additional Ainsworth Game Technology Historical Horse Racing Pools and Entertaining Game Themes</b></p>	<p>34 – 37</p>	<p>Chairman Rabinowitz requested a motion from the Commission to address the request submitted by Churchill Downs Racetrack LLC, by and through its wholly owned subsidiary, Derby City Gaming LLC, to approve 8 additional Ainsworth Game Technology entertaining game themes and 3 additional associated historical horse racing pari-mutuel wagering pools. Motion by Vice-Chairman Jones. Second by Commissioner Howard.</p> <p>Commissioner Kling presented the request by Derby City Gaming dated May 29, 2020 as outlined in the agenda materials.</p> <p>Approval was recommended by KHRC staff.</p>	<p>Chairman Rabinowitz called for a vote to approve the request as presented. Motion carried with no objections or abstentions.</p>
<p><b>Tab 11 KRM Wagering LLC Requested Approval for PariMAX Vresion 3.21, 3.22 and 3.23</b></p>	<p>37 – 39</p>	<p>Chairman Rabinowitz requested a motion from the Commission to address the request submitted by KRM Wagering LLC to approve PariMAX Pari-mutuel Wagering System Versions 3.21, 3.22, and 3.23. Motion by Commissioner Simendinger. Second by Commissioner Worley.</p> <p>Commissioner Kling presented the request submitted by KRM Wagering LLC dated May 27, 2020 as outlined in the agenda materials.</p> <p>Approval was recommended by KHRC staff.</p>	<p>Chairman Rabinowitz called for a vote to approve the request as presented. Motion carried with no objections or abstentions.</p>
<p><b>Tab 12 Kentucky Downs' Proposed 2020 Race Meet Officials and Date Preference System</b></p>	<p>39 – 41</p>	<p>Chairman Rabinowitz requested a motion from the Commission to address the request submitted by Kentucky Racing Acquisition LLC d/b/a Kentucky Downs to approve the proposed roster of racing officials and date preference system for Kentucky Downs' 2020</p>	<p>Chairman Rabinowitz called for a vote to approve the request as presented. Motion</p>

AGENDA ITEM	TRANSCRIPT PAGE NUMBER(S)	DISCUSSION / CONCLUSIONS / RECOMMENDATIONS	ACTION TAKEN
	40 – 41	<p>meet. Motion by Vice-Chairman Jones. Second by Commissioner Simendinger.</p> <p>Ms. Borden presented the request submitted by Kentucky Downs dated May 28, 2020 as outlined in the agenda materials. All listed officials are ROAP accredited and have previously performed the duties for which they are listed and will be licensed before the meet opening.</p> <p>Approval was recommended by KHRC staff.</p> <p>In response to an inquiry by Vice-Chairman Jones, Ms. Borden provided an explanation of the date preference system.</p>	carried with no objections or abstentions.
<p><b>Tab 13 Churchill Downs' Proposed 2020 September Derby and Regular September Race Meet Officials and Date Preference System</b></p>	41 – 43	<p>Chairman Rabinowitz requested a motion from the Commission to address the request submitted by Churchill Downs Racetrack LLC to approve the proposed roster of racing officials and date preference system for the September 2020 Derby Meet and for the September 2020 Regular Meet. Motion by Commissioner Hendrickson. Second by Commissioner Simendinger.</p> <p>Ms. Borden presented Churchill Downs' request dated May 28, 2020 as outlined in the agenda materials. All listed officials are ROAP accredited and have previously performed the duties for which they are listed and will be licensed before the meet opening.</p> <p>Approval is recommended by KHRC staff.</p>	Chairman Rabinowitz called for a vote to approve the request as presented. Motion carried with no objections or abstentions.
<p><b>Tab 14 Keeneland's Proposed 2020 July Race Meet Officials and Date Preference System</b></p>	43 – 44	<p>Chairman Rabinowitz requested a motion from the Commission to address the request submitted by Keeneland Association to approve the proposed roster of racing officials and date preference system for the July 2020 meet. Motion by Vice-Chairman Jones. Second by Commissioner Bell.</p>	Chairman Rabinowitz called for a vote to approve the request as presented. Motion carried with no

AGENDA ITEM	TRANSCRIPT PAGE NUMBER(S)	DISCUSSION / CONCLUSIONS / RECOMMENDATIONS	ACTION TAKEN
		<p>Ms. Borden presented Keeneland’s request dated May 28, 2020 as outlined in the agenda materials. All listed officials are ROAP accredited and have previously performed the duties for which they are listed and will be licensed before the meet opening.</p> <p>Approval is recommended by KHRC staff.</p>	objections or abstentions.
<p><b>Tab 15 The Red Mile’s Proposed 2020 Race Meet Officials</b></p>	44 – 45	<p>Chairman Rabinowitz requested a motion from the Commission to address the request submitted by The Lexington Trots Breeders Association, LLC d/b/a The Red Mile to approve the proposed roster of racing officials for the July 2020 meet. Motion by Commissioner Simendinger. Second by Commissioner O’Connor.</p> <p>Ms. Borden presented The Red Mile’s request dated May 29, 2020 as outlined in the agenda materials. All listed officials are ROAP accredited and have previously performed the duties for which they are listed and will be licensed before the meet opening.</p> <p>Approval is recommended by KHRC staff.</p>	Chairman Rabinowitz called for a vote to approve the request as presented. Motion carried with no objections or abstentions.
N/A	45	<b><u>BREAK TAKEN</u></b>	No action taken.
<p><b>Tab 16 Reports</b></p> <p><b>a. Thoroughbred Rulings</b></p> <p><b>b. Standardbred Rulings</b></p> <p><b>c. Veterinary Division Report</b></p>	<p>45 – 51</p> <p>46</p> <p>46 – 48</p> <p>48 – 49</p>	<p>a. Ms. Borden presented the thoroughbred rulings reports as outlined in the agenda materials.</p> <p>b. Executive Director Guilfoil presented the Standardbred rulings report as outlined in the agenda materials.</p> <p>c. Dr. Howard presented the veterinary division report as outlined in the agenda materials.</p>	No action taken. Informational items only.

AGENDA ITEM	TRANSCRIPT PAGE NUMBER(S)	DISCUSSION / CONCLUSIONS / RECOMMENDATIONS	ACTION TAKEN
<p>d. Pari-Mutuel Wagering Division Report</p> <p>e. <b>Litigation and Regulations</b></p>	<p>49 – 51</p> <p>51</p>	<p>d. Commissioner Kling presented the pari-mutuel wagering division report as outlined in the agenda materials.</p> <p>e. Litigation and Regulation report is for informational purposes only.</p>	
<p>III. Executive Session</p> <p>During the meeting on June 16, 2020, the Commission will go into closed session pursuant to KRS 61.810(1)(c) to discuss pending litigation</p> <p><b>Final Orders Regarding Lezcano v. KHRC, KHRC-20-TB-001</b></p> <p><b>Final Orders Regarding Cottongim &amp; Rice v. KHRC, KHRC-18-SB-011</b></p> <p><b>McDermott v. KHRC settlement</b></p>	<p>51 – 55</p> <p>51 – 52</p> <p>52</p>	<p>Chairman Rabinowitz requested a motion from the Commission to convene in closed session to discuss proposed or pending litigation against or on behalf of the agency as permitted by KRS Chapter 61.810(1)(c). Motion by Commissioner Kling. Second by Commissioner Simendinger.</p> <p style="text-align: center;"><b><u>EXECUTIVE SESSION</u></b></p> <p>Chairman Rabinowitz requested a motion from the Commission to reconvene in open session. Motion by Commissioner Cauthen. Second by Commissioner Simendinger.</p> <p style="text-align: center;"><b><u>RECONVENED TO OPEN SESSION</u></b></p>	<p>Chairman Rabinowitz called for a vote for the Commission to convene in closed session. Motion carried with no objections or abstentions.</p> <p>Chairman Rabinowitz called for a vote for the Commission to reconvene in open session. Motion carried with no objections or abstentions.</p>
<p>IV. Other Business</p> <p>Final Orders Regarding: <b>Lezcano v. KHRC, KHRC-20-TB-001, Cottongim &amp; Rice v. KHRC, KHRC-18-SB-011, McDermott v. KHRC settlement</b></p>	<p>53 – 54</p>	<p>Upon reconvening in open session, Chairman Rabinowitz requested a motion from the Commission to address the following KRS 13B administrative appeals: <i>Lezcano v. KHRC</i>, #KHRC-20-TB-001; <i>Cottongim &amp; Rice v. KHRC</i>, #KHRC-18-SB-011. Motion by Commissioner Simendinger. Second by Commissioner Bell.</p> <p>Ms. Wolsing presented the proposed final orders of dismissal regarding <i>Lezcano v. KHRC</i> and <i>Cottongim &amp; Rice v. KHRC</i> as outlined in the agenda materials.</p>	<p>Chairman Rabinowitz called for a vote to approve the proposed final orders of dismissal regarding <i>Lezcano v. KHRC</i> and <i>Cottongim &amp; Rice v. KHRC</i> as presented. Motion carried with no</p>

<i>AGENDA ITEM</i>	<i>TRANSCRIPT PAGE NUMBER(S)</i>	<i>DISCUSSION / CONCLUSIONS / RECOMMENDATIONS</i>	<i>ACTION TAKEN</i>
	54 – 55	<p>Chairman Rabinowitz requested a motion from the Commission to address the request submitted by the KHRC staff to approve the proposed settlement agreement with John P. McDermott, Jr. (McDermott). Motion by Commissioner Chowhan. Second by Vice-Chairman Jones.</p> <p>Ms. Wolsing presented the proposed settlement agreement with McDermott as outlined in the agenda materials.</p> <p>Approval was recommended by KHRC staff.</p>	<p>objections or abstentions.</p> <p>Chairman Rabinowitz called for a vote to approve the proposed settlement agreement with McDermott as presented. Motion carried with no objections or abstentions.</p>
	55 – 56	<p>Chairman Rabinowitz acknowledged and expressed his gratitude to the KHRC Executive Director and staff, KHRC committees, Commissioner Kling, Governor Beshear, and the Public Protection Cabinet for their hard work.</p>	<p>No action taken. Informational item only.</p>
<b>V. Adjournment</b>	56 – 57	<p>Chairman Rabinowitz requested a motion to adjourn. Motion by Commissioner Cauthen. Second by Commissioner Chowhan. Meeting adjourned.</p>	<p>Chairman Rabinowitz called for a vote to adjourn. Motion carried with no objections or abstentions.</p>

**NOTE:** The Agenda materials and any amendments and/or supplements thereto, subject to any applicable exemptions, and the official Transcript are incorporated by reference as if set forth fully herein.



ANDY BESHEAR  
GOVERNOR

KERRY B. HARVEY  
SECRETARY

JONATHAN RABINOWITZ  
CHAIRMAN

MARC A. GUILFOIL  
EXECUTIVE DIRECTOR

**PUBLIC PROTECTION CABINET**  
**KENTUCKY HORSE RACING COMMISSION**  
ESTABLISHED 1906  
4063 IRON WORKS PKWY., BLDG. B  
LEXINGTON, KENTUCKY 40511  
TELEPHONE: (859) 246-2040 FAX: (859) 246-2039  
WEBSITE: [HTTP://KHRC.KY.GOV](http://khrc.ky.gov)

**TO: ALL COMMISSION MEMBERS**  
**FROM: Jamie H. Eads, KHRC**  
**DATE: August 4, 2020**  
**SUBJECT: Ratification of KHRC Executive Director Approval of amended KTDF purses and purse structure during the Spring Meet at Churchill Downs.**

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On May 1, 2020, the KHRC & KTDF committee received the following letter from Churchill Downs seeking to amend the KTDF purses and purse structure for the 2020 Spring Meet. The request to amend was due to business disruption related to the coronavirus pandemic. The original KTDF purses and purse structure request was approved by the commission on February 18, 2020.

The KTDF Advisory Committee met, reviewed and approved the amended request on May 7, 2020.

Pursuant to KRS 230.230(1), KHRC Executive Director Marc Guilfoil granted conditional approval on May 7, 2020 and the commission is asked to ratify this approval.

Approval is recommended.

**COMMISSION ACTION**

- \_\_\_\_\_ Approve
- \_\_\_\_\_ Defer
- \_\_\_\_\_ Deny





## CHURCHILL DOWNS

May 1, 2020

KTDF Advisory Committee of the Kentucky Horse Racing Commission  
4063 Iron Works Parkway  
Building B  
Lexington, Kentucky 40511

RECEIVED

MAY 01 2020

KENTUCKY HORSE  
RACING COMMISSION

### Committee Members:

Due to the business disruption related to the coronavirus pandemic, Churchill Downs has reviewed and revised our purses and would like to card KTDF purses in the range of \$2.8 million to \$3.2 million for our upcoming Spring Meet. We have based the range on the following information:

1. At the end of our 2019 Fall Meet, Churchill Downs had a surplus balance of \$733,736.68. Based on the recent KTDF reconciliation at the end of the Winter / Spring Meet at Turfway Park, there was a residual HHR balance of \$662,908.88 which will be added to Churchill's existing surplus. Our surplus to start the 2020 Spring Meet will be \$1,396,645.56.
2. Our 2020 Spring Meet will consist of 26 racing days beginning on Saturday, May 16th through Saturday, June 27th. We are projecting daily earnings of \$23,701 which is \$108,739 or -82% below the \$132,440 earned in 2019. The primary driver of the decrease is the KTDF earned from pari-mutuel wagering from Derby City Gaming LLC ("Derby City") that was allocated to Turfway Park from the beginning of December 2019 through mid-March 2020 and business disruption due to the pandemic.
3. For Spring 2020, we are assuming a KTDF payout percentage of 86.5% with anticipated KTDF disbursements of \$2.6 million which is \$1.8 million lower than the \$4.4 million paid during the Spring 2019 Meet. The primary driver of the decrease is the KTDF earnings from Derby City used for purses paid at Turfway Park for both the 2019 Holiday and 2020 Winter / Spring Meet and the business disruption due to the pandemic.
4. To encourage participation during the Spring Meet, we are again planning to pay KTDF purses from first to last to all eligible horses.

Please contact either of us should you have any questions or need additional information.

Sincerely,

Ben Huffman  
Vice President, Racing & Racing Secretary

Thomas Minnici  
Senior Director of Finance



ANDY BESHEAR  
GOVERNOR

KERRY B. HARVEY  
SECRETARY

FRANKLIN S. KLING, JR.  
CHAIRMAN

MARC A. GUILFOIL  
EXECUTIVE DIRECTOR

**PUBLIC PROTECTION CABINET**  
**KENTUCKY HORSE RACING COMMISSION**  
ESTABLISHED 1906  
4063 IRON WORKS PKWY., BLDG. B  
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WEBSITE: [HTTP://KHRC.KY.GOV](http://KHRC.KY.GOV)

**TO: ALL COMMISSION MEMBERS**  
**FROM: Jamie H. Eads, KHRC**  
**DATE: January 30, 2020**  
**SUBJECT: KTDF PURSE STRUCTURE – CHURCHILL DOWNS’ SPRING MEET**

On January 28, 2020, the KTDF Advisory Committee considered the following request from Churchill Downs with its KTDF purse structure for the 2020 spring meet.

KHRC staff confirms that at the end of the 2019 fall meet, Churchill Downs had a surplus of \$733,736.68. Churchill Downs is proposing KTDF purses of approximately \$4-\$4.5 million. Churchill Downs’ projections are based on a payout of 86.5% of the amount carded, daily earnings of \$78,098, which includes earnings from wagers on historical horse racing at Derby City Gaming.

Churchill Downs will pay out KTDF funds through last place and in the event of a dead heat, the KTDF portion will be handled the same as the purse.

The KTDF Advisory Committee recommends approval.

COMMISSION ACTION

- Approved
- Deferred
- Denied

*Commission approved*  
*2-18-2020*





January 24, 2020

KTDF Advisory Committee of the  
Kentucky Horse Racing Commission  
4063 Iron Works Parkway  
Building 8  
Lexington, Kentucky 40511

Committee Members:

Churchill Downs has reviewed our purses and would like to card KTDF purses in the range of \$4.0 million to \$4.5 million for our upcoming Spring Meet. We have based the range on the following information:

1. Churchill Downs had a surplus balance of \$733,736.68 at the end of our 2019 Fall Meet.
2. Our 2020 Spring Meet will consist of 38 racing days beginning on Saturday, April 25th through Saturday, June 27th. We are projecting daily earnings of \$78,098 which is \$54,342 or -41% below the \$132,440 earned in 2019. The primary driver is the KTDF earned from pari-mutuel wagering from Derby City Gaming LLC ("Derby City") that was allocated to Turfway Park from the beginning of December 2019 through the end of March 2020.
3. For Spring 2020, we are assuming a KTDF payout percentage of 86.5% with anticipated KTDF disbursements of \$3.9 million which is \$0.5 million lower than the \$4.4 million paid during the Spring 2019 Meet. The primary driver of the decrease is the KTDF earnings from Derby City used for purses paid at Turfway Park for both the 2019 Holiday and 2020 Winter / Spring Meet.
4. To encourage participation during the Spring Meet, we are again planning to pay KTDF purses from first to last to all eligible horses.

Please contact either of us should you have any questions or need additional information.

Sincerely,

Ben Huffman  
Vice President, Racing & Racing Secretary

Thomas Minnici  
Senior Director of Finance

JAN 24 2020

KENTUCKY HORSE  
RACING COMMISSION



## CHURCHILL DOWNS

### Additional Information Required for Approval of KTDF in 2020

In response to the KTDF Advisory Board's request for information, Churchill Downs Racetrack has made the following updates and efforts to increase Thoroughbred Development Fund generation:

- **Live Racing**
  - Nearly \$170 million in major capital improvements from 2010 through 2018 to enhance the customer experience
    - 2010 - Permanent Lighting for Track
    - 2013 - Paddock Plaza and The Mansion
    - 2014 - Big Board and Grandstand Pavilion
    - 2015 - Winners Circle Suites and The Courtyard
    - 2016 - Stakes Room and Turf Club
    - 2017 - Loft at Aristides Lounge and 2<sup>nd</sup> Floor Clubhouse
    - 2018 - Starting Gate Suites and Colonnade, Parking, and Transportation Project
  - 2018 – 2019 Improvements ... \$13.3 million capital investment
    - Starting Gate Suites Rooftop Lounge
    - New Infield Gate
    - Completion of Colonnade Wall from Paddock Gate to Clubhouse Gate
  - 2020 and 2021
    - Renovation of Millionaires Row 6 ... \$11 million investment for 2020 Derby
    - Invest \$300 million to build a hotel, historical racing machine ("HRM") facility, and permanent stadium seating ... November 2021 targeted completion
  - Results for the 2019 Fall Meet Meet
    - All Sources Handle was \$125.5 million which represents an increase of \$19.1 million (or +17.9%) compared to prior year
    - Attendance for the Meet was 106,609 which was above prior year 17,826 (or +20.1%)
    - Number of starters per race was 8.90 which was flat to prior year
    - Total purses paid was \$18.6 million which represents an increase of \$7.2 million (or +62.4%) compared to prior year
    - KTDF purses paid was \$3.0 million which represents an increase of \$2.2 million (or 252%) compared to prior year
    - Average total purses paid per day was \$746 thousand which represents an increase of \$141 thousand (or +23.4%) compared to prior year
    - There were 250 claims during this Fall Meet compared to 147 last year
- **Simulcasting**
  - 2012 – Invested over \$4 million in the Parlay which is our dark time simulcast facility
  - 2017 - \$16 million capital investment in the 2<sup>nd</sup> Floor Clubhouse and refresh of the Aristides Lounge which is our live racing simulcast facility
  - From the end of the Fall 2019 Meet until Sunday, January 19, 2020, wagering on thoroughbred racing was \$2.9 million which has generated \$57,228 in KTDF

JAN 24 2020

KENTUCKY HORSE  
RACING COMMISSION

- Compared to last year, our daily average wagering is \$13,743 (or -14%) lower than prior year. We had one less simulcast day (35 days vs. 36 days last year) and our average handle per patron is down 9%.
- Historical Horse Racing
  - Invested \$65 million in Derby City Gaming facility
    - From opening day in September 2018 through December 31, 2019, Derby City Gaming has generated \$9.5 million in KTDF
      - Average daily handle at Derby City Gaming has grown from \$1.35 million in September 2018 to \$3.44 million in December 2019 (156% growth)
      - Here is the average daily handle by month since its opening
        - September 2018 – \$1.35 million (20 days)
        - October 2018 - \$1.45 million
        - November 2018 - \$1.66 million
        - December 2018 - \$1.87 million
        - January 2019 - \$1.92 million
        - February 2019 – \$2.69 million
        - March 2019 - \$2.99 million
        - April 2019 - \$2.76 million
        - May 2019 - \$2.99 million
        - June 2019 - \$2.93 million
        - July 2019 - \$2.96 million
        - August 2019 - \$3.15 million
        - September 2019 - \$3.15 million
        - October 2019 - \$3.13 million
        - November 2019 - \$3.43 million
        - December 2019 - \$3.44 million

JAN 24 2020

KENTUCKY HORSE  
RACING COMMISSION





Example: Dead Heat for 2nd between an eligible and non-eligible horse using the above example.

	<u>2nd</u>	<u>3rd</u>	<u>Total</u>	
KTDF:				
Payout	\$1,480.00	\$740.00	\$2,220.00	---> Eligible horse would receive 50% of the Total for 2nd and 3rd pla
	<u>KTDF</u>	<u>KTDF</u>		
	<u>Payout</u>	<u>Reverts</u>		
	\$1,110.00	\$1,110.00		

### THE KENTUCKY THOROUGHBRED DEVELOPMENT FUND (KTDF)

Given the robust business during calendar year 2019, the state-of-the-art historical racing machines at nearby Derby City Gaming has generated \$8.1 million in KTDF for horsemen with Kentucky-sired Kentucky-breds to run for in designated stakes, handicap, allowance, non-claiming maiden races, and allowance optional claiming races with a claiming price of not less than twenty-five thousand dollars (\$25,000) contested at Churchill Downs Racetrack.

Eligible races can be readily recognized in the stakes and condition books by the Kentucky Thoroughbred Development Fund seal, which will appear next to each race in the stakes and condition books.

In accordance with KRS 230.400(5) (d), it is mandatory for a horse to be registered prior to time of entry to be eligible to participate in the distribution of Kentucky Thoroughbred Development Fund monies. Registration is only complete once the application is approved and payment has been received.

All horses of racing age that are both Kentucky-bred and sired by a Kentucky stallion which stood in Kentucky the entire breeding season the year the horse was conceived are eligible to be registered. Owners of horses of racing age are responsible for registering their horses. Stallion owners are responsible for registering their stallions.

Registration applications may be obtained from the official registrar, the Kentucky Thoroughbred Owners and Breeders, by contacting:

Mrs. Jenny McGaughey, Registrar  
 Kentucky Thoroughbred Owners and Breeders  
 4079 Iron Works Parkway  
 Lexington, Kentucky 40511  
 Phone: 859-259-1643  
 Mobile: 859-361-6002

Registration may be completed online at [www.kta-ktob.com](http://www.kta-ktob.com). The Horse Identifier can check registration status of a horse.

For those horses that are registered and eligible according to the conditions listed above, fund monies will be divided as follows:

- 60%.....to the winner
- 20%.....to second
- 10%.....to third
- 5%.....to fourth
- 3%.....to fifth

JAN 24 2020

KENTUCKY HORSE  
 COMMISSION



Remaining 2% divided among the starters based on the schedule listed above.

Unearned fund monies revert to the Kentucky Thoroughbred Development Fund. Additionally, if a non-KTDF eligible horse is involved in a dead heat, those funds will revert to the fund.

PLEASE NOTE: ALL KTDF PURSE ALLOTMENTS CONTAINED HEREIN ARE SUBJECT TO KHRC APPROVAL

If you have any questions or need additional information, please reach out to me or Ben Huffman.

Sincerely,

Thomas Minneci  
Senior Director, Finance  
Churchill Downs Race Track  
700 Central Avenue  
Louisville, KY 40208  
Office: 502-638-3845  
Cell: 502-424-9372  
[tom.minneci@kyderby.com](mailto:tom.minneci@kyderby.com)

Ben Huffman  
Vice President, Racing & Racing Secretary  
Churchill Downs Race Track  
700 Central Avenue  
Louisville, KY 40208  
Office: 502-638-3820  
Fax: 800-928-3372  
[ben.huffman@kyderby.com](mailto:ben.huffman@kyderby.com)



JAN 24 2020

KENTUCKY HORSE  
RACING COMMISSION



ANDY BESHEAR  
GOVERNOR

KERRY B. HARVEY  
SECRETARY

JONATHAN RABINOWITZ  
CHAIRMAN

MARC A. GUILFOIL  
EXECUTIVE DIRECTOR

**PUBLIC PROTECTION CABINET**  
**KENTUCKY HORSE RACING COMMISSION**  
ESTABLISHED 1906  
4063 IRON WORKS PKWY., BLDG. B  
LEXINGTON, KENTUCKY 40511  
TELEPHONE: (859) 246-2040 FAX: (859) 246-2039  
WEBSITE: [HTTP://KHRC.KY.GOV](http://KHRC.KY.GOV)

**TO: ALL COMMISSION MEMBERS**  
**FROM: Jamie H. Eads, KHRC**  
**DATE: August 4, 2020**  
**SUBJECT: KTDF PURSES AND PURSE STRUCTURE – AMENDED: KENTUCKY  
DOWNS SEPTEMBER MEET.**

---

On August 4, 2020, the KTDF Advisory Committee considered the following request from Kentucky Downs with its KTDF purses and purse structure for the 2020 September Meet. This is an amendment from the original request approved by the Commission on June 16, 2020.

Kentucky Downs is proposing KTDF purses of \$4,900,000 and up to \$75,000 in advertising to promote the states support of Kentucky thoroughbreds and racing. Kentucky Downs would like to send up to \$1,100,000 to Ellis Park for their 2020 Summer Meet.

Kentucky Downs will pay out KTDF funds through last place and in the event of a dead heat, if a non-KTDF horse is involved in the dead-heat, those funds will revert to the fund.

The KTDF Advisory Committee recommends approval.

**COMMISSION ACTION**

\_\_\_\_\_ Approve  
\_\_\_\_\_ Defer  
\_\_\_\_\_ Deny





July 28, 2020

5629 Nashville Road  
Franklin, Kentucky 42134

270-586-7778

KentuckyDowns.com

Dear KTDF Committee Members,

Seven weeks ago Kentucky Downs was able to re-open our doors to Simulcasting and Historical Horse Racing. As we now prepare for our six-day racing season, we want to adjust our request from June's meeting. We are projecting to have a total of \$6 million in KTDF funds available by mid-September. We are requesting \$4.9 million in KTDF purses and up to \$75,000 in advertising to promote the Commonwealth's support of Kentucky thoroughbreds and racing.

We also would like to request approval from the committee to send up to \$1.1 million in KTDF funds to Ellis Park for their current meeting. Any unused funds would revert back to Kentucky Downs' KTDF account.

We plan to pay KTDF money to every eligible starter as in the past. Additionally, if a non-KTDF eligible horse is involved in a dead-heat, these funds will revert to the fund.

We appreciate your review of this proposal and urge your approval of this plan.

Sincerely,

A handwritten signature in black ink, appearing to read "Ted Nicholson", written over a large, stylized flourish.

Ted Nicholson

Senior VP/General Manager

RECEIVED

JUL 30 2020

KENTUCKY HORSE  
RACING COMMISSION



ANDY BESHEAR  
GOVERNOR

JONATHAN RABINOWITZ  
CHAIRMAN

KERRY B. HARVEY  
SECRETARY

MARC A. GUILFOIL  
EXECUTIVE DIRECTOR

**PUBLIC PROTECTION CABINET**  
**KENTUCKY HORSE RACING COMMISSION**  
ESTABLISHED 1906  
4063 IRON WORKS PKWY., BLDG. B  
LEXINGTON, KENTUCKY 40511  
TELEPHONE: (859) 246-2040 FAX: (859) 246-2039  
WEBSITE: [HTTP://KHRC.KY.GOV](http://KHRC.KY.GOV)

**TO: ALL COMMISSION MEMBERS**  
**FROM: Jamie H. Eads, Deputy Executive Director, Kentucky Horse Racing Commission**  
**DATE: June 4, 2020**  
**SUBJ: KTDF PURSE STRUCTURE – KENTUCKY DOWNS SEPTEMBER MEET**

On June 3, 2020, the KTDF Advisory Committee considered the following request from Kentucky Downs with its KTDF purse structure for the 2020 September meet.

Kentucky Downs is proposing KTDF purses of 4,700,000 and up to \$75,000 in advertising to promote the states support of Kentucky thoroughbreds and racing. Kentucky Downs will pay KTDF funds to every eligible starter. In the event of a dead heat, if a non-KTDF horse is involved in the dead heat, those funds will revert to the fund.

The KTDF Advisory Committee recommends approval of up to \$4.7 million in KTDF purses and up to \$75,000 in advertising pursuant to 810 KAR 7:030.

**COMMISSION ACTION**

  X    
            
            
          

Approved  
Deferred  
Denied

*Commission*  
*Approved 6-16-2020*





5529 Nashville Road  
Franklin, Kentucky 42134

270-586-7778

[KentuckyDowns.com](http://KentuckyDowns.com)

June 4, 2020

Dear KTDF Committee Members,

Kentucky Downs is planning to reopen for business hopefully sooner than later. That being said, as we prepare for our 6-day racing season we currently have a total of \$4.7 million in KTDF funds available to be used for our meet. That includes \$161,021 that was remaining from our 2019 meet. We are requesting approval from the committee to offer \$4.7 million in KTDF purses and up to \$75,000 in advertising to promote the Commonwealth's support of Kentucky thoroughbreds and racing.

At this time, without any revenues being derived from HHR, we cannot commit any KTDF purse money to be moved to any other racetracks. We hope in the near future we are allowed to reopen our HHR facility, which would increase our purse account and allow for some dispersal.

We plan to pay KTDF money to every eligible starter as in the past. Additionally, if a non-KTDF eligible horse is involved in a dead-heat, those funds will revert to the fund.

We appreciate your review of this proposal and urge your approval of this plan.

Sincerely,

A handwritten signature in black ink, appearing to read "Ted Nicholson", is written over the word "Sincerely,".

Ted Nicholson  
Senior Vice President and General Manager



ANDY BESHEAR  
GOVERNOR

KERRY B. HARVEY  
SECRETARY

JONATHAN RABINOWITZ  
CHAIRMAN

MARC A. GUILFOIL  
EXECUTIVE DIRECTOR

**PUBLIC PROTECTION CABINET**  
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LEXINGTON, KENTUCKY 40511  
TELEPHONE: (859) 246-2040 FAX: (859) 246-2039  
WEBSITE: [HTTP://KHRC.KY.GOV](http://KHRC.KY.GOV)

**TO: ALL COMMISSION MEMBERS**  
**FROM: Jamie H. Eads, KHRC**  
**DATE: August 4, 2020**  
**SUBJECT: KTDF PURSES AND PURSE STRUCTURE – AMENDED: ELLIS PARK  
SUMMER MEET.**

---

On August 4, 2020, the KTDF Advisory Committee considered the following request from Ellis Park with its KTDF purses and purse structure for the 2020 Summer Meet. This is an amendment from the original request approved by the Commission on June 16, 2020.

Ellis Park is proposing KTDF purses in the range of \$2,500,000 to \$2,600,000 which includes a \$125,000 KTDF transfer from Keeneland and up to \$1,100,000 from Kentucky Downs. KHRC staff confirms Ellis Park ended 2019 with a surplus of \$520,000 in KTDF funds. Ellis Park is assuming a payout percentage of 95%.

Ellis Park will pay out KTDF funds through last place and in the event of a dead heat, if a non-KTDF horse is involved in the dead-heat, those funds will revert to the fund.

The KTDF Advisory Committee recommends approval.

**COMMISSION ACTION**

\_\_\_\_\_ Approve  
\_\_\_\_\_ Defer  
\_\_\_\_\_ Deny





August 3, 2020

KTDF Advisory Committee of the  
Kentucky Horse Racing Commission  
4063 Iron Works Parkway  
Building B  
Lexington, KY 40511

Committee Members:

We have been informed that Kentucky Downs will transfer \$1.1M of KTDF funds to Ellis Park. As a result, we have updated the projections for the 2020 season. We propose to pay KTDF purses in the range of \$2.5-\$2.6M for the 2020 summer meet, which includes \$125k KTDF transferred from Keenland and \$1.1M transferred from Kentucky Downs from wagers on HHR. This is an increase of \$1.45M from our initial estimates due to the Kentucky Downs transfer, and higher than projected HHR wagering since reopening from the Covid shutdown.

1. We ended the 2019 race meet with an underpayment of \$520,000 of KTDF funds. This resulted from two heat day cancellations, and one rain out.
2. In our 2019 summer meet we offered approximately \$3,359,000 in KTDF purses (inclusive of \$2,264,000 KTDF funds transferred from KY Downs) and paid out \$2,896,500 in KTDF purses.
3. We are assuming a KTDF payout percentage of approximately 95%.
4. We are projecting daily KTDF purses paid of \$107k for the 2020 season, which equals the amount paid in 2019. Based on the Kentucky Downs transfer and amounts previously paid and carded through August 8, 2020, we propose increasing the KTDF purses approximately \$5k per race through August 30, 2020. Any underpayment in KTDF purses will revert back to Kentucky Downs.
5. Ellis Park will pay out KTDF funds through last place as follows:
  - a. 1<sup>st</sup> Place = 60%
  - b. 2<sup>nd</sup> Place = 20%
  - c. 3<sup>rd</sup> Place = 10%
  - d. 4<sup>th</sup> Place = 5%
  - e. 5<sup>th</sup> Place = 3%
  - f. Remaining 2% divided evenly among starters
  - g. If a non-KTDF eligible horse is involved in a dead heat, those funds will revert to the fund
6. Ellis Park will continue to monitor the earnings and payouts on a daily basis and adjust these figures accordingly.

Please contact me should you have any questions or need additional information.

Sincerely,

A handwritten signature in blue ink, appearing to read "Jason Greene".

Jason Greene  
Ellis Entertainment



ANDY BESHEAR  
GOVERNOR

KERRY B. HARVEY  
SECRETARY

JONATHAN RABINOWITZ  
CHAIRMAN

MARC A. GUILFOIL  
EXECUTIVE DIRECTOR

### PUBLIC PROTECTION CABINET

#### KENTUCKY HORSE RACING COMMISSION

ESTABLISHED 1906

4063 IRON WORKS PKWY., BLDG. B

LEXINGTON, KENTUCKY 40511

TELEPHONE: (859) 246-2040 FAX: (859) 246-2039

WEBSITE: [HTTP://KHRC.KY.GOV](http://KHRC.KY.GOV)

**TO: ALL COMMISSION MEMBERS**  
**FROM: Jamie H. Eads, Deputy Executive Director, Kentucky Horse Racing Commission**  
**DATE: June 4, 2020**  
**SUBJ: KTDF PURSE STRUCTURE – ELLIS PARK SUMMER MEET**

On June 3, 2020, the KTDF Advisory Committee considered the following request from Ellis Park with its KTDF purse structure for the 2020 Summer meet.

Ellis Park is proposing KTDF purses of approximately \$1,150,000 for their Summer meet, this includes the \$125,000 transfer from Keeneland. KHRC staff confirms Ellis Park ended 2019 with a surplus of \$520,000 in KTDF funds. Ellis Park is assuming a payout percentage of 95%.

Ellis Park will pay out KTDF funds through last place. In the event of a dead heat, if a non-KTDF horse is involved in the dead heat, those funds will revert to the fund.

The KTDF Advisory Committee recommends approval.

#### COMMISSION ACTION

<u>          X          </u>	Approved	Commission approved June 18, 2020
<u>                          </u>	Deferred	
<u>                          </u>	Denied	



# Ellis Park

RACING • GAMING

June 2, 2020

KTDF Advisory Committee of the  
Kentucky Horse Racing Commission  
4063 Iron Works Parkway  
Building B  
Lexington, KY 40511

## Committee Members:

As a result of the business interruption caused by the Covid-19 pandemic, Ellis Park has reviewed and revised our KTDF projections. We anticipate our revised KTDF purses to be in the range of for live race handle along with the Historical Racing handle and we propose to pay KTDF purses in the range of \$1.15M for our upcoming 2020 summer meet, which includes \$125k KTDF transferred from wagers on HHR at Keenland. This is a decrease of \$800k from our initial estimates pre-Covid. We have based the range on the following information:

1. We ended the 2019 race meet with an underpayment of \$520,000 of KTDF funds. This resulted from two heat day cancellations, and one rain out.
2. In our 2019 summer meet we offered approximately \$3,359,000 in KTDF purses (inclusive of \$2,264,000 KTDF funds transferred from KY Downs) and paid out \$2,896,500 in KTDF purses.
3. We are assuming a KTDF payout percentage of approximately 95%.
4. We are projecting daily earnings of \$37,039 for 2020, which is a decrease from the \$107,278 paid in 2019. The decrease is the result of \$2.1M less KTDF transferred from KY Downs/Keenland, along with assuming no KTDF earnings for the summer 2020 race meet. We have excluded 2020 earnings due to the uncertainty from the State of Kentucky on when the track can reopen for business. Should a definitive timeline of opening become available, we will subsequently revise our projections and request additional funds from the KTDF committee.
5. Ellis Park will pay out KTDF funds through last place as follows:
  - a. 1<sup>st</sup> Place = 60%
  - b. 2<sup>nd</sup> Place = 20%
  - c. 3<sup>rd</sup> Place = 10%
  - d. 4<sup>th</sup> Place = 5%
  - e. 5<sup>th</sup> Place = 3%
  - f. Remaining 2% divided evenly among starters
  - g. If a non-KTDF eligible horse is involved in a dead heat, those funds will revert to the fund



6. Ellis Park will monitor the earnings and payouts on a daily basis and adjust these figures accordingly in our second condition book.

Please contact me should you have any questions or need additional information.

Sincerely,

A handwritten signature in blue ink that reads "M. Jason Greene". The signature is written in a cursive, flowing style.

Jason Greene  
Ellis Entertainment



ANDY BESHEAR  
GOVERNOR

KERRY B. HARVEY  
SECRETARY

JONATHAN RABINOWITZ  
CHAIRMAN

MARC A. GUILFOIL  
EXECUTIVE DIRECTOR

**PUBLIC PROTECTION CABINET**  
**KENTUCKY HORSE RACING COMMISSION**  
ESTABLISHED 1906  
4063 IRON WORKS PKWY., BLDG. B  
LEXINGTON, KENTUCKY 40511  
TELEPHONE: (859) 246-2040 FAX: (859) 246-2039  
WEBSITE: [HTTP://KHRC.KY.GOV](http://KHRC.KY.GOV)

**TO: ALL COMMISSION MEMBERS**  
**FROM: Jamie H. Eads, KHRC**  
**DATE: August 4, 2020**  
**SUBJECT: KTDF PURSE STRUCTURE – CHURCHILL DOWNS SEPTEMBER MEET.**

---

On August 4, 2020, the KTDF Advisory Committee considered the following request from Churchill Downs with its KTDF purse structure for the 2020 September Meet.

KHRC staff confirms that at the end of the 2020 September Meet, Churchill Downs had a surplus of \$4,494. Churchill Downs is proposing KTDF purses of approximately \$1-\$1.4 million. The projections are based on a payout of 86.5% of the amount carded and daily KTDF earnings of \$153,911; which includes earnings from wagers on historical horse racing at Derby City Gaming.

Churchill Downs will pay out KTDF funds through last place and if a non-eligible horse is involved in a dead heat, those funds will revert to the fund.

The KTDF Advisory Committee recommends approval.

**COMMISSION ACTION**

- \_\_\_\_\_ Approve
- \_\_\_\_\_ Defer
- \_\_\_\_\_ Deny

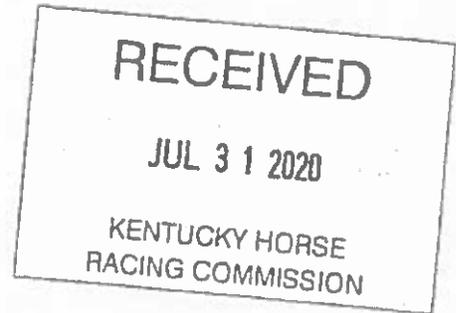




## CHURCHILL DOWNS

July 30, 2020

KTDF Advisory Committee of the Kentucky Horse Racing Commission  
4063 Iron Works Parkway  
Building B  
Lexington, Kentucky 40511



### Committee Members:

Churchill Downs has reviewed our purses and would like to card KTDF purses in the range of \$1.0 million to \$1.4 million for our upcoming September Meet. We have based the range on the following information:

1. Churchill Downs had a surplus balance of \$4,494 at the end of our 2020 Spring Meet.
2. Our 2020 September Meet will consist of 14 racing days (Tuesday, September 1st through Saturday, September 5th and Thursday, September 17th through Sunday, September 27th). We are projecting daily earnings of \$153,911 which is \$49,241 (or -24.2%) below the \$203,152 earned in 2019. The primary driver is the KTDF earned from pari-mutuel wagering from Derby City Gaming LLC ("Derby City"). Derby City was closed due to the pandemic from March 16th through June 7th.
3. For September 2020, we are assuming a KTDF payout percentage of 86.5% with anticipated KTDF disbursements of \$1.1 million which is \$0.1 million lower than the paid amount during the September 2019 Meet.
4. To encourage participation during the September Meet, we are again planning to pay KTDF purses from first to last to all eligible horses.

Please contact either of us should you have any questions or need additional information.

Sincerely,

Ben Huffman  
Vice President, Racing & Racing Secretary

Thomas Minneci  
Vice President, Finance

## Additional Information Required for Approval of KTDF in 2020

In response to the KTDF Advisory Board's request for information, Churchill Downs Racetrack has made the following updates and efforts to increase Thoroughbred Development Fund generation:

- Live Racing
  - Nearly \$170 million in major capital improvements from 2010 through 2018 to enhance the customer experience
    - 2010 - Permanent Lighting for Track
    - 2013 - Paddock Plaza and The Mansion
    - 2014 - Big Board and Grandstand Pavilion
    - 2015 - Winners Circle Suites and The Courtyard
    - 2016 - Stakes Room and Turf Club
    - 2017 - Loft at Aristides Lounge and 2<sup>nd</sup> Floor Clubhouse
    - 2018 - Starting Gate Suites and Colonnade, Parking, and Transportation Project
  - 2018 – 2019 Improvements ... \$13.3 million capital investment
    - Starting Gate Suites Rooftop Lounge
    - New Infield Gate
    - Completion of Colonnade Wall from Paddock Gate to Clubhouse Gate
  - 2020 and 2021
    - Matt Winn's Steakhouse (renovation of Millionaires Row 6) ... \$11 million investment for 2020 Derby
    - Invest \$300 million to build a hotel, historical racing machine ("HRM") facility, and permanent stadium seating ... On Hold
  - Results for the 2020 Spring Meet  
Here are the results for the 27 day Spring 2020 Meet (5/16/2020 through 6/28/2020) versus the 32 day Spring 2019 Meet (5/9/2019 through 6/29/2019) which excludes Derby Week -
    - All Sources Handle was \$240.5 million which represents an increase of \$83.6 million (or +53.3%) compared to prior year
    - Number of starters per race was 9.46 which was 1.03 (or +12.3%) higher than prior year
    - Total purses paid was \$15.6 million which represents a decrease of \$3.7 million (or -19.2%) compared to prior year
    - Average total purses paid per day was \$576 thousand which represents a decrease of \$25 thousand (or -4.2%) compared to prior year
    - There were 216 claims during this Spring Meet compared to 282 last year
    - Total Claim Amount was \$5.0 million which represents a decrease of \$1.2 million (or -18.7%) compared to prior year
- Simulcasting
  - 2012 – Invested over \$4 million in the Parlay which is our dark time simulcast facility
  - 2017 - \$16 million capital investment in the 2<sup>nd</sup> Floor Clubhouse and refresh of the Aristides Lounge which is our live racing simulcast facility
- Historical Horse Racing
  - Invested \$65 million in Derby City Gaming facility

- From opening day in September 2018 through December 31, 2019, Derby City Gaming has generated \$9.5 million in KTDF
  - Average daily handle at Derby City Gaming has grown from \$1.35 million in September 2018 to \$3.79 million in June 2020
  - Here is the average daily handle by month since its opening
    - September 2018 - \$1.35 million (20 days)
    - October 2018 - \$1.45 million
    - November 2018 - \$1.66 million
    - December 2018 - \$1.87 million
    - January 2019 - \$1.92 million
    - February 2019 - \$2.69 million
    - March 2019 - \$2.99 million
    - April 2019 - \$2.76 million
    - May 2019 - \$2.99 million
    - June 2019 - \$2.93 million
    - July 2019 - \$2.96 million
    - August 2019 - \$3.15 million
    - September 2019 - \$3.15 million
    - October 2019 - \$3.13 million
    - November 2019 - \$3.43 million
    - December 2019 - \$3.44 million
    - January 2020 - \$3.44 million
    - February 2020 - \$3.90 million
    - March 2020 - \$3.77 million ... 15 days (closed from 3/16 to 6/7)
    - June 2020 - \$3.79 million ... 23 days
- On April 21, 2020, CDI's Board of Directors approved \$13.5 million of capital expenditures related to the construction of a new outdoor smoking patio on the south side of our existing Derby City Gaming facility to complement the current smoking patio on the north side of the facility. The new smoking patio will result in a net addition of 195 HRM's to Derby City Gaming. We anticipate that the new smoking patio will open by early fourth quarter of 2020.



# CHURCHILL DOWNS

July 30, 2020

KTDF Advisory Committee of the Kentucky Horse Racing Commission  
 4063 Iron Works Parkway  
 Building B  
 Lexington, Kentucky 40511

**Committee Members:**

The following information will be included in Churchill Downs' 2020 September Condition Book related to the Kentucky Thoroughbred Development Fund (KTDF).

**CHURCHILL DOWNS RACE TRACK  
 KENTUCKY THOROUGHBRED DEVELOPMENT FUND (KTDF)  
 ALLOCATION OF FUND BASED ON NUMBER OF STARTERS AND FINISH**

For those horses that are registered and eligible according to the condition listed above, fund monies will be divided as follows: 60% to the winner, 20% to the second, 10% to the third, 5% to the fourth, 3% to the fifth and the remaining 2% to be allocated among sixth through last based on the schedule below.

Finish	Number of Starters									
	5	6	7	8	9	10	11	12	13	14
1st	60.00%	60.00%	60.00%	60.00%	60.00%	60.00%	60.00%	60.00%	60.00%	60.00%
2nd	20.00%	20.00%	20.00%	20.00%	20.00%	20.00%	20.00%	20.00%	20.00%	20.00%
3rd	10.00%	10.00%	10.00%	10.00%	10.00%	10.00%	10.00%	10.00%	10.00%	10.00%
4th	5.00%	5.00%	5.00%	5.00%	5.00%	5.00%	5.00%	5.00%	5.00%	5.00%
5th	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%
6th		2.00%	1.00%	0.67%	0.50%	0.40%	0.34%	0.29%	0.25%	0.23%
7th			1.00%	0.67%	0.50%	0.40%	0.34%	0.29%	0.25%	0.23%
8th				0.66%	0.50%	0.40%	0.33%	0.29%	0.25%	0.22%
9th					0.50%	0.40%	0.33%	0.28%	0.25%	0.22%
10th						0.40%	0.33%	0.28%	0.25%	0.22%
11th							0.33%	0.28%	0.25%	0.22%
12th								0.28%	0.25%	0.22%
13th									0.25%	0.22%
14th										0.22%
<b>Total</b>	<b>98.00%</b>	<b>100.00%</b>								

**Example:**

Date	Race	# of Starters	KTDF Offered
6/25/2017	10	11	\$7,400.00

KTDF Eligible Payout	Finish										
	1st	2nd	3rd	4th	5th	6th	7th	8th	9th	10th	11th
Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	No	Yes	Yes	Yes
\$4,440.00	\$1,480.00	\$740.00	\$370.00	\$222.00	\$25.16	\$25.16	\$0.00	\$24.42	\$24.42	\$24.42	\$24.42
KTDF Reverts \$24.42											



# CHURCHILL DOWNS

Example: Dead Heat for 2nd between an eligible and non-eligible horse using the above example.

	<u>2nd</u>	<u>3rd</u>	<u>Total</u>	
KTDF:				
Payout	\$1,480.00	\$740.00	\$2,220.00	---> Eligible horse would receive 50% of the Total for 2nd and 3rd pla
	<u>KTDF</u>	<u>KTDF</u>		
	<u>Payout</u>	<u>Reverts</u>		
	\$1,110.00	\$1,110.00		

## THE KENTUCKY THOROUGHBRED DEVELOPMENT FUND (KTDF)

Given the robust business during calendar year 2019, the state-of-the-art historical racing machines at nearby Derby City Gaming has generated \$8.1 million in KTDF for horsemen with Kentucky-sired Kentucky-breds to run for in designated stakes, handicap, allowance, non-claiming maiden races, and allowance optional claiming races with a claiming price of not less than twenty-five thousand dollars (\$25,000) contested at Churchill Downs Racetrack.

Eligible races can be readily recognized in the stakes and condition books by the Kentucky Thoroughbred Development Fund seal, which will appear next to each race in the stakes and condition books.

In accordance with KRS 230.400(5) (d), it is mandatory for a horse to be registered prior to time of entry to be eligible to participate in the distribution of Kentucky Thoroughbred Development Fund monies. Registration is only complete once the application is approved and payment has been received.

All horses of racing age that are both Kentucky-bred and sired by a Kentucky stallion which stood in Kentucky the entire breeding season the year the horse was conceived are eligible to be registered. Owners of horses of racing age are responsible for registering their horses. Stallion owners are responsible for registering their stallions.

Registration applications may be obtained from the official registrar, the Kentucky Thoroughbred Owners and Breeders, by contacting:

**Mrs. Jenny McGaughey, Registrar**  
Kentucky Thoroughbred Owners and Breeders  
4079 Iron Works Parkway  
Lexington, Kentucky 40511  
Phone: 859-259-1643  
Mobile: 859-361-6002

Registration may be completed online at [www.kta-ktob.com](http://www.kta-ktob.com). The Horse Identifier can check registration status of a horse.

For those horses that are registered and eligible according to the conditions listed above, fund monies will be divided as follows:

- 60%.....to the winner
- 20%.....to second
- 10%.....to third
- 5%.....to fourth
- 3%.....to fifth



# CHURCHILL DOWNS

Remaining 2% is to be divided among the starters based on the schedule listed above.

Unearned fund monies revert to the Kentucky Thoroughbred Development Fund. Additionally, if a non-KTDF eligible horse is involved in a dead heat, those funds will revert to the fund.

PLEASE NOTE: ALL KTDF PURSE ALLOTMENTS CONTAINED HEREIN ARE SUBJECT TO KHRC APPROVAL.

If you have any questions or need additional information, please reach out to me or Ben Huffman.

Sincerely,

Thomas Minneci  
Vice President, Finance  
Churchill Downs Race Track  
700 Central Avenue  
Louisville, KY 40208  
Office: 502-638-3845  
Cell: 502-424-9372  
[tom.minneci@kyderby.com](mailto:tom.minneci@kyderby.com)

Ben Huffman  
Vice President, Racing & Racing Secretary  
Churchill Downs Race Track  
700 Central Avenue  
Louisville, KY 40208  
Office: 502-638-3820  
Fax: 800-928-3372  
[ben.huffman@kyderby.com](mailto:ben.huffman@kyderby.com)





ANDY BESHEAR  
GOVERNOR

KERRY B. HARVEY  
SECRETARY

JONATHAN RABINOWITZ  
CHAIRMAN

MARC A. GUILFOIL  
EXECUTIVE DIRECTOR

**PUBLIC PROTECTION CABINET**  
**KENTUCKY HORSE RACING COMMISSION**  
ESTABLISHED 1906  
4063 IRON WORKS PKWY., BLDG. B  
LEXINGTON, KENTUCKY 40511  
TELEPHONE: (859) 246-2040 FAX: (859) 246-2039  
WEBSITE: [HTTP://KHRC.KY.GOV](http://KHRC.KY.GOV)

**TO: ALL COMMISSION MEMBERS**  
**FROM: Jamie H. Eads, KHRC**  
**DATE: August 4, 2020**  
**SUBJECT: KTDF PURSES AND PURSE STRUCTURE – KEENELAND FALL MEET.**

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On August 4, 2020, the KTDF Advisory Committee considered the following request from Keeneland with its KTDF purses and purse structure for the 2020 Fall Meet.

KHRC staff confirms that at the end of the 2020 Summer Meet, Keeneland had a surplus of \$401,956. Keeneland is proposing KTDF purses of approximately \$1,169,000. The projections are based on a payout of 86% of the amount carded and KRM earnings of \$840,859.

Keeneland will pay out KTDF funds through last place and in the event of a dead heat, if a non-KTDF horse is involved in the dead-heat, those funds will revert to the fund.

The KTDF Advisory Committee recommends approval.

**COMMISSION ACTION**

- \_\_\_\_\_ Approve
- \_\_\_\_\_ Defer
- \_\_\_\_\_ Deny



Keeneland Association, Inc.  
4201 Versailles Road  
Lexington, KY 40510  
P.O. Box 1690  
Lexington, KY 40588-1690  
859 254-3412 Tel.  
800 456-3412  
859 288-4347 Fax  
www.keeneland.com

August 3, 2020

KTDF Committee of the  
Kentucky Horse Racing Commission  
4063 Iron Works Parkway  
Lexington, KY 40511

Dear Committee Members:

Keeneland has reviewed our KTDF data and would like to offer approximately \$1,169,000 in KTDF money for our upcoming October meet.

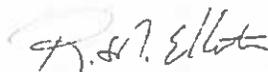
1. As daily on track attendance remains a question mark, we are not projecting daily earnings from on track wagers.
2. We have a surplus of \$401,956 following the Summer 2020 race meeting;
3. We are assuming a payout percentage of approximately 86% of the amount carded;
4. We are projecting total KRM earnings of approximately \$840,859;
5. We anticipate that KTDF purses will be approximately 20.7% of the purses for the races that are eligible for KTDF.

Keeneland will continue to pay out KTDF funds through last place. A table containing the payout percentage by field size is attached. In the event there is a dead heat for finish positions 1 thru 5, the KTDF percentage payout for each position will be aggregated and paid equally to each horse included in the dead heat. If a non-KTDF eligible horse is involved in a dead heat, those funds will revert to the fund.

We will evaluate the earnings and payout percentages several days into our race meet and may need to make adjustments if our actual earnings and payout percentages are significantly different from our assumptions.

Please contact me if you have any questions or need additional information.

Sincerely,



Robert N. Elliston  
Vice President of Racing





ANDY BESHEAR  
GOVERNOR

JONATHAN RABINOWITZ  
CHAIRMAN

KERRY B. HARVEY  
SECRETARY

MARC A. GUILFOIL  
EXECUTIVE DIRECTOR

**PUBLIC PROTECTION CABINET**  
**KENTUCKY HORSE RACING COMMISSION**  
ESTABLISHED 1906  
4063 IRON WORKS PKWY., BLDG. B  
LEXINGTON, KENTUCKY 40511  
TELEPHONE: (859) 246-2040 FAX: (859) 246-2039  
WEBSITE: [HTTP://KHRC.KY.GOV](http://KHRC.KY.GOV)

**To:** Kentucky Horse Racing Commission

**From:** Frank Kling, Commissioner

**Date:** August 7, 2020

**Re:** Ratification of Executive Director's Approval of Kentucky Derby Future Wagers

---

On October 29, 2019 the Kentucky Horse Racing Commission ("KHRC") approved Churchill Downs Race Track, LLC's ("CDRT") 2020 License to Conduct Live Horse Racing, Simulcasting, and Pari-Mutuel Wagering. Section R (4) of that Application included permission to host Future Wager Pools on the Kentucky Derby and the Kentucky Oaks.

On June 15, 2020, KHRC received a request from CDRT to amend section R (4) of its 2020 License to Conduct Live Horse Racing, Simulcasting, and Pari-Mutuel Wagering.

Due to the change in running dates of the Kentucky Derby and the Kentucky Oaks, CDRT is requesting the ability to offer additional pools as follows:

June 26 – June 28, 2020 (Kentucky Derby)

July 17 – July 19, 2020 (Kentucky Derby and Kentucky Oaks)

August 7 – August 9, 2020 (Kentucky Derby)

On June 25, 2020 Executive Director Marc Guilfoil approved the June 15, 2020 request, contingent upon ratification by the KHRC and pursuant to all applicable Kentucky statutes and regulations.



Commissioner Kling and the KHRC staff recommend ratification of the Executive Director's approval of the request from CDRT to amend section R (4) of its 2020 License to Conduct Live Horse Racing, Simulcasting, and Pari-Mutuel Wagering.

**COMMISSION ACTION**

\_\_\_\_\_ **Approved**

\_\_\_\_\_ **Denied**

\_\_\_\_\_ **Deferred**

# CHURCHILL DOWNS

INCORPORATED

June 15, 2020

Mr. Marc Guilfoil  
Executive Director  
Kentucky Horse Racing Commission  
4063 Iron Works Pike, Building B  
Lexington, KY 40511  
Via E-Mail: marc.guilfoil@ky.gov

---

Re: Amendment to Kentucky Derby and Oaks Future Wagers

Dear Mr. Guilfoil,

On November 21, 2019, Churchill Downs Race Track ("CDRT") received approval from the Kentucky Horse Racing Commission to host Future Wager Pools on the Kentucky Derby and Kentucky Oaks. The pools approved were as follows:

- November 28 – December 1, 2019 (Kentucky Derby)
- February 7 – 9, 2020 (Kentucky Derby)
- March 6 – 8, 2020 (Kentucky Derby and Kentucky Oaks)
- April 3 – 5, 2020 (Kentucky Derby)

These pools were conducted and it is our intent that all bets made in these Kentucky Derby Future Wager pools will be honored.

Additionally, with the change of the running dates of the Kentucky Derby and Kentucky Oaks, we respectfully request the ability to offer additional pools as follows:

- June 26 – June 28, 2020 (Kentucky Derby)
- July 17 – July 19, 2020 (Kentucky Derby and Kentucky Oaks)
- August 7 – August 9, 2020 (Kentucky Derby)

Thank you for your consideration of this matter.



Mike Ziegler  
Executive Director of Racing



ANDY BESHEAR  
GOVERNOR

JONATHAN RABINOWITZ  
CHAIRMAN

KERRY B. HARVEY  
SECRETARY

MARC A. GUILFOIL  
EXECUTIVE DIRECTOR

**PUBLIC PROTECTION CABINET**  
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LEXINGTON, KENTUCKY 40511  
TELEPHONE: (859) 246-2040 FAX: (859) 246-2039  
WEBSITE: [HTTP://KHRC.KY.GOV](http://khrc.ky.gov)

June 25, 2020

Churchill Downs Race Track  
Attention: Kevin Flanery  
700 Central Avenue  
Louisville, KY 402085

Re: Approval of 2019-2020 Kentucky Derby Future Wagers

Dear Mr. Flanery:

The request submitted to the Kentucky Horse Racing Commission ("KHRC") for four (4) Kentucky Derby and Kentucky Oaks Future Wager Pools to be conducted on the following dates: November 28 — December 1, 2019 (Kentucky Derby); February 7 — 9, 2020 (Kentucky Derby); March 6 — 8, 2020 (Kentucky Derby and Kentucky Oaks); and, April 3 — 5, 2020 (Kentucky Derby) were approved on November 21, 2019.

By a letter dated June 15, 2020, a request was submitted to the Kentucky Horse Racing Commission ("KHRC") for three (3) additional dates: June 26 — 28, 2020, July 17 — 19, 2020 and August 7 — 9, 2020. The request is hereby approved pursuant to all applicable Kentucky statutes and regulations.

Please coordinate any logistical issues or concerns arising from these wagers with myself, Marc Guilfoil, and Barbara Borden, the Chief State Steward for the KHRC.

Please note that this item may be placed on a KHRC public meeting agenda for commission ratification.

With best regards,

A handwritten signature in blue ink that reads "Marc A. Guilfoil".

Marc A. Guilfoil  
Executive Director





ANDY BESHEAR  
GOVERNOR

KERRY B. HARVEY  
SECRETARY

JONATHAN RABINOWITZ  
CHAIRMAN

MARC A. GUILFOIL  
EXECUTIVE DIRECTOR

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TELEPHONE: (859) 246-2040 FAX: (859) 246-2039  
WEBSITE: [HTTP://KHRC.KY.GOV](http://khrc.ky.gov)

**To:** Kentucky Horse Racing Commission

**From:** Frank Kling, KHRC Commissioner

**Date:** August 06, 2020

**Re:** Derby City Gaming request approval for Software Updates on Six Scientific Game Corporation Entertaining Game Themes

On July 31, 2020, the Kentucky Horse Racing Commission (“KHRC”) received a request from Churchill Downs Racetrack, LLC, by and through its wholly-owned subsidiary Derby City Gaming, LLC, to approve software modifications to 6 Scientific Game Corporation Entertainment Game Themes (“EGT”).

The requested software modifications are as follows:

- Lock it Link Diamonds v272960D<sup>1</sup>
- Lock it Link Eureka Reel Blast v272961D<sup>2</sup>
- Lock it Link Cats Hats and More Bats v272966D<sup>3</sup>
- Lock it Link Night Life v272933C<sup>4</sup>
- Lock it Link Shi Shi Shun v272940C<sup>5</sup>
- Lock it Link Lu Lu Tong v272941C<sup>6</sup>

<sup>1</sup>GLI Report for Lock it Link Diamonds v272960D - MO-399-BLY-20-70

<sup>2</sup>GLI Report for Lock it Link Eureka Reel Blast v272961D - MO-399-BLY-20-75

<sup>3</sup>GLI Report for Lock it Link Cats Hats and More Bats v272966D - MO-399-BLY-20-73

<sup>4</sup>GLI Report for Lock it Link Night Life v272933C - MO-399-BLY-20-72

<sup>5</sup>GLI Report for Lock it Link Shi Shi Shun v272940C - MO-399-BLY-20-76

<sup>6</sup>GLI Report for Lock it Link Lu Lu Tong v272941C - MO-399-BLY-20-78

Gaming Laboratories International (“GLI”), one of the independent third party testing laboratories, that provides contractual services for the KHRC, has tested the six software modifications to the historical horse racing pari-mutuel wagering entertaining game themes, against current Kentucky statutes and regulations. KHRC staff and Commissioner Kling have reviewed the compliance reports submitted by GLI and believe that the modifications applied to the Entertainment Game Themes comply with all relevant Kentucky statutes and regulations. The GLI reports are available for all KHRC members upon request.

A detailed list of the above requested modifications are attached to this document.

After a thorough review of the six GLI reports, the KHRC staff and Commissioner Kling recommend approval of the aforementioned software updates, to the previously approved entertaining game themes operated by Scientific Game Corporation, on the Ainsworth Game Technology historical horse racing pari-mutuel wagering system.

**COMMISSION ACTION**

\_\_\_\_\_ **Approved**

\_\_\_\_\_ **Denied**

\_\_\_\_\_ **Deferred**

# DERBY CITY G A M I N G

Patrick Duggan  
Derby City Gaming, LLC  
4520 Poplar Level Rd  
Louisville, KY 40213  
Patrick.Duggan@derbycitygaming.com  
502-961-7680

July 31<sup>st</sup>, 2020

Mr. Marc A. Guilfoil  
Executive Director  
Kentucky Horse Racing Commission  
4063 Iron Works Parkway, Bldg. B  
Lexington, KY 40511

## **Software Updates to Existing Game Themes**

Dear Mr. Guilfoil:

Derby City Gaming respectfully requests the Commission's approval to update the following software offered at Historical Horse Racing Facilities in the Commonwealth of Kentucky, including at our Derby City Gaming location at 4520 Poplar Level Road, Louisville, KY 40213.

We request approval of the software for the following Scientific Game Corporation game theme(s) currently offered at Derby City Gaming:

- Lock it Link Diamonds v272960D<sup>1</sup>
- Lock it Link Eureka Reel Blast v272961D<sup>2</sup>
- Lock it Link Cats Hats and More Bats v272966D<sup>3</sup>
- Lock it Link Night Life v272933C<sup>4</sup>
- Lock it Link Shi Shi Shun v272940C<sup>5</sup>
- Lock it Link Lu Lu Tong v272941C<sup>6</sup>

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<sup>1</sup>GLI Report for Lock it Link Diamonds v272960D - MO-399-BLY-20-70

<sup>2</sup>GLI Report for Lock it Link Eureka Reel Blast v272961D - MO-399-BLY-20-75

<sup>3</sup>GLI Report for Lock it Link Cats Hats and More Bats v272966D - MO-399-BLY-20-73

<sup>4</sup>GLI Report for Lock it Link Night Life v272933C - MO-399-BLY-20-72

<sup>5</sup>GLI Report for Lock it Link Shi Shi Shun v272940C - MO-399-BLY-20-76

<sup>6</sup>GLI Report for Lock it Link Lu Lu Tong v272941C - MO-399-BLY-20-78

These updates will take place at the first opportunity after approval is received.  
Please let us know if you have any questions about this notification.

Sincerely,



Patrick Duggan  
Senior Director of Gaming Operations – Derby City Gaming

cc: David R Brown, KHRC  
Frank Kling, KHRC  
Waqas Ahmed, KHRC  
Justin Paul  
Tim Bryant

## APPENDIX B: MODIFICATIONS

The 272960D is MODIFYING the previously certified 272960B. The modifications are as follows:

1. A modification was made to prevent progressive values from changing in game recall history.
2. A modification was made to stop a memory leak from occurring when the denomination was changed.
3. Added support to display cash/credit in the win/paid meter.
4. Added fix to ensure that the correct win value is displayed upon recovery when a power cycle is experienced during the outro of the Lock It Link feature.
5. Added safety fix to hide all collected jackpots.
6. Added safety fix to ensure freeze issue at denomination selection screen does not occur.
7. A cosmetic modification was made to introduce a new GUID for the re-spin feature.



## APPENDIX B: MODIFICATIONS

The 272961D is MODIFYING the previously certified 272961B. The modifications are as follows:

1. A disclaimer was added to the Free Games Bonus help screens stating reel 3 consists of only two different symbols.
2. A modification was made to prevent progressive values from changing in game recall history.
3. A modification was made to stop a memory leak from occurring when the denomination was changed.
4. Added support to display cash/credit in the win/paid meter.
5. Added fix to ensure that the correct win value is displayed upon recovery when a power cycle is experienced during the outro of the Lock It Link feature.
6. Added safety fix to hide all collected jackpots.
7. Added safety fix to ensure freeze issue at denomination selection screen does not occur.
8. A cosmetic modification was made to introduce a new GUID for the re-spin feature.



## APPENDIX B: MODIFICATIONS

The 272966D is MODIFYING the previously certified 272966B. The modifications are as follows:

1. A modification was made to prevent progressive values from changing in game recall history.
2. A modification was made to stop a memory leak from occurring when the denomination was changed.
3. Added support to display cash/credit in the win/paid meter.
4. Added fix to ensure that the correct win value is displayed upon recovery when a power cycle is experienced during the outro of the Lock It Link feature.
5. Added safety fix to hide all collected jackpots.
6. Added safety fix to ensure freeze issue at denomination selection screen does not occur.
7. A cosmetic modification was made to introduce a new GUID for the re-spin feature.



## APPENDIX B: MODIFICATIONS

The 272933C is MODIFYING the previously certified 272933A. The modifications are as follows:

1. A modification was made to prevent progressive values from changing in game recall history.
2. A modification was made to stop a memory leak from occurring when the denomination was changed.
3. Added support to display cash/credit in the win/paid meter.
4. Added fix to ensure that the correct win value is displayed upon recovery when a power cycle is experienced during the outro of the Lock It Link feature.
5. Added safety fix to hide all collected jackpots.
6. Added safety fix to ensure freeze issue at denomination selection screen does not occur.
7. A cosmetic modification was made to introduce a new GUID for the re-spin feature.



## APPENDIX B: MODIFICATIONS

The 272940C is MODIFYING the previously certified 272940A. The modifications are as follows:

1. A modification was made to prevent progressive values from changing in game recall history.
2. A modification was made to stop a memory leak from occurring when the denomination was changed.
3. Added safety fix to prevent free game meter from showing incorrect free games remaining count when the Lock It Link feature is triggered inside the Free Games feature and only one free game remains.
4. Added support to display cash/credit in the win/paid meter.
5. Added fix to ensure that the correct win value is displayed upon recovery when a power cycle is experienced during the outro of the Lock It Link feature.
6. Added safety fix to hide all collected jackpots.
7. Added safety fix to ensure freeze issue at denomination selection screen does not occur.
8. A cosmetic modification was made to introduce a new GUID for the re-spin feature.



## APPENDIX B: MODIFICATIONS

The 272941C is MODIFYING the previously certified 272941A. The modifications are as follows:

1. A modification was made to prevent progressive values from changing in game recall history.
2. A modification was made to stop a memory leak from occurring when the denomination was changed.
3. Added safety fix to prevent free game meter from showing incorrect free games remaining count when the Lock It Link feature is triggered inside the Free Games feature and only one free game remains.
4. Added support to display cash/credit in the win/paid meter.
5. Added fix to ensure that the correct win value is displayed upon recovery when a power cycle is experienced during the outro of the Lock It Link feature.
6. Added safety fix to hide all collected jackpots.
7. Added safety fix to ensure freeze issue at denomination selection screen does not occur.
8. A cosmetic modification was made to introduce a new GUID for the re-spin feature.





ANDY BESHEAR  
GOVERNOR

KERRY B. HARVEY  
SECRETARY

JONATHAN RABINOWITZ  
CHAIRMAN

MARC A. GUILFOIL  
EXECUTIVE DIRECTOR

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WEBSITE: [HTTP://KHRC.KY.GOV](http://khrc.ky.gov)

**To:** Kentucky Horse Racing Commission

**From:** Frank Kling, KHRC Commissioner

**Date:** August 7, 2020

**Re:** Derby City Gaming's Request for Approval of Scientific Games Pari-Mutuel Wagering Pools and Entertaining Game Themes

---

On July 31, 2020, the Kentucky Horse Racing Commission ("KHRC") received a letter from Churchill Downs Race Track, LLC by and through its wholly-owned subsidiary Derby City Gaming, LLC, seeking approval of eleven entertaining game themes ("EGT") and pari-mutuel wagering pools, all of which would be operated by the Scientific Game Corporation, through a technology vendor agreement with Ainsworth Game Technology ("Ainsworth"). The requested changes are as follows:

- One new pari-mutuel pool to operate the following three entertaining game themes: 88 Fortunes v272953B<sup>1</sup>, Tree of Wealth Jade Eternity v272954B<sup>2</sup>, and Tree of Wealth Rich Traditions v272955A<sup>3</sup>.
- One new entertaining game theme Lock It Link Run With The Pack Unleashed v272942A<sup>4</sup>, which operates off of previously-approved Lock It Link Cats Hats and More Bats pari-mutuel wagering pool.
- One new entertaining game theme Lock It Link Wild Escape Unleashed v272962B<sup>5</sup>, which operates off of previously-approved Lock It Link Eureka Reel Blast pari-mutuel wagering pool.

- Two new entertaining game themes; Ultimate Fire Link China Street v272947A<sup>6</sup> and Ultimate Fire Link Rue Royale v272945B<sup>7</sup>, which operates off of previously-approved Ultimate Fire Link Olvera Street pari-mutuel wagering pool.
- One new entertaining game theme Ultimate Fire Link Route 66 v272943A<sup>8</sup>, which operates off of previously-approved Ultimate Fire Link Glacier Gold pari-mutuel wagering pool.
- Additional pool configuration options for the pari-mutuel wagering pools utilized to operate the entertaining game theme Golden Charms v273704B<sup>9</sup>, which operates off of a previously-approved pool for Celestial King.
- Additional pool configuration options for the pari-mutuel wagering pools utilized to operate the entertaining game themes Quick Hit Money Wheel v272958A<sup>10</sup> and Quick Hit Lucky Wheel v272957A<sup>11</sup> which operates off of a previously-approved pool for Quick Hit Money Wheel.

<sup>6</sup>GLI Report for 88 Fortunes v272953B - MO-399-BLY-20-59

<sup>7</sup>GLI Report for Tree of Wealth Jade Eternity v272954B - MO-399-BLY-20-60

<sup>8</sup>GLI Report for Tree of Wealth Rich Traditions v272955A - MO-399-BLY-20-69

<sup>9</sup>GLI Report for Lock It Link Run with the Pack Unleashed v272942A - MO-399-BLY-20-71

<sup>10</sup>GLI Report for Lock It Link Wild Escape Unleashed v272962B - MO-399-BLY-20-77

<sup>11</sup>GLI Report for Ultimate Fire Link China Street v272947A - MO-399-BLY-20-67

<sup>12</sup>GLI Report for Ultimate Fire Link Rue Royale v272945B - MO-399-BLY-20-74

<sup>13</sup>GLI Report for Ultimate Fire Link Route 66 v272943A - MO-399-BLY-20-58

<sup>14</sup>GLI Report for Golden Charms v273704B - MO-399-BLY-20-56

<sup>15</sup>GLI Report for Quick Hit Money Wheel v272958A - MO-399-BLY-20-35

<sup>16</sup>GLI Report for Quick Hit Lucky Wheel v272957A - MO-399-BLY-20-35

Gaming Laboratories International (“GLI”), one of the independent, third party testing laboratories that provides contractual services for the KHRC, has tested all of the requested historical horse racing entertaining game themes and, pari-mutuel wagering pools against current Kentucky statutes and regulations. KHRC staff and Commissioner Kling have reviewed the compliance reports produced by GLI and believes that the wagering pools and entertaining game themes comply with all relevant Kentucky statutes and regulations.

The GLI reports are available for all KHRC members upon request.

If approved, the Derby City Gaming staff would be required to submit a final floor layout and pool commingling list that includes all pari-mutuel wagering pools, to KHRC staff for review and approval prior to the final reconfiguration.

After a thorough review of the eleven GLI reports, the KHRC staff and Commissioner Kling recommend approval of the aforementioned additional EGT, operated by Scientific Game



Corporation, on the Ainsworth Game Technology historical horse racing pari-mutuel wagering system.

**COMMISSION ACTION**

\_\_\_\_\_ **Approved**

\_\_\_\_\_ **Denied**

\_\_\_\_\_ **Deferred**



Patrick Duggan  
Derby City Gaming, LLC  
4520 Poplar Level Rd  
Louisville, KY 40213  
Patrick.Duggan@derbycitygaming.com  
502-961-7680

July 31<sup>st</sup>, 2020

Mr. Marc A. Guilfoil  
Executive Director  
Kentucky Horse Racing Commission  
4063 Iron Works Parkway, Bldg. B  
Lexington, KY 40511

**Request to the Kentucky Horse Racing Commission (the “Commission”) to Offer Eleven Additional Scientific Game Corporation Entertaining Game Themes (pari-mutuel wagering pools) at the Derby City Gaming Historical Horse Racing Facility.**

Dear Mr. Guilfoil:

Churchill Downs Racetrack, by and through its wholly owned subsidiary Derby City Gaming, LLC respectfully requests the Commission’s approval of the following additional eleven (11) Scientific Game Corporation entertaining game themes and pari-mutuel wagering pools to be offered at Historical Horse Racing Facilities in the Commonwealth of Kentucky, including at our Derby City Gaming location at 4520 Poplar Level Road, Louisville, KY 40213. The games would be operated by Scientific Game Corporation and report all pari-mutuel wagering pools through a technology vendor agreement using the Ainsworth Game Technology Historical Horse Racing Pari-Mutuel Wagering System (the “Ainsworth System”).

- One new pari-mutuel pool to operate the following three entertaining game themes: **88 Fortunes v272953B<sup>1</sup>**, **Tree of Wealth Jade Eternity v272954B<sup>2</sup>**, and **Tree of Wealth Rich Traditions v272955A<sup>3</sup>**.
- One new entertaining game themes **Lock It Link Run With The Pack Unleashed v272942A<sup>4</sup>**, which operates off of previously-approved Lock It Link Cats Hats and More Bats pari-mutuel wagering pool.

- One new entertaining game themes **Lock It Link Wild Escape Unleashed v272962B<sup>5</sup>**, which operates off of previously-approved Lock It Link Eureka Reel Blast pari-mutuel wagering pool.
- Two new entertaining game themes; **Ultimate Fire Link China Street v272947A<sup>6</sup>** and **Ultimate Fire Link Rue Royale v272945B<sup>7</sup>**, which operates off of previously-approved Ultimate Fire Link Olvera Street pari-mutuel wagering pool.
- One new entertaining game themes **Ultimate Fire Link Route 66 v272943A<sup>8</sup>**, which operates off of previously-approved Ultimate Fire Link Glacier Gold pari-mutuel wagering pool.
- Additional pool configuration options for the pari-mutuel wagering pools utilized to operate the entertaining game theme **Golden Charms v273704B<sup>9</sup>**, which operates off of a previously-approved pool for Celestial King.
- Additional pool configuration options for the pari-mutuel wagering pools utilized to operate the entertaining game themes **Quick Hit Money Wheel v272958A<sup>10</sup>** and **Quick Hit Lucky Wheel v272957A<sup>11</sup>** which operates off of a previously-approved pool for Quick Hit Money Wheel.

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<sup>1</sup>GLI Report for 88 Fortunes v272953B - MO-399-BLY-20-59

<sup>2</sup>GLI Report for Tree of Wealth Jade Eternity v272954B - MO-399-BLY-20-60

<sup>3</sup>GLI Report for Tree of Wealth Rich Traditions v272955A - MO-399-BLY-20-69

<sup>4</sup>GLI Report for Lock It Link Run with the Pack Unleashed v272942A - MO-399-BLY-20-71

<sup>5</sup>GLI Report for Lock It Link Wild Escape Unleashed v272962B - MO-399-BLY-20-77

<sup>6</sup>GLI Report for Ultimate Fire Link China Street v272947A - MO-399-BLY-20-67

<sup>7</sup>GLI Report for Ultimate Fire Link Rue Royale v272945B - MO-399-BLY-20-74

<sup>8</sup>GLI Report for Ultimate Fire Link Route 66 v272943A - MO-399-BLY-20-58

<sup>9</sup>GLI Report for Golden Charms v273704B - MO-399-BLY-20-56

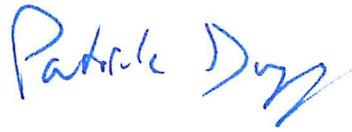
<sup>10</sup>GLI Report for Quick Hit Money Wheel v272958A - MO-399-BLY-20-35

<sup>11</sup>GLI Report for Quick Hit Lucky Wheel v272957A - MO-399-BLY-20-35

Gaming Laboratories International (“GLI”), one of the independent, third party testing laboratories that provides contractual services for the KHRC, has tested all of the requested historical horse racing pari-mutuel wagering pools and entertaining game themes against current Kentucky statutes and regulations. For the reasons set forth herein, Churchill Downs respectfully requests the Commission’s approval of the entertaining game themes included in this letter.

If approved, a final floor layout and pool commingling list that includes these pari-mutuel wagering pools will be sent to KHRC staff for review and approval prior to the final reconfiguration.

Sincerely,



Patrick Duggan

Senior Director of Gaming Operations – Derby City Gaming

cc: David R Brown, KHRC  
Frank Kling, KHRC  
Waqas Ahmed, KHRC  
Justin Paul  
Tim Bryant



ANDY BESHEAR  
GOVERNOR

JONATHAN RABINOWITZ  
CHAIRMAN

KERRY B. HARVEY  
SECRETARY

MARC A. GUILFOIL  
EXECUTIVE DIRECTOR

**Public Protection Cabinet**  
**KENTUCKY HORSE RACING COMMISSION**  
**ESTABLISHED 1906**  
4063 IRON WORKS PKWY., BLDG. B  
LEXINGTON, KENTUCKY 40511  
TELEPHONE: (859) 246-2040 FAX: (859) 246-2039  
WEBSITE: [HTTP://KHRC.KY.GOV](http://khrc.ky.gov)

**To:** Kentucky Horse Racing Commission  
**From:** David Brown, Auditor, Kentucky Horse Racing Commission  
**Date:** August 7, 2020  
**Re:** Request from Kentucky Downs Racing and Gaming for approval to add 450 Ainsworth Game Technology (“AGT”) entertaining gaming terminals to their floor.

---

On August 6, 2020, the Kentucky Horse Racing Commission (“KHRC”) received a request from Kentucky Downs Racing and Gaming (“KYD”) to add 450 AGT historical horse racing (“HHR”) terminals to the floor. The expansion of these HHR terminals coincides with the game floor expansion currently under construction at KYD that is expected to be completed by September 1, 2020.

The KHRC has previously approved KYD for 2,500 terminals. Prior to the mandated reduction of gaming terminals on the floor due to COVID-19, KYD had 753 terminals on the floor.

KYD has provided the KHRC with a detailed floor layout of the proposed 450 additional HHR terminals in the expanded facility. Prior to opening the expanded floor space, the KHRC staff will be on-site to ensure compliance with the proposed layout as well as to ensure the implementation of proper security and surveillance.

Commission staff recommends approval of this request from KYD.

**COMMISSION ACTION**

\_\_\_\_\_ **Approved**

\_\_\_\_\_ **Denied**

\_\_\_\_\_ **Deferred**



August 6, 2020

5629 Nashville Road  
Franklin, Kentucky 42134

270-586-7778

[KentuckyDowns.com](http://KentuckyDowns.com)

**Marc Guilfoil, Executive Director**  
**Kentucky Horse Racing Commission**  
**4063 Ironworks Parkway, Building B**  
**Lexington, KY 40511**

**Request to Add and Deploy 450 Ainsworth Historical Horse Racing Machines**

Dear Mr. Guilfoil,

As a revision of our request dated July 27, 2020, Kentucky Downs would like to request permission to add 450 Ainsworth games to our floor. This addition will be part of our upcoming expansion which is set up to be finished and open to our guests by September 1<sup>st</sup>. Subject to approval by the Kentucky Horse Racing Commission, we would like to request approval to deploy these themes as a part of this expansion. Thank you for the consideration of this request.

Sincerely,

A handwritten signature in black ink, appearing to read "Valeria Strafe".

**Valeria Strafe**  
**Vice President of Operations**



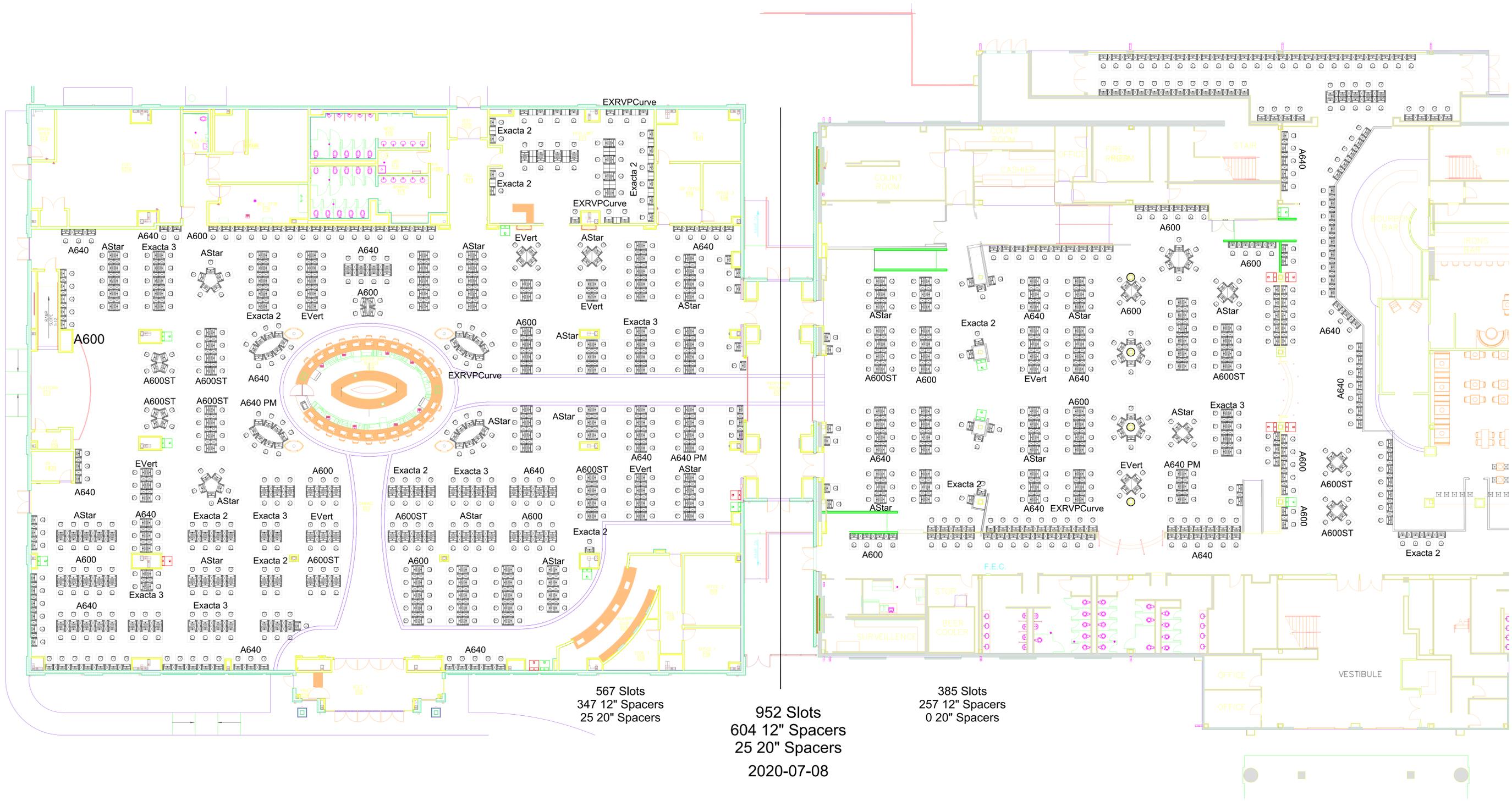
**Wager Pools – Game Mix:**

5629 Nashville Road  
 Louisville, Kentucky 42134

586-7778

kyDowns.com

Math Model	Game Theme	Denom	Takeout	Qty
343	Inferno Royale 2019.1.30.8	\$ 0.01	10.25%	4
	Nitro Stacks 2017.7.0.8	\$ 0.01	10.25%	10
391	Mustang Fortune 2017.1.0.8	\$ 0.01	10.50%	4
472	Glitter Diamonds 2019.9.6.8	\$ 0.01	10.50%	6
478	Rampaging Bull 2017.5.0.8	\$ 0.01	10.75%	8
	Twice the Diamonds 2017.5.0.8	\$ 0.01	10.75%	6
588	Almighty Aztec 2018.11.6.8	\$ 0.01	10.25%	4
688	Jumbo Wilds 2017.9.0.8	\$ 0.01	9.75%	6
	Rumble Dragon 2017.5.0.8	\$ 0.01	9.75%	10
	Rumble Rumble 2017.6.0.8	\$ 0.01	9.75%	10
760	Bonus Bonanza 2017.9.0.8	\$ 0.01	9.75%	6
	Rumble Rumble Bison 2020.6.24.8	\$ 0.01	9.75%	14
827	Triple Wealthy 2017.3.0.8	\$ 0.01	10.25%	16
893	Falcon Fury 2017.6.0.8	\$ 0.01	10.25%	6
	Totem Treasure 2017.4.0.8	\$ 0.01	10.25%	6
916	Rumble Rumble Bear 2020.6.24.8	\$ 0.01	9.75%	14
974	Action Dragons 2019.3.18.8	\$ 0.01	10.50%	8
1004	China Gold 2017.10.12.8	\$ 0.01	10.50%	8
	Dragon's Heat 2019.4.24.8	\$ 0.01	10.50%	8
	Gorilla Diamond 2018.9.4.8	\$ 0.01	10.50%	4
	Kingdom of Sparta 2017.12.0.8	\$ 0.01	10.50%	4
	Spin City 2017.10.12.8	\$ 0.01	10.50%	4
1134	Blue Genie 2019.2.21.8	\$ 0.01	10.00%	6
	Vegas Fantasy 2020.4.9.8	\$ 0.01	10.00%	14
	Vegas Fiesta 2020.4.9.8	\$ 0.01	10.00%	14
1154	Wolf and Rose 2019.2.21.8	\$ 0.01	10.00%	8
	Pac Man Wild Edition 2018.10.22.8	\$ 0.01	9.75%	22
1346	Bring The Heat Pyro 2018.10.29.8	\$ 0.01	10.50%	12
1374	Three Amigos 2019.1.7.8	\$ 0.01	10.00%	16
1399	Ultimate Incan Firestorm 2019.4.18.8	\$ 0.01	10.50%	10
	Ultimate Livewire Firestorm 2019.4.18.8	\$ 0.01	10.50%	12
1636	Mustang 2018.6.27.8	\$ 0.01	10.75%	8
1640	Super Hot 7s 2018.7.11.8	\$ 0.01	10.50%	14
	Super Money 7s 2019.7.18.8	\$ 0.01	10.50%	8
1649	Super Charged 7s 2019.7.24.8	\$ 0.01	10.75%	20
	Super Crystal 7s 2019.7.29.8	\$ 0.01	10.75%	14
	Super Lantern 8s 2019.7.29.8	\$ 0.01	10.75%	12
	Super Volcano 7s 2019.7.24.8	\$ 0.01	10.75%	12
1663	Triple Challenge 2018.9.6.8	\$ 0.01	9.75%	4
1756	Altazar 2018.9.27.8	\$ 0.01	10.25%	10
1789	Lucky Fu Twins 2019.1.9.8	\$ 0.01	10.50%	6
	Lucky Stars 2019.2.25.8	\$ 0.01	10.50%	10
1829	Multiplay Super Charged 7s 2019.10.11.8 - 2 Games	\$ 0.01	11.00%	6
1844	Ultimate Empire Firestorm 2020.2.20.8	\$ 0.01	10.50%	10
	Ultimate Festival Firestorm 2020.2.25.8	\$ 0.01	10.50%	10
1648	Super Charged 7s 2019.7.24.8	\$ 0.05	8.75%	4
412	The Enforcer 2018.4.4.8	\$ 0.25	6.75%	4
457	Panda King 2017.11.13.8	\$ 0.25	6.75%	4
407	Eagle Bucks 2018.2.13.8	\$ 1.00	6.75%	6
1562	Cannon Ball Reloaded 2019.3.14.8	\$ 1.00	6.75%	4
	Rumble Toro 2019.3.6.8	\$ 1.00	6.75%	4
<b>Total</b>				<b>450</b>



567 Slots  
 347 12" Spacers  
 25 20" Spacers

952 Slots  
 604 12" Spacers  
 25 20" Spacers  
 2020-07-08

385 Slots  
 257 12" Spacers  
 0 20" Spacers



ANDY BESHEAR  
GOVERNOR

JONATHAN RABINOWITZ  
CHAIRMAN

KERRY B. HARVEY  
SECRETARY

MARC A. GUILFOIL  
EXECUTIVE DIRECTOR

**PUBLIC PROTECTION CABINET**  
**KENTUCKY HORSE RACING COMMISSION**  
ESTABLISHED 1906  
4063 IRON WORKS PKWY., BLDG. B  
LEXINGTON, KENTUCKY 40511  
TELEPHONE: (859) 246-2040 FAX: (859) 246-2039  
WEBSITE: [HTTP://KHRC.KY.GOV](http://khrc.ky.gov)

**To:** Kentucky Horse Racing Commission

**From:** David Brown, Auditor

**Date:** August 7, 2020

**Re:** Kentucky Downs Racing and Gaming Request for Approval of AGS, LLC (“AGS”) Pari-Mutuel Wagering Pools and Entertaining Game Themes

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On July 30, 2020, the Kentucky Horse Racing Commission (“KHRC”) received a request from Kentucky Downs Racing and Gaming (“KYD”) to offer two new historical horse racing pari-mutuel wagering pools. If approved, the new pools will be operated by AGS.

The requested pools and entertaining game themes (“EGT”) are as follows:

- One new pari-mutuel pool operating EGT **Tiger Lord version r43993**<sup>1</sup> and EGT **Peacock Beauty version r44004**<sup>2</sup>
- One new pari-mutuel pool operating EGT **Phoenix Xing version R43705**<sup>3</sup> and EGT **Dragon Tao r43996**<sup>4</sup>

The requested pari-mutuel wagering pools will be hosted on the computer servers operated by the Exakta Tote System (“Exakta”), through a technology provider agreement between AGS and Exakta. The pools utilize the structure of Exakta pools that have been approved by the KHRC. This includes all of the wagering-related features of the Exakta pools.

Gaming Laboratories International (“GLI”), one of the independent testing laboratories that provide contractual services for the KHRC, has tested the requested historical horse racing pari-mutuel wagering

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<sup>1</sup> Gaming Laboratories International Report MO-399-CAD-20-22

<sup>2</sup> Gaming Laboratories International Report MO-399-CAD-20-18

<sup>3</sup> Gaming Laboratories International Report MO-399-CAD-20-19

<sup>4</sup> Gaming Laboratories International Report MO-399-CAD-20-21

pools and entertaining game themes against current Kentucky statutes and regulations. KHRC staff has reviewed the compliance reports produced by GLI and believes that the new wagering pools and EGT comply with all relevant Kentucky statutes and regulations. The GLI reports are available for all KHRC members upon request.

Due to AGS being a novel provider and its unique relationship with Exacta, KHRC staff recommends approval of this request subject to the following conditions:

- a) Operation of these AGS pools is contingent upon seed pool analysis and initial amount determined by the KHRC staff.
- b) The aforementioned pools must be monitored by KYD and KHRC staff to ensure sufficient seed pool amounts at all times.
- c) Kentucky Downs staff will be required to submit a final floor layout and pool list that includes these all pari-mutuel wagering pools to KHRC for review and approval before deployment.
- d) KHRC staff will perform additional testing on AGS terminals prior to operation.

**COMMISSION ACTION**

\_\_\_\_\_ **Approved**

\_\_\_\_\_ **Denied**

\_\_\_\_\_ **Deferred**



July 30, 2020

**Marc Guilfoil, Executive Director  
Kentucky Horse Racing Commission  
4063 Ironworks Parkway, Building B  
Lexington, KY 40511**

5629 Nashville Road  
Franklin, Kentucky 42134

270-586-7778

[KentuckyDowns.com](http://KentuckyDowns.com)

Dear Mr. Guilfoil,

Attached you will find specifications for two new AGS/Exacta pari-mutuel pools:

- Tiger Lord/Peacock Beauty:
  - 3D.88.TL3.X.14.1111.1000000.1.HHR-payback88 (version R43697)
  - 3D.88.TL3.X.14.1111.1000000.1.HHR-payback90 (version R43697)
- Phoenix Xing/Dragon Tao
  - 3D.88.PX3.X.14.1111.1000000.1.HHR-payback88 (version R43705)
  - 3D.88.PX3.X.14.1111.1000000.1.HHR-payback90 (version R43705)

Enclosed here too the Gaming Laboratories International (GLI) report.

The "Pool Split/Seed Pool/Minimum Pay" section provided in each specification contains confidential and proprietary information, "which if openly disclosed would permit an unfair commercial advantage to competitors." KRS § 61.878(1)(C)(1). As such, all pages in the "Pool Split/Seed Pool/Minimum Pay" sections have been designated as "Confidential."

Thank you for the consideration of this request.

Sincerely,

A handwritten signature in blue ink, appearing to read "Ted Nicholson".

**Ted Nicholson  
Senior VP and General Manager**



ANDY BESHEAR  
GOVERNOR

KERRY B. HARVEY  
SECRETARY

JONATHAN RABINOWITZ  
CHAIRMAN

MARC A. GUILFOIL  
EXECUTIVE DIRECTOR

**Public Protection Cabinet**  
**KENTUCKY HORSE RACING COMMISSION**  
**ESTABLISHED 1906**  
4063 IRON WORKS PKWY., BLDG. B  
LEXINGTON, KENTUCKY 40511  
TELEPHONE: (859) 246-2040 FAX: (859) 246-2039  
WEBSITE: [HTTP://KHRC.KY.GOV](http://khrc.ky.gov)

**To:** Kentucky Horse Racing Commission  
**From:** David Brown, Auditor, Kentucky Horse Racing Commission  
**Date:** August 7, 2020  
**Re:** Request from Kentucky Downs Racing and Gaming for approval of two new Exacta pari-mutuel pools and two new entertaining game themes.

---

On July 30, 2020, the Kentucky Horse Racing Commission (“KHRC”) received a request from Kentucky Downs Racing and Gaming (“KYD”) to add two new Exacta historical horse racing pari-mutuel pools: TRM 1400 and TRM 3015. Additionally, KYD requests the following two new Exacta historical horse racing entertaining game themes:

**Beauty and the Beast** utilizing wagering pool TRM 2100 which has previously been approved by the KHRC.

**Fuego de los Muertos** utilizing wagering pool TRM 3005 which has previously been approved by the KHRC.

Gaming Laboratories International (“GLI”), one of the independent, third-party testing laboratories under contract with the KHRC has performed testing of math models TRM 1400 and TRM 3015 and entertaining game themes Beauty and the Beast and Fuego de los Muertos on the Exacta system<sup>1</sup>, and concluded that the Exacta system and entertaining game themes have been tested in accordance with the requirements set forth in the *810 KAR 1:120 – Exotic Wagering*, *810 KAR 1:001 – Definitions*, and *810 KAR 1:011 – Pari-Mutuel Wagering* documents outlining the requirements for historical horse racing and pari-mutuel wagering conducted in Kentucky. In accordance with the requirements and definitions in the aforementioned

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<sup>1</sup> Gaming Laboratories International Report MO-399-ENR-20-002

documents, GLI has determined that the two new Exacta entertaining game themes and the two new math models for use with the Exacta Tote System version 1.3.465 operate as a pari-mutuel system of wagering.

KHRC staff has reviewed the GLI report of the new wagering pools and the two entertaining game themes and believes the new pools and entertainment themes comply with all relevant Kentucky statutes and regulations. The GLI report is available for all KHRC members upon request.

KHRC staff recommends approval of this request submitted by Kentucky Downs Racing and Gaming.

**COMMISSION ACTION**

\_\_\_\_\_ **Approved**

\_\_\_\_\_ **Denied**

\_\_\_\_\_ **Deferred**



July 30, 2020

5629 Nashville Road  
Franklin, Kentucky 42134

270-586-7778

[KentuckyDowns.com](http://KentuckyDowns.com)

**Marc Guilfoil, Executive Director**  
**Kentucky Horse Racing Commission**  
**4063 Ironworks Parkway, Building B**  
**Lexington, KY 40511**

Dear Mr. Guilfoil,

Attached you will find specifications for two new Exacta pari-mutuel pools (1400 and 3015). Exacta has informed us that Gaming Laboratories International (GLI) has tested and confirmed that the submitted Exacta System pari-mutuel pools and game themes comply with the requirements set forth in the Kentucky regulations.

The "Pool Split/Seed Pool/Minimum Pay" section provided in each specification contains confidential and proprietary information, "which if openly disclosed would permit an unfair commercial advantage to competitors." KRS § 61.878(1)(C)(1). As such, all pages in the "Pool Split/Seed Pool/Minimum Pay" sections have been designated as "Confidential."

At this opportunity we would also like to request for permission to incorporate to our game library these new themes of already approved wagering pools:

- 2100\_BeautyAndTheBeast\_CDT
- 3005\_FuegoDeLosMuertos\_CDT

Thank you for the consideration of this request.

Sincerely,

A handwritten signature in blue ink, appearing to read "Valeria Strafe", written over a horizontal line.

**Valeria Strafe**  
**Vice President of Operations**



ANDY BESHEAR  
GOVERNOR

KERRY B. HARVEY  
SECRETARY

JONATHAN RABINOWITZ  
CHAIRMAN

MARC A. GUILFOIL  
EXECUTIVE DIRECTOR

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LEXINGTON, KENTUCKY 40511  
TELEPHONE: (859) 246-2040 FAX: (859) 246-2039  
WEBSITE: [HTTP://KHRC.KY.GOV](http://khrc.ky.gov)

**To:** Kentucky Horse Racing Commission  
**From:** Waqas Ahmed, Director of Pari-Mutuel Wagering and Compliance  
**Date:** August 7, 2020  
**Re:** Keeneland Red Mile Request to Increase the Number of Historical Horse Racing Terminals

---

On July 30, 2020, the Kentucky Horse Racing Commission (“KHRC”) received a request from KRM Wagering, LLC doing business as Keeneland/Red Mile (“KRM”) to increase the total number of authorized historical horse racing (“HHR”) terminals from 1,000 to 2,000. Currently, KRM is operating 438 HHR terminals in a reduced capacity due to current conditions requiring social distancing.

In review, on November 19, 2014, the KHRC received a formal request from KRM to amend their application to request the approval of 1,000 HHR terminals for the facility. This request was approved by the KHRC on December 2, 2014. The current request would increase the total number of authorized HHR terminals to 2,000.

In evaluating the KRM request, the KHRC staff has found the following:

- (a) KRM would like to utilize present atrium space designed to operate HHR terminals and add HHR terminals to the simulcast area
- (b) KRM plans to contract with new vendors approved by the KHRC to provide maximum choice for patrons
- (c) KRM plans on implementing these changes in the near future

Based on this review of the of the written request, KHRC staff recommends approval.

**COMMISSION ACTION**

\_\_\_\_\_ **Approved**

\_\_\_\_\_ **Denied**

\_\_\_\_\_ **Deferred**



KRM Wagering, LLC

July 28, 2020

Mr. Marc Guilfoil, Executive Director  
Kentucky Horse Racing Commission  
4063 Ironworks Pkwy, Building B  
Lexington, KY 40511

Re: Request for approval of increased limit of HHR terminals at Red Mile

Dear Mr. Guilfoil:

KRM Wagering would like to request approval at the upcoming August commission meeting to increase our allowed quantity of historical horse racing terminals from the present 1,000 to 2,000. While we acknowledge we are all presently operating under reduced capacity and do not know when these restrictions may be relieved, we are seeking advanced approval to allow continued detailed planning for investment and expansion.

Specifically, we are in the process of finalizing details for a hotel on the property and would like to utilize the present atrium space (which was actually built for HHR, including cabling and raised flooring) to expand HHR closer to the hotel entrance, effectively annexing this presently underutilized. We are also considering bringing HHR terminals to the simulcast area on the second floor, making it easier for guests to both simulcast and play HHR, and to provide HHR presence to live racing spectators.

We also believe the continued development and approval of new and varied gaming systems in the state necessitates increased terminals to provide maximum choice for guests. We have recently added Ainsworth's gaming system to our floor and expect to be adding other approved vendors shortly. To fully maximize guest choice, additional terminals will be necessary to fully introduce these new systems.

Lastly, we believe our business growth trajectory indicates that we will need this added capacity within a short time in the future, so advanced planning for the placement and investment required is prudent.

We understand this approval will be contingent upon our close communication with commission staff regarding placement areas, security, surveillance, and so forth. As always, we will work closely with the KHRC to preapprove all regulatory details before any actions are taken to add terminals.

If you have any questions regarding this request, or if you require additional information, please feel free to contact me. Thank you for your consideration of this matter.

Sincerely,



Shannon M. Cobb  
Chief Operating and Financial Officer

Cc: Vince Gabbert  
Joe Costa  
Steve Jimenez  
Frank Kling, KHRC  
David Brown, KHRC



ANDY BESHEAR  
GOVERNOR

KERRY B. HARVEY  
SECRETARY

JONATHAN RABINOWITZ  
CHAIRMAN

MARC A. GUILFOIL  
EXECUTIVE DIRECTOR

**PUBLIC PROTECTION CABINET**  
**KENTUCKY HORSE RACING COMMISSION**  
**ESTABLISHED 1906**  
4063 IRON WORKS PKWY., BLDG. B  
LEXINGTON, KENTUCKY 40511  
TELEPHONE: (859) 246-2040 FAX: (859) 246-2039  
WEBSITE: [HTTP://KHRC.KY.GOV](http://khrc.ky.gov)

**To:** Kentucky Horse Racing Commission  
**From:** Waqas Ahmed, Director of Pari-Mutuel Wagering and Compliance  
**Date:** August 7, 2020  
**Re:** Keeneland Red Mile Request for Approval of PariMAX 3.24

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On July 30<sup>th</sup>, 2020, the Kentucky Horse Racing Commission (“KHRC”) received a request from KRM Wagering, LLC doing business as Keeneland/Red Mile (“KRM”), to offer PariMAX Version 3.24 historical horse racing pari-mutuel wagering pools. Currently, KRM operates 438 historical horse racing pari-mutuel wagering terminals, of which 33 terminals utilize the PariMAX system. If PariMAX Version 3.24 is approved, KRM will be required to submit an exact floor layout and a list of the terminals that will offer wagering on the PariMAX Version 3.24 for KHRC staff review and approval.

The PariMAX system is operated by PariMAX Holdings, LLC (“PariMAX”), a division of AmTote International, LLC (“AmTote”). AmTote is currently one of the three providers of historical horse racing pools at KRM. Prior to KRM submitting this request, PariMAX Version 3.24, along with all previous versions, underwent testing at Gaming Laboratories International (“GLI”), one of the independent, third-part testing laboratories under contract with the KHRC.

GLI has reviewed PariMAX Version 3.24<sup>1</sup> and affirms that the system complies with all applicable Kentucky statutes and regulations. KHRC staff and Commissioner Kling have reviewed the compliance report produced by GLI and believe that the modifications applied to PariMAX Version 3.24 comply with all relevant Kentucky statutes and regulations. The GLI report is available for all KHRC members upon request.

If approved by the Commission, KRM and PariMAX staff will transition the affected wagering terminals to the appropriate wagering pools in a secure manner and with guidance from Commission staff.

Prior to KRM offering pari-mutuel wagering on the new pool, Commission staff will perform on-site testing of this new pool. The testing will be conducted to ensure that the pool functioned as described and that the payouts complied with the documentation provided to the KHRC by GLI.

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<sup>1</sup>Gaming Laboratories International Report SY-399-AMT-20-09

Commission staff recommends approval of the Keeneland/Red Mile request to authorize PariMAX Version 3.24 and the exotic wagering pools and entertaining game themes associated with Version 3.24 with the following conditions:

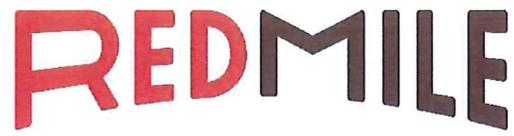
- 1) The “Higher Jackpot” option for all PariMAX wager profiles shall not be implemented without prior written approval of the Kentucky Horse Racing Commission.
- 2) Similar to the approval granted in March 2019, the configurable options in previous PariMAX versions shall not be implemented without prior written approval of the Kentucky Horse Racing Commission.

**COMMISSION ACTION**

\_\_\_\_\_ **Approved**

\_\_\_\_\_ **Denied**

\_\_\_\_\_ **Deferred**



KRM Wagering, LLC

June 29, 2020

Mr. Marc Guilfoil, Executive Director  
Kentucky Horse Racing Commission  
4063 Ironworks Pkwy, Building B  
Lexington, KY 40511

Re: Approval request for PariMAX release 3.24

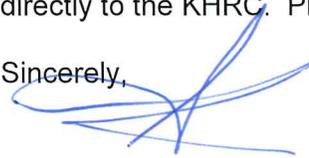
Dear Mr. Guilfoil:

KRM Wagering would like to request approval for PariMAX release 3.24 at the upcoming August commission meeting. This release has the following features:

- A new entertaining game theme, Legend of the Mask, on the wagering profile WP-P3P5\_PLUS.P2P5\_PLUS\_FF, which was approved in release 3.21 at the June, 2020 KHRC meeting;
- A new wagering profile WP-P3P5\_PLUS.P2P5\_PLUS\_OG;
- A new entertaining game theme, O'Grand's Golden Bounty, on the new wagering profile requested in the preceding bullet point;
- A few minor bug fixes to previously approved game themes.

I have attached the release notes for PariMAX 3.24, and I will forward the individual wager profile and game specifications separately, due to file sizes. It is my understanding that GLI has sent reports on these releases directly to the KHRC. Please let me know if additional information is needed regarding this request.

Sincerely,



Shannon M. Cobb  
Chief Operating and Financial Officer

Cc: Vince Gabbert  
Joe Costa  
Steve Jimenez

Frank Kling, KHRC  
David Brown, KHRC

## **Supplemental Material – KRM Request for Approval of PariMAX Version 3.24**

### **PariMAX Version 3.20 includes the following updates to the PariMAX wagering system:**

- The "Sea of Fortune" game theme has been added and utilizes the previously tested "P6.P3P5\_Plus.P2P5\_Plus\_COJ" wager profile.
- Previously, the "Autopick" automatic handicapping option was omitted from the "Red's Tale" and "Snow's Tale" game themes. This option has been added to these themes.
- Previously, the way the automatic handicapping options were displayed in the "Nimbus Strike" and "Space Strike" game themes caused the options' graphics to overlap one another. This has been fixed so the options no longer overlap.
- Previously, a grid outcome for the "Aztec Super Storm", "Nimbus Strike", "Cowboy Wilds" and "Aphrodite's Favor" game themes contained a symbol ID that did not match any symbol in the game and, if the outcome were to occur, would cause the game to crash. This has been fixed to display the originally intended symbol.
- Previously, a file that is sometimes automatically generated by Microsoft Windows, thumbs.db, could be generated in a critical directory and become included in that directorying software verification hash. This has been fixed so these files are always excluded from the software verification hash.

### **PariMAX Version 3.21 includes the following updates to the PariMAX wagering system:**

- The addition of the "P3P5\_Plus.P2P5\_Plus\_FF" wager profile as well as a single accompanying game theme, "Rampage Rush", which utilizes the new wager profile.
  - The AMTOTE, CONFIG and IMAGES components have been updated to add support for the new game theme.
- A change was made to the BASETIM component to add functionality for touch screen button decks. This functionality was previously handled separately for each game theme which supported the touch screen button deck. The program which facilitates this functionality was moved and now resides in the BASETIM directory.

### **PariMAX Version 3.22 includes the following updates to the PariMAX wagering system:**

- A fix was made to the "Sea of Fortune" game theme. Previously, a display glitch could cause the player's credit meter to fail to correctly update if the player inserted two or more bills or vouchers consecutively without playing any games. In this scenario, the credit meter would only update after the initial bill or voucher insertion, but not for any subsequent insertions, until a game was played or the player cashed out, at which time the credit meter would update to the correct amount. The player's credit amount was always tracked correctly on the Totalisator system, and if the player cashed out, they would always receive the correct amount.
- The addition of the "Fire of the Furies" game theme, which utilizes the previously tested "P3P5\_Plus.P2P5\_Plus\_FF" wager profile.
  - The CONFIG and IMAGES components have been updated to add support for the new game theme.

- The BASETIM component was updated with the following enhancements:
  - The hash process will now ignore crash dump and error log files from affecting the software signatures.
  - The path to the images displayed on the small lower screen are now dynamically based on the community in which the player terminal resides. Previously, the path was hard coded.

**PariMAX Version 3.23 includes the following updates to the PariMAX wagering system:**

- The BASETIM component was updated to prevent the Touch Panel application from launching if there is no compatible monitor.
- The addition of the "Jackpot Noir" game theme, which utilizes the previously tested "P6.P3P5\_Plus.P2P5\_Plus" wager profile.
  - The IMAGES component has been updated to add support for the new game theme.
- A fix was made to the following game themes to no longer display the Windows border if the game was launched without a player rewards monitor connected: Aztec Super Storm, Let's Get Spinning, Red's Tale, Snow's Tale and Upgrade Overdrive.
- A fix was made to the "Alchemist's Jackpot" game theme to now produce log files.
- The BASETIM component was updated so that the player game control application now has the ability to display the list of available games based on the resolution and terminal type.
- The CONFIG component has been updated to support the new feature.

**PariMAX Version 3.24 includes the following updates to the PariMAX wagering system:**

- Fixed an issue for "Fire of the Furies" where the reels could get stuck spinning during free spins if the reels were continuously stopped by the player.
- The addition of the "O'Grand's Golden Bounty" game theme, which utilizes the new "P3P5\_PLUS.P2P5\_PLUS\_OG" wager profile.
- The addition of the "Legend of the Mask" game theme, which utilizes the previously tested "P3P5\_Plus.P2P5\_Plus\_FF" wager profile.
- The BASETIM, CONFIG and IMAGES components have been updated to add support for the new game themes.
- The CONFIG and IMAGES components have been updated to allow for the player to select "Frozen 7s" as the active game theme using the "Player Game Control" feature.
- Fixed an issue for "Jackpot Noir" where the game win results could be displayed outside the boundaries of the text window for certain larger wins.
- Fixed an issue for "Fire of the Furies" where the displayed races on the third screen would appear stretched.
- Fixed a potential issue for "Fire of the Furies" where the game could crash after being left idle after an extended amount of time while the game was cycling through winning game results.
- Fixed the Wager Breakdown help page to correctly indicate that the Pick 2 Position 5 Plus pool is the wager pool for Wager B for the following games: "The Great Jackpot", "Scattered Diamonds", and "Space Strike".
- An issue was fixed where the main game screen would become minimized if a secondary screen was touched for the following games: "Let's Get Spinning", "Sea of Fortune",

"Upgrade Overdrive", "Aztec Superstorm", "Fire of the Furies", "Red's Tale", "Snow's Tale".

- The help pages for the "Kai Go Wild" game theme were updated to describe the behavior of the mystery reveal icons.



ANDY BESHEAR  
GOVERNOR

KERRY B. HARVEY  
SECRETARY

JONATHAN RABINOWITZ  
CHAIRMAN

MARC A. GUILFOIL  
EXECUTIVE DIRECTOR

**PUBLIC PROTECTION CABINET**  
**KENTUCKY HORSE RACING COMMISSION**  
ESTABLISHED 1906  
4063 IRON WORKS PKWY., BLDG. B  
LEXINGTON, KENTUCKY 40511  
TELEPHONE: (859) 246-2040 FAX: (859) 246-2039  
WEBSITE: [HTTP://KHRC.KY.GOV](http://KHRC.KY.GOV)

**To:** Commissioners, Kentucky Horse Racing Commission

**From:** Jennifer Wolsing, General Counsel

**Date:** August 7, 2020

**Re:** Ratification of Executive Director's Approval of The Red Mile License to Conduct Live Horse Racing, Simulcasting, and Pari-Mutuel Wagering

---

On Monday, June 29, 2020, Commissioners Douglas Hendrickson, James Worley, and Frank Kling, along with Executive Director Marc Guilfoil toured The Red Mile's licensed premises. Harvey Taylor, Director of Racing Security, accompanied the group. The purpose of the inspection was to determine whether The Red Mile had completed the proposed improvements that it had shared with the Commission on October 11 and 25, 2019.

Although the pandemic had slowed The Red Mile's progress, the group was very impressed with its improvements. The majority of the stalls in The Red Mile's barns have been either completely replaced, or the exterior stall doors have been replaced. The clay-based soil in the barns and stalls was being addressed at that time. It was the group's understanding that the soil would be completed before the backside opened on July 17. Additionally, The Red Mile addressed numerous miscellaneous issues, including electrical, plumbing, and roofing problems, as well as broken windows. Additionally, the group was advised that six (6) trackside barns and prominent fences would be painted prior to the opening of the meet on August 2.

On June 29, Commissioner Kling requested that Mr. Guilfoil notify The Red Mile that its License to Conduct Live Horse Racing, Simulcasting, and Pari-Mutuel Wagering has been approved, conditioned upon ratification by the full Commission. Mr. Guilfoil took such action.

Since the July 29 tour, The Red Mile has appropriately addressed the clay-based barn soil, the stalls, and the prominent fences, as promised. However, The Red Mile was unable to obtain contractors to paint the barns at this time.

KHRC staff recommends ratifying Mr. Guilfoil's approval of The Red Mile's License to Conduct Live Horse Racing, Simulcasting, and Pari-Mutuel Wagering.



COMMISSION ACTION:

\_\_\_\_\_

Approved

\_\_\_\_\_

Denied

\_\_\_\_\_

Deferred



ANDY BESHEAR  
GOVERNOR

KERRY B. HARVEY  
SECRETARY

JONATHAN RABINOWITZ  
CHAIRMAN

MARC A. GUILFOIL  
EXECUTIVE DIRECTOR

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**To:** Commissioners, Kentucky Horse Racing Commission  
**From:** Jennifer Wolsing, General Counsel  
**Date:** July 15, 2020  
**Re:** The Red Mile’s request to revise its post times for the 2020 race meet

The Red Mile seeks to revise its post times for the 2020 race meet to 1:00 p.m. EST on all days. This change will move the post time on Mondays and Tuesdays from 7:00 p.m. EST to 1:00 p.m. EST. The Red Mile’s request is due to conditions and complications that have arisen due to the COVID-19 pandemic, as set forth more fully in their July 7, 2020 letter to Marc Guilfoil.

The Commission has legal authority to approve these changes. 810 KAR 4:040 Section 1 states that the Commission shall approve the post time for the first race on each racing day. Nothing prohibits the Commission from approving an amended post time, particularly when the changes are due to an ongoing state of emergency.

COMMISSION ACTION:

\_\_\_\_\_ Approved  
\_\_\_\_\_ Denied  
\_\_\_\_\_ Deferred



# REDMILE

July 7, 2020

Mr. Marc Guilfoil, Executive Director  
Kentucky Horse Racing Commission  
4063 Ironworks Pkwy, Bldg B  
Lexington, KY 40511

Re: Post Time Revision Request

Dear Marc:

We are requesting to revise our post times for the 2020 race meet to 1pm on all days. The effect of this change would be to move Monday's and Tuesday's post time from nights (7pm) to daytime.

The request is being made after much consideration to conditions and complications that exist at this time due to the COVID-19 pandemic, some of which are described as follows:

- Racing at night creates personnel problems involved in operating an evening shift, such as shortages of people willing to work due to virus fears and child and elder care complications.
- With no (or few) spectators resulting in reduced revenues, there are significant night racing costs which could be avoided by racing in daytime (cost of track lights, overtime for track crew, maintenance, security, and racing staff).
- A daytime post places our wagering signal in a better position, avoiding heavy competition with other standardbred tracks in the evening.
- A daytime post gives us the opportunity to have some on-track wagering with the presence of simulcasting patrons at the facility.

If you have questions or need further information, please contact me at your convenience. We look forward to your response.

Thank you,



Shannon M. Cobb  
Chief Operating and Financial Officer

Cc: Joe Costa, President/CEO

Lexington Trots Breeders Assn., llc \* 1101 Winbak Way \* Lexington, KY 40504  
Phone: (859)255-0752 \* Fax: (859)231-0217



ANDY BESHEAR  
GOVERNOR

KERRY B. HARVEY  
SECRETARY

JONATHAN RABINOWITZ  
CHAIRMAN

MARC A. GUILFOIL  
EXECUTIVE DIRECTOR

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WEBSITE: [HTTP://KHRC.KY.GOV](http://KHRC.KY.GOV)

July 28, 2020

Shannon Cobb  
The Red Mile  
1200 Red Mile Road  
Lexington, KY 40504

RE: Request for Revised Post Times for the 2020 Race Meet

Dear Ms. Cobb:

In a letter dated July 7, 2020, you requested a post time change to 1pm for Mondays and Tuesdays during The Red Mile's 2020 race meet, running Sunday August 2 – Sunday October 11, 2020. Pursuant to the authority granted the Executive Director by the Kentucky Horse Racing Commission, your request to alter post times for the 2020 race meet of The Red Mile, is hereby granted. Please note this approval is subject to placement on the Kentucky Horse Racing Commission's upcoming agenda for ratification.

Please feel free to contact me should you have any questions or concerns.

Sincerely,

A handwritten signature in blue ink that reads "Marc A. Guilfoil".

Marc A. Guilfoil

Executive Director

Kentucky Horse Racing Commission



ANDY BESHEAR  
GOVERNOR

KERRY B. HARVEY  
SECRETARY

JONATHAN RABINOWITZ  
CHAIRMAN

MARC A. GUILFOIL  
EXECUTIVE DIRECTOR

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**To:** Commissioners, Kentucky Horse Racing Commission

**From:** Jennifer Wolsing, General Counsel

**Date:** August 10, 2020

**Re:** WKY Development's Requests to Amend Section R of its 2020 Application to Conduct Live Horse Racing, Simulcasting and Pari-Mutuel Wagering and to Revise its Race Dates and Post Times for 2020 Meet

---

In its application for a 2020 license, WKY Development, LLC ("Oak Grove") sought and received an award of 16 race dates for its facility in Oak Grove, Kentucky, as follows:

- October 14, 15, 19, 20, 21, 22, 26, 27, 28, 29
- November 2, 3, 4, 9, 10, 11

On July 31, 2020, Oak Grove requested approval to amend Section R of its 2020 Application, which describes the Racing and Wagering Operations. A copy of that request is included in the materials after this memorandum. Specifically, Oak Grove requests to change its Racing Days to the following dates:

Wednesday, October 14  
Thursday, October 15

Monday, October 19  
Tuesday, October 20  
Wednesday, October 21

Monday, October 26  
Tuesday, October 27  
Wednesday, October 28

Monday, November 2  
Tuesday, November 3  
Wednesday, November 4

Monday, November 9  
Tuesday, November 10

Wednesday, November 11

Sunday, November 15

Monday, November 16

Of note, the request does not seek to alter the number of race dates in any way. Instead, the request seeks to shift the October 22 and 29 dates to November 15 and 16.

Oak Grove also seeks to revise its post times for the 2020 Fall race meet. Originally, Oak Grove had anticipated racing every day at 3:00 p.m. EST (2 p.m. CST) and switching to 2:00 p.m. EST (1 p.m. CST) in November to accommodate Daylight Savings Time that begins on November 1, 2020. Now, Oak Grove seeks to race every day at 1:30 p.m. EST (12:30 p.m. CST).

The Commission has legal authority to approve these changes.

The Commission may approve shifted race dates.

Per my review of Kentucky statutes and regulations, nothing prohibits the Commission from approving a shift in live race meeting dates and host track status. Although the award of additional race dates to a track after the November 1 deadline is not allowed under KRS 230.300(5), except in limited circumstances,<sup>1</sup> that is not what Oak Grove seeks. Instead, Oak Grove seeks only to shift dates that have already been awarded.

Although such requests have been infrequent, the Commission has traditionally allowed race dates to be shifted if the request is received and approved before the racing on the date is held.<sup>2</sup> Such an action does not constitute the “award” of race dates and thus is not barred by the November 1 deadline in KRS 230.300.

The concern that drives the statutory time limit on awarding race dates—“to prevent ongoing battles for dates,” 1978-79 Ky. Op. Att’y Gen. 2-538 (1979) is not present here. Oak Grove has already been awarded sixteen (16) days of racing in 2020 and the shifted days will fall within the same general period (mid-October to mid-November 2020) as the dates that were originally awarded. Also, the shifted dates will not conflict with those held by another harness track. The shifted dates conflict with one (1) Thoroughbred track date. However, the Commission has traditionally allowed overlap between Standardbred and Thoroughbred tracks. Moreover, the owner of the affected Thoroughbred track, Churchill Downs, is part-owner of Oak Grove, and thus appears to have no objection.

The Commission may approve race time changes.

---

<sup>1</sup> This reading of the statute is confirmed by two (2) Attorney General Opinions. See 1980-81 Ky. Op. Att’y Gen. 2-883 (1981) (“[I]t is not within the scope of authority of the Kentucky State Racing Commission to award additional racing dates to [a track] in absence of the cancellation of previously scheduled dates due to flood, fire, or inclement water, or an extraordinary disruption of the orderly conduct of business amounting to the same crisis level as a natural disaster.”); 1978-79 Ky. Op. Att’y Gen. 2-539 (1979) (“By fixing the Marc 1 deadline, the legislature has clearly demonstrated its intent that the Commission shall decide who shall be awarded what dates by that time, and that, under ordinary circumstances, that decision shall be final.”). One of those opinions suggested that the award of additional race dates could have dire consequences: “For, if the Commission were to award dates which were obviously unrelated to the purpose of making up for regular scheduled dates which were cancelled, [the track] would be potentially liable not only for maintaining a public nuisance and for promoting illegal gambling activity, but there is also authority to the effect that [the track] would be civilly liable to patrons for the amount of bets placed and lost if it was in fact operating under a license which is invalid under the statute.” 1978-79 Ky. Op. Att’y Gen. 2-539 (1979).

<sup>2</sup> For instance, in June 2012, Kentucky Downs was allowed to shift two race dates from Tuesdays to Wednesdays. Similarly, in 2019, WKY Development was allowed to shift race dates so that races were held on three days for four weeks, rather than two days for six weeks.

810 KAR 4:040 Section 1 states that the Commission shall approve the post time for the first race on each racing day. Nothing prohibits the Commission from approving an amended post time.

The KHRC staff recommends approval.

COMMISSION ACTION:

- \_\_\_\_\_ Approved
- \_\_\_\_\_ Denied
- \_\_\_\_\_ Deferred



July 31, 2020

Mr. Marc Guilfoil  
Executive Director  
Kentucky Horse Racing Commission  
Via E-Mail: [marc.guilfoil@ky.gov](mailto:marc.guilfoil@ky.gov)

Re: Request to amend section R. RACING AND WAGERING OPERATIONS of Western Kentucky Development's 2020 KENTUCKY HORSE RACING COMMISSION INITIAL/RENEWAL APPLICATION TO CONDUCT LIVE HORSE RACING, SIMULCASTING AND PARI-MUTUEL WAGERING

Dear Mr. Guilfoil:

On September 6, 2019, Western Kentucky Development, LLC submitted its 2020 KENTUCKY HORSE RACING COMMISSION RENEWAL APPLICATION TO CONDUCT LIVE HORSE RACING, SIMULCASTING AND PARI-MUTUEL WAGERING (Application) to operate at Oak Grove Racing and Gaming.

The following is an amendment to Section R of the 2020 Application, which describes the Racing and Wagering Operations, specific to racing dates requested for 2020 operations. We respectfully request the Kentucky Racing Commission accept our amended application to reflect a change in Racing days as follows:

Wednesday, October 14  
Thursday, October 15

Monday, October 19  
Tuesday, October 20  
Wednesday, October 21

Monday, October 26  
Tuesday, October 27  
Wednesday, October 28

Monday, November 2  
Tuesday, November 3  
Wednesday, November 4

Monday, November 9  
Tuesday, November 10  
Wednesday, November 11

Sunday, November 15  
Monday, November 16

We would like to commence racing at 12:30 PM Daily.

Please feel free to contact me with any questions.

Very truly yours,

A handwritten signature in blue ink, appearing to read 'D. Boehm', with a long horizontal flourish extending to the right.

David Boehm  
Senior Director of Gaming and Racing Operations



ANDY BESHEAR  
GOVERNOR

KERRY B. HARVEY  
SECRETARY

JONATHAN RABINOWITZ  
CHAIRMAN

MARC A. GUILFOIL  
EXECUTIVE DIRECTOR

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**TO: KENTUCKY HORSE RACING COMMISSION**

FROM: Barbara Borden, Chief State Steward

DATE: July 31, 2020

**RE: KEENELAND'S PROPOSED 2020 FALL RACE MEET & BREEDERS' CUP RACE MEET OFFICIALS AND DATE PREFERENCE SYSTEM**

---

By letter sent July 28, 2020, Robert Elliston, Vice President of Racing at Keeneland, requests approval of the attached roster of Racing Officials and Date Preference System for their 2020 Fall race meet and 2020 Breeders' Cup meet. Please be advised all Stewards have been accredited by ROAP (Racing Officials Accreditation Program) and all officials will be licensed by the meet opening.

Approval is recommended.

**COMMISSION ACTION:**

\_\_\_\_\_ Approved

\_\_\_\_\_ Deferred

\_\_\_\_\_ Denied



July 28, 2020

**Keeneland Association, Inc.**

4201 Versailles Road  
Lexington, KY 40510  
P.O. Box 1690  
Lexington, KY 40588-1690  
859 254-3412 Tel.  
800 456-3412  
859 288-4347 Fax  
www.keeneland.com

Mr. Marc A. Guilfoil  
Executive Director  
Kentucky Horse Racing Commission  
4063 Iron Works Pkwy., Bldg. B  
Lexington, KY 40511

Dear Marc:

With the approval of the Kentucky Horse Racing Commission, the officials for the 2020 Fall Meeting at Keeneland, as well as the Breeders' Cup Meeting November 6<sup>th</sup> & 7<sup>th</sup> will be as follows:

Vice President of Racing Association Steward	Robert N. Elliston
Racing Secretary	Ronald Herbstreit
Acting Dir. Racing Administration	David Ben Huffman
Assistant Racing Secretary	Chip McGaughey
Stakes Coordinator	Tia Murphy
Starter	Alison DeLuca
Paddock Judge	Scott Jordan
Assistant Paddock Judge	David Wedlake
Patrol/Placing Judges	Tyler Picklesimer
	James F. Ewalt, Stuart Slagle, Steve Penrod, Ron Tomlinson, Bailey Hare
Entry Clerks	Tiffany Bourque
	James F. Ewalt, Steve Penrod, Ron Tomlinson, Bailey Hare, Tiffany Bourque
Clerk of Scales	Javier Torres
Horse Identifier	Melinda Vest
Assistant Identifier	Christopher Creel
Timer	TrackMaster
Program Coordinator	Jori Gorski
Jockey's Room Custodian	Kenneth Bourque
Clocker	Gary Reckner, Chris Crowe
Claims Clerk	Ron Tomlinson
Outriders	Scott Cole, Robin Riesonbeck,
	Colby Lavergne, Darrin Cain
Horsemen's Bookkeeper	Kristey Weisenberger
Track Superintendent	Jim Pendergest
Director of Wagering	Jim Goodman
Director of Security	Phil Gardner
Paddock Blacksmith	Arthur S. Tearl
Announcer	Kurt Becker
Stable Manager	Wayne Mogge

## **KEENELAND FALL MEETING**

Post time will be 1:05 p.m. each day with eight to eleven live races for the Keeneland Fall Meet.

Keeneland will continue to utilize a date preference system, attached as Exhibit "A" and the following entry schedule:

<i><b>Race Date</b></i>	<i><b>Entry Day</b></i>
Friday	Sunday
Saturday	Wednesday
Sunday	Thursday
Wednesday	Friday
Thursday	Saturday

## **BREEDERS' CUP WORLD CHAMPIONSHIP MEETING**

Post Time for the Breeders' Cup World Championships will be as follows:

- Friday, November 6<sup>th</sup> beginning at 11:30 a.m. with 10 Races
- Saturday, November 7<sup>th</sup> beginning at 10:15 a.m. with 12 Races

Pre-entries for Breeders' Cup World Championship Races will be due on Monday, October 26<sup>th</sup>. Entries for both days of the Breeders' Cup World Championship Meeting will close, and be drawn on Monday, November 2<sup>nd</sup>.

Field Selection & Points System for the Breeders' Cup World Championships races are attached as Exhibit "B".

Thank you for your consideration.

Sincerely,



Robert N. Elliston

Vice President of Racing

## EXHIBIT "A"

### KEENELAND DATE PREFERENCE SYSTEM

The Date System of preference will be used at Keeneland Association as described below:

**Foal Certificates and Digital Foal Certificates are no longer required to be on file in the racing office to enter or start at Keeneland Association; however, foal certificates and digital foal certificates must be on file to obtain a preference date and to get a horse tattooed. To receive a Zero (0) date, foal certificates and/or digital foal certificates must be on file with the Horse Identifier by Monday, September 28, 2020.**

#### Preference by condition eligibility then by:

1. In over-filled races, preference will be given to horses in order of their assigned preference dates, earliest date first. Horses having identical dates will be chosen by lot, however, where entry and running dates are the same, the horse with the entry date will have preference.
2. All horses intended for racing at Keeneland Association will be assigned a preference date. Dates assigned will be of two types:

#### E-Date

This date will correspond to:

- a. The day on which a horse's foal papers/digital foal papers and name tag are submitted to the identifier (the earliest date being the day when entries are first taken for the meeting).
- b. The day on which a horse is first entered if his papers are not on file with the identifier.
- c. To qualify for the latter E-Date, a horse must have been excluded from a race which appears on the overnight or he must have been entered in a condition book race which failed to fill through insufficient entries.

#### R-Date

This date will correspond to:

- a. The day of a horse's most recent race at Keeneland Association.
  - b. An R-Date will also be assigned to a horse which scratches (provided the foal papers are on file with the identifier).
3. A horse retains his R-Date until he receives a newer R-Date. No horse with an established R-Date may receive an E-Date. A horse whose papers or digital papers are removed from the identifier's office will lose his date and must subsequently re-establish a date in order to receive preference. A horse with an E-Date cannot receive a newer E-Date. Horses starting at another racetrack will forfeit any date they may have at Keeneland Association.
  4. Preference dates will consist of two categories: Dirt (D) and Turf (T). Preference dates are good for any type of race or distance.
  5. A horse that runs in a race, which has been taken off the Turf will retain its Turf date and establish a Dirt R-Date. A scratched horse will receive an R-Date from the date scratched for both Turf and Dirt.
  6. When a race is taken off the Turf, Main Track Only (MTO) horses will receive an R-Date if they run or scratch. If the race remains on the Turf, MTO horses will retain their previous date.
  7. Horses on the Also-Eligible list shall be permitted to draw into races in order listed. A horse entered as MTO shall be listed as an Also-Eligible. If the race remains on the Turf, a horse listed as MTO shall not be permitted to draw into the race.
  8. No E-Date will be assigned to the second choice of an unsplit same-owner entry.
  9. A horse which has been placed on a list (veterinarian, steward, starter or paddock judge) will lose their date and be assigned an R-Date corresponding to the day removed from list.
  10. A horse which is a scheduled starter in a canceled race will not receive an R-Date for that race but will retain its previous date.
  11. Stakes and handicap races are not subject to date preference.

12. Preference dates are transferred through change of ownership and changes in trainer after the proper transfer procedure have been completed through the Steward's office and delivered to the Racing Secretary's Office.
13. Date preference rules will not supersede KHRC rules regarding preference, eligibility or scratches.
14. Winners will be preferred in all non-maiden races.

#### **Condition Eligibility**

- Horses are preferred by their available conditions in all allowance races beginning with Graded stake winners (Grade I-II-III), open stakes winners through their allowance conditions providing the horse has finished 1st, 2nd, 3rd or 4th for \$40,000 or more since starting for less than \$40,000. In allowance/optional claiming races a horse's eligibility cannot exceed the condition of the race. Starter races for less than \$25,000 will be considered as claiming races for condition eligibility.
- No entry will be received except upon the condition that all disputes, claims and objections arising out of the racing or with respect to the interpretation of the conditions of any race shall be decided by the officers of the association, or those whom they may appoint and their decision upon all points shall be final.
- No entry shall be accepted from any stable not provided with a trainer's license.

#### **Main Track Only Entries**

- Entries will be accepted in turf races (excluding stakes) for Main Track Only (MTO) horses.
- Trainers must declare the horse as MTO at the time of entry.
- A horse entered as MTO shall be listed as Also-Eligible.
- In an over-filled turf race, Keeneland reserves the right to designate two Main Track Only (MTO) positions on the Also-Eligible List. Also-Eligibles (including MTO) shall be assigned a post position by preference. If preference is equal, post positions shall be drawn by lot, unless otherwise stipulated in the published conditions of the race.
- If the race remains on the turf, a horse listed as MTO shall not be permitted to draw into the race. When a race is removed from the turf, MTO horses will lose any date they have if they run or scratch. If the race remains on the turf, MTO horses will retain their previous date.

# Exhibit "B"

## Breeders' Cup Field Selection & Points System

A complete list of rules, regulations and minimum entry qualifications can be obtained by contacting the Breeders' Cup Racing Department at (859) 514-9422 or emailing [bcracing@breederscup.com](mailto:bcracing@breederscup.com).

A maximum of 14 starters are allowed in each of the Breeders' Cup Championships races with the exception of the Dirt Mile which will allow 12. Breeders' Cup Limited has adopted a field selection system to select runners in the event fields are oversubscribed. This system ranks horses in order of preference based upon (i) wins in Breeders' Cup Challenge Races, (ii) a point system, and (iii) the judgment of a panel of racing experts. The first two criteria will assign a maximum of 7 horses for fields limited to 14 starters and a maximum of 6 horses for fields limited to 12 starters, or all Challenge winners. The field selection system will be implemented as necessary following the taking of pre-entries on October 26, 2020, to officially rank the oversubscribed fields. The Racing Directors/Secretaries Panel (the "Panel") will rank all the horses pre-entered in the oversubscribed races as described below. After pre-entry, any vacancies in the fields will be filled by horses in order of panel preference.

### **Pre-Entry Procedures**

Online Pre-Entry opens October 1st

In the case of an oversubscribed 14- or 12- horse field, the first horse(s) selected will be the winner(s) of Breeders' Cup Challenge races in the corresponding Breeders' Cup Championships division. After the selection of Challenge winners, the next horses will be ranked at pre-entry based on the total number of Graded Stakes points they have collected during the year until a maximum of seven or six horses (or all Challenge winners) have been ranked based on Breeders' Cup Challenge races and Graded Stakes points. All horses will need to accumulate a minimum of six (6) points in American and/or Canadian Graded Stakes races to be considered to receive an automatic selection on points in any Championships race with the exception of the five Juvenile races. In the five Juvenile races, horses will need to accumulate a minimum of four (4) points in American and/or Canadian Graded Stakes races to be considered to receive an automatic selection on points.

The horses remaining after the first seven or six (or all Challenge winners) in oversubscribed fourteen or twelve horse fields at the time of pre-entry will be ranked in order of preference by the Panel. The Panel will be free to establish its own criteria or ranking so that high-quality horses of demonstrated ability will have the opportunity to participate in Championships races regardless of earlier participation in graded events.

Horses will be allowed to pre-enter a maximum of two races. The races in which the horse pre-enters must be designated in order of choice at the time of pre-entry on October 26, 2020. Performance in Breeders' Cup Challenge races and points earned in American Graded Stakes races and/or Canadian Graded Stakes races have no bearing on a given Championships race field unless the race is oversubscribed (more than fourteen or twelve pre-entered).

Once a horse has been ranked among the first 14- or 12-horses in its race of first choice at pre-entry, its ranking in its race of second choice will be based first on whether it won a Breeders' Cup Challenge race in the Championships division of the second choice and then on the judgment of the Panel regardless of the number of points earned in American and/or Canadian Graded Stakes races. If a horse is not ranked

among the first fourteen or twelve horses in its race of first choice and it did not win a Challenge race in its Championships division of second choice, then its points earned in American and/or Canadian Graded Stakes races will be utilized for ranking in its race of second choice.

#### *Point System*

Specific point values are assigned to first-, second- and third-place finishes in stakes throughout the United States and Canada from January 1 through the pre-entry deadline date October 26, 2020 that have been granted graded status by the American Graded Stakes Committee of the Thoroughbred Owners and Breeders Association and/or the Jockey Club of Canada.

Based on this system, win, place and show horses in Grade I stakes earn ten, six and four points, respectively. The top three finishers in Grade II stakes earn six, four and two points respectively, and in Grade III races the points earned are four, two and one, respectively.

Note: In cases where dead heats occur for win, place and show finishes in graded stakes, those horses that finish in a dead heat will be awarded the total applicable points for that win, place or show position.

Except for the five 2-year old Championship Races, Graded Stakes Points for dirt races will be the only points considered for horses pre-entered in dirt Championship Races and Graded Stakes Points for turf races will be the only points considered for horses pre-entered in turf Championship Races. All graded stakes points will be considered for the five two-year old Championship races.

#### *"Ties" at Pre-Entry*

In the event more than fourteen or twelve horses are pre-entered in a particular Championship Race and two or more horses are tied for the seventh or sixth, as applicable, highest number of American and/or Canadian Graded Stakes Races points at the time of pre-entry, the Panel shall decide which of those horses shall be the seventh or sixth, as applicable, highest point earner. Horses not selected shall then be ranked in order of preference by the Panel at its discretion.

#### *Pre-Entry Rankings*

The Panel will issue a list of all horses ranked in order of preference for each Championship Race that is oversubscribed at the time of pre-entry. After pre-entry, any vacancies in the fields of oversubscribed races will be filled by horses in the Panel's order of preference as determined at pre-entry. NOTE: Points will have no bearing on ranking after pre-entry.

#### **Entry Procedures**

Entries for all Breeders' Cup Championship races will close on Monday at 10 a.m., (ET), November 2, 2020. At the time of entry, a maximum of fourteen or twelve horses will be accepted for entry in each 14 horse race and a maximum of twelve for each 12 horse race based on the order of preference in the rankings established at pre-entry. A horse will be permitted to enter two Breeders' Cup Championship races under the following conditions: (i) the horse is eligible for both races; (ii) the races will be contested on different days; (iii) the pre-entry and entry fees are paid for both races; and (iv) neither race is oversubscribed. A horse may not double-enter Breeders' Cup Championship races if the effect of such double entry is to exclude another horse from competing. A horse entered in two Championship

racers on different days may not compete on both days. Pre-entry and entry fees will not be refunded for the race in which the owner of the double-entered horse elects not to compete.

#### *Breeders' Cup Condition of Entry*

As a condition of entry for any BCWC race, no horse (i) within six months of the BCWC shall test positive for any anabolic steroid or any other prohibited substance described under the ARCI prohibited substance list or (ii) within sixty (60) days of the BCWC shall have been treated with extracorporeal shock wave therapy. Any horse testing positive for anabolic steroids or other ARCI prohibited substances in a BCL out-of-competition test sample during the six-months prior to the BCWC will be ineligible to participate in BCWC. Any horse that has been treated with extracorporeal shock wave therapy in violation of this Condition will be ineligible to participate in BCWC.

#### *Also-Eligible Horses*

There may be up to four (4) also-eligible horses for each Championships race, excluding the Dirt Mile which will carry a total of six (6) also-eligible horses. The also-eligible horses will be designated in accordance with the Breeders' Cup Racing Directors/Secretaries Panel's order of preference for each Championships race that is oversubscribed at the time of pre-entry. See Field Selection System in the Horsemen's Information Guide. Scratch time for Friday Championships races will be 8:00 a.m. ET, Friday, November 6. Scratch time for Saturday Championships races will be 8:00 a.m. ET on Saturday, November 7.

#### **Pre-Race Inspection**

Breeders' Cup Limited, Keeneland, and the KHRC have assembled a team of impartial, experienced equine veterinarians that will be conducting a series of physical inspections as well as observing each horse under tack during the week preceding the event. Horses will be inspected on multiple occasions in the stable area, initially upon arrival and at least one other time prior to race day. Race day customary procedures for pre-race inspections also will be followed.

There are new rules in place for the 2020 Championships, please note the following:

1. All runners MUST have a pre-race exam from their attending veterinarian stating that the horse is fit to compete. This form must be on file with the Breeders' Cup Racing office prior to ENTRY at 10:00 am (ET) on Monday, November 2.
2. All runners MUST request permission to work out on any of the Keeneland surfaces. This request must be on file with the Breeders' Cup Racing office by 12 noon, 48 HOURS BEFORE the intended work.
3. All runners MUST submit a 14-day veterinary treatment record to the KHRC official veterinarian. This treatment record should show the date of treatment and what medications were administered. This treatment record should be for the period of time 14 days prior to ENTRY Day, Monday, November 2.
4. There is a maximum permitted amount of Lasix of 250 mg (5 cc). Lasix is administered by a KHRC Authorized Lasix Veterinarian or KY registered veterinary technician only. No private administration.

5. In 2020, no two-year-olds competing at Keeneland will be administered Lasix.
6. Intra-articular corticosteroid treatments are prohibited within 14 days of racing. More than one corticosteroid in a post-race test sample is prohibited. For specific dates and rule, see the Horsemen's Information Guide.
7. Non-steroidal anti-inflammatory drugs (NSAIDs) are prohibited within 48 hours of a work or a race. There is no authorized threshold for NSAIDs.

The Breeders' Cup World Championships will be run in accordance with the rules of racing of the State of Kentucky and as promulgated by the Kentucky Horse Racing Commission and Keeneland. A complete listing of these rules may be obtained at KHRC and Keeneland.

### **Breeders' Cup Racing Staff**

Dora J. Delgado, Executive Vice President, Chief Racing Officer

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ANDY BESHEAR  
GOVERNOR

KERRY B. HARVEY  
SECRETARY

JONATHAN RABINOWITZ  
CHAIRMAN

MARC A. GUILFOIL  
EXECUTIVE DIRECTOR

**PUBLIC PROTECTION CABINET**  
**KENTUCKY HORSE RACING COMMISSION**  
ESTABLISHED 1906  
4063 IRON WORKS PKWY., BLDG. B  
LEXINGTON, KENTUCKY 40511  
TELEPHONE: (859) 246-2040 FAX: (859) 246-2039  
WEBSITE: [HTTP://KHRC.KY.GOV](http://KHRC.KY.GOV)

**TO: KENTUCKY HORSE RACING COMMISSION**

FROM: Barbara Borden, Chief State Steward

DATE: July 31, 2020

**RE: CHURCHILL DOWNS' PROPOSED 2020 FALL RACE MEET OFFICIALS AND DATE PREFERENCE SYSTEM**

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By letter sent July 31, 2020, Ben Huffman, Racing Secretary at Churchill Downs, requests approval of the attached roster of Racing Officials and Date Preference System for their Fall race meet. Please be advised all Stewards have been accredited by ROAP (Racing Officials Accreditation Program) and all officials will be licensed by the meet opening.

Approval is recommended.

**COMMISSION ACTION:**

\_\_\_\_\_ Approved

\_\_\_\_\_ Deferred

\_\_\_\_\_ Denied



# CHURCHILL DOWNS

Home of the Kentucky Derby®

July 31, 2020

Mr. Marc Guilfoil  
Executive Director  
Kentucky Horse Racing Commission  
4063 Iron Works Parkway  
Lexington, KY 40511

Dear Mr. Guilfoil,

As required by the regulations set forth by the Kentucky Horse Racing Commission, attached is the list of officials for the 2020 Churchill Downs Fall Meet, along with the date preference rules, which will be used during this meet, for approval by the Kentucky Horse Racing Commission.

Respectfully submitted,

D. Ben Huffman  
Racing Secretary

Enclosure

## CHURCHILL DOWNS LIST OF OFFICIALS FOR THE 2020 FALL MEET

ASSOCIATION STEWARD:	Tyler Picklesimer
RACING SECRETARY:	Ben Huffman
ASST. RACING SECRETARY/STAKES COORDINATOR	Dan Bork
HORSE IDENTIFIER:	Melinda Vest
ASSISTANT IDENTIFIER:	Christopher Creel
CLERK OF SALES:	Javier Torres
JOCKEY ROOM CUSTODIAN:	Kenneth Bourque
CLAIMS CLERK:	Stuart Slagle
PROGRAM COORDINATOR:	Tiffany Bourque
HORSEMEN'S BOOKKEEPER:	Carol Renn
STARTER:	Scott Jordan
OUTRIDERS:	Greg Blasi Lee Lockwood Shane Hoodenpyle
SR. DIRECTOR SECURITY AND SAFETY	Earl Biggett
DIRECTOR OF MUTUELS:	Rick Smith
TIMER:	American Teletimer
CLOCKER:	Peter Vestal
ENTRY CLERKS, PADDOCK AND PLACING JUDGES:	Steve Obrekaitis Baley Hare Ron Tomlinson Tia Murphy Steve Penrod Jorie Gorski
ANNOUNCER:	Travis Stone
STALL MANAGER:	Steve Hargrave

## DATE PREFERENCE SYSTEM

The Date System of preference will be used at Churchill Downs as described below:

**Foal Certificates and digital foal certificates no longer are required to be on file in the racing office to enter or start at Churchill Downs; however, foal certificates and digital foal certificates must be on file to obtain a preference date and to get a horse tattooed.**

Each day's overnight will list the dates of horses in and on the also-eligible list to enable trainers to see what dates are getting horses in over-filled races.

1. In over-filled races, preference will be given to horses in order of their assigned preference dates, earliest date first. Horses having identical dates will be chosen by lot, however, where entry and running dates are the same, the horse with the entry date will have preference.
2. All horses intended for racing at Churchill Downs will be assigned a preference date. Dates assigned will be of two types:
3. **FOR A ZERO DATE** foal papers and digital foal papers must be turned in to the identifier by Sunday, October 25, 2020.

### **E-Date**

This date will correspond to:

- a) The day on which a horse's foal papers and nametag and digital foal papers are submitted to the identifier (the earliest date being the day when entries are first taken for the meeting).
- b) The day on which a horse is first entered if his papers or digital foal papers are not on file with the identifier.

To qualify for the latter E-date, a horse must have been excluded from a race which appears on the overnight or he must have been entered in a condition book race which failed to fill through insufficient entries.

### **R-Date**

This date will correspond to the day of a horse's most recent race at Churchill Downs. An R-Date will also be assigned to a horse which scratches.

4. A horse retains his R-Date until he receives a newer R-Date. No horse with an established R-Date may receive an E-Date. A horse whose papers or digital papers are removed from the identifier's office will lose his date and must subsequently re-establish a date in order to receive preference. A horse with an E-Date cannot receive a newer E-Date. Horses starting at another race track will forfeit any date they may have at Churchill Downs.
5. Preference dates will consist of two categories: Dirt (D) and Turf (T). Preference dates are good for any type of race or distance.
6. A horse that runs in a race, which has been taken off the turf will retain its turf date. A scratched horse will receive an R-date from the date scratched.
7. When a race is taken off the turf, dirt preferred horses will receive an R-Date if they run or scratch. If the race remains on the turf, dirt preferred horses will retain their previous date.
8. Horses on the Also-Eligible list shall be permitted to draw into races in order of their dates, earliest dates first, (Exception: when a race is removed from the turf.

Dirt Preferred also-eligibles will have first preference to draw into the race in order of their date preference. If the race remains on the turf they will have no preference.)

9. No E-Date will be assigned to the second choice of an unsplit same-owner entry.
10. A horse which has been placed on a list (veterinarian, steward, starter or paddock judge) will lose their date and be assigned an R-Date corresponding to the day removed from list..
11. A horse which is a scheduled starter in a cancelled race will not receive an R-Date for that race but will retain its previous date.
12. The draw of stakes and handicap race is not subject to date preference.
13. Preference dates are transferred through change of ownership and changes in trainer after the proper transfer procedure have been completed through the Steward's office and delivered to the Racing Secretary's office.
14. Date preference rules will not supersede KHRA rules regarding preference, eligibility or scratches.
15. Winners will be preferred in all non maiden races.

### **CONDITION PREFERENCE**

Horses are preferred by their available conditions in all allowance races beginning with Graded Stakes winners (Grade I-II-III), providing the horse has finished 1<sup>st</sup>, 2<sup>nd</sup>, 3<sup>rd</sup> or 4<sup>th</sup> for conditions providing the horses has finished 1<sup>st</sup>, 2<sup>nd</sup>, 3<sup>rd</sup>, or 4<sup>th</sup> for \$40,000 or more since starting for less than \$40,000. In allowance/optional claiming races a horse's preference cannot exceed the condition of the race. Starter races for less than \$25,000 will not be preferred.

### **WINNERS ARE PREFERRED IN ALL NON MAIDEN RACES.**

#### **Also-Eligibles**

If there are any scratches in a race with also-eligibles, the also-eligible horses will be permitted to move into the race in the order listed on the overnight.

### **810 KAR 4:010. HORSES**

The stewards may at any time require presentation of a horse's registration certificate, virtual certificate, or racing permit or other proof of ownership.

Upon claim, sale or any other transfer of ownership, the horse's registration certificate or racing permit shall be given to the new owner, and any virtual certificate shall be transferred to the new owner electronically. The new owner shall report the change in ownership to the stewards.



ANDY BESHEAR  
GOVERNOR

KERRY B. HARVEY  
SECRETARY

JONATHAN RABINOWITZ  
CHAIRMAN

MARC A. GUILFOIL  
EXECUTIVE DIRECTOR

**PUBLIC PROTECTION CABINET**  
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WEBSITE: [HTTP://KHRC.KY.GOV](http://khrc.ky.gov)

**TO: KENTUCKY HORSE RACING COMMISSION**

FROM: Barbara Borden, Chief State Steward

DATE: August 7, 2020

**RE: OAK GROVE'S PROPOSED 2020 RACE MEET OFFICIALS**

---

By letter dated July 31, 2020 David Boehm, Director of Racing and Gaming at Oak Grove racetrack, requested approval of the attached Roster of Racing Officials scheduled to preside over the conduct of racing during the 2020 harness meet. Please be advised that the Judges have been accredited by ROAP (Racing Officials Accreditation Program) and all officials and were licensed by the meet opening.

Approval is recommended.

**COMMISSION ACTION:**

\_\_\_\_\_ Approved

\_\_\_\_\_ Deferred

\_\_\_\_\_ Denied





July 31, 2020

Mr. Marc Guilfoil  
Executive Director  
Kentucky Horse Racing Commission  
4062 Iron Works Parkway  
Lexington, KY 40511

Dear Mr. Guilfoil:

Oak Grove Racing and Gaming respectfully requests approval from the Kentucky Horse Racing Commission for the following Standardbred Racing Officials for our upcoming meet:

President and General Manager:	Darold Londo
Senior Director of Gaming and Racing Operations:	David Boehm
Director of Racing:	Bret Shultz
Associate Steward:	Jim Grow
Racing Secretary and Starter:	Kevin Mack
Driver:	Marvin Pryor
Assistant Race Secretary:	TBD
Paddock Judge:	Ted Torgerson
Horse Identifier:	Mike Murphy
Timer and Photo Finish:	TBD
Charter/Program Coordinator/Clerk of the Course:	Donald McAlvey
Director of Mutuels:	TBD
Director of Security:	Joe Guenther
Track Superintendent:	Gary Mills
Track Announcer:	Robin Burns
Paddock Blacksmith:	Marvin Pryor

As always, Oak Grove Racing and Gaming shall be in compliance with all applicable state and federal laws. Thank you for your time in considering this matter.

Very truly yours,

A handwritten signature in black ink, appearing to read "D. Boehm", with a long horizontal line extending to the right.

David Boehm  
Senior Director of Gaming and Racing

## 2020 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG CLASS	PENALTY OR ACTION	FINE	STATUS
20-0041	6/6/2020	6/5/2020	Tyler S. Gaffalione	Careless riding		Suspended 3 days June 13, June 14 and June 18, 2020		APPEALED
20-0042	6/11/2020	6/11/2020	Bradley S. Sandy	Criminal charges, disorderly conduct and formal ejection from The Thoroughbred Center		Suspended pending appearance before the Board of Stewards		Current suspension
20-0043	6/14/2020	9/12/2018	Judd Becker	Cardarine #00116670	A	Suspended 365 days, 180 to be served June 15 through December 11, 2020 (inclusive). (Remaining 185 days stayed pending no further medication violation in 365 days)	\$10,000 (\$9,000 stayed pending no further medication violation in 365 days)	Current suspension - not paid      Fine
20-0044	6/14/2020	9/12/2018	Tim W. Rosin and Judd Becker (owners)	Cardarine #00116670	A	Bushrod disqualified and purse redistributed. All purse monies must be returned to the association.		DQ and purse redistribution completed
20-0045	6/25/2020	6/5/2020	John A. Hancock	Betamethasone #E363011	C	Fined	\$ 500	Fine paid
20-0046	6/25/2020	6/5/2020	Charles W Brown, David Love and James A. Lewis	Betamethasone #E363011	C	Café Mischief disqualified and purse redistributed. All purse monies must be returned to the association.		DQ and purse redistribution completed
20-0047	6/25/2020	5/31/2020	Brian J. Hernandez, Jr.	Withdrew appeal of Stewards Ruling - #20-0040		Suspended 5 days June 28, and July 2 through July 5, 2020 (inclusive)		Suspension served
20-0048	6/25/2020	6/5/2020	Tyler S. Gaffalione	Withdrew appeal of Stewards Ruling - #20-0041		Suspended 5 days June 28, and July 2 through July 5, 2020 (inclusive)		Suspension served

## 2020 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG CLASS	PENALTY OR ACTION	FINE	STATUS
20-0049	6/26/2020	6/25/2020	Tyler S. Gaffalione	Improper use of the riding crop		Fined	\$ 250	Not Paid
20-0050	6/26/2020	6/18/2020	Jacob J. Radosevich	Criminal charges (arrest that occurred on June 18, 2020		Suspended pending appearance		Current suspension
20-0051	7/11/2020	7/10/2020	David L. Cohen	Improper use of the riding crop		Fined	\$ 500	Not Paid

**2020 TB RULINGS LIST BY CATEGORY ( Through August 6, 2020 )**

**MEDICATION VIOLATIONS**

<b><u>Medication Class</u></b>	<b><u>Total</u></b>	<b><u>Fines</u></b>	<b><u>Suspensions</u></b>	<b><u>Disqualifications</u></b>	<b><u>Appeals</u></b>	<b><u>Explanations</u></b>
Class A	1	1	1	1	0	Cardarine
Class B	3	3	3	3	0	Gabapentin, Carisoprodol and metabolite Meprobamate, Cannabidiol
Class C	5	4	0	4	0	Naproxen, Dexamethasone, Ketoprofen (warning letter), Betamethasone
Class D	1	1	0	0	0	Omeprazole
NSAIDS	2	2	0	0	0	Phenylbutazone
Multiple NSAIDS	0	0	0	0	0	
TCO2	0	0	0	0	0	
Subtotal	<u>12</u>	(total \$5,350)				

**NON-MEDICATION VIOLATIONS**

**Jockey Violations**

Careless Riding	6	0	6	0	2	Routine riding offenses
Overly Aggressive Riding	0	0	0	0	0	
Other Disciplinary Violations	2	2	0	0	0	improper use of the riding crop
Subtotal	<u>8</u>	(total \$750)				

**Other Licensee Violations**

Misc. violations by licensees	1	0	1	0	0	Falsification of license application
Disorderly conduct and formal ejections	3	0	3	0	0	Formal ejection from TTC , Criminal charges
Suspended for non payment of fines	0	0	0	0	0	
Subtotal	<u>4</u>	(total \$0)				

<b>Other Rulings Issued</b>	27	0	0	0	0	The remaining 27 rulings that have been issued in 2020 are for information only and include purse redistributions, penalty applied after withdrawal of appeal, restored to good standing, Court Order affirming KHRC Final Order, Settlement Agreement and Stipulation, extension of apprentice allowance granted.
<b>TOTAL 2020 RULINGS</b>	<u>51</u>	<u>\$6,100</u>				

## 2020 STANDARD BRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG PENALTY CLASS	FINE OR WRITTEN WARNING	STATUS	PAID	Suspension (days)	COMMENTS
J2020-001	3/14/2020	3/9/2020	Nick Surick	License revoked in PA, OH & DE			license revoked			
J2020-002	5/12/2020	7/2/2019	Harley Emerson	Medication /Drug Violation - Flunixin	C		suspended		5/11/20 - 5/20/2020	
J2020-003	6/4/2020	8/28/2019	John McDermott	Medication /Drug Violation - Cannabidiol	A	\$500	suspended	paid	6/17/20 - 6/26/20	

## KHRC Veterinary Report

### Churchill Downs Spring Meet 2020

<b>Total Starts</b>	2536
<hr style="border-top: 1px dashed black;"/>	
Post Race Samples	687
tCO <sub>2</sub>	341
<hr style="border-top: 1px dashed black;"/>	
<b>Veterinary Scratches</b>	35
<hr style="border-top: 1px dashed black;"/>	
Pre-Race	25
On-Track	10
<hr style="border-top: 1px dashed black;"/>	
Soundness related	4
Gate/paddock Injury	6
<hr style="border-top: 1px dashed black;"/>	
<b>KHRC Veterinary List</b>	72
<hr style="border-top: 1px dashed black;"/>	
Scratches	35
Race Injuries/post race lame/test barn lame	29
EIPH	0
Heat distress	8
<hr style="border-top: 1px dashed black;"/>	
<b>Claimed horses</b>	214
<hr style="border-top: 1px dashed black;"/>	
<b>Voided Claims</b>	15
<hr style="border-top: 1px dashed black;"/>	
<b>Ambulance Runs</b>	13
<hr style="border-top: 1px dashed black;"/>	
<b>Racing Fatalities</b>	1
<hr style="border-top: 1px dashed black;"/>	
musculo-skeletal	1
sudden death	0
<hr style="border-top: 1px dashed black;"/>	
<b>Training Fatalities</b>	0
<hr style="border-top: 1px dashed black;"/>	
musculo-skeletal	0
sudden death	0

# KHRC Veterinary Report

## Keeneland Summer Meet 2020

<b>Total Starts</b>	428
-----	
Post Race Samples	114
tCO <sub>2</sub>	108
-----	
<b>Veterinary Scratches</b>	11
-----	
Pre-Race	7
On-Track	4
-----	
Soundness related	11
Gate/paddock Injury	0
-----	
<b>KHRC Veterinary List</b>	16
-----	
Scratches	11
Race Injuries/post race lame/test barn lame	3
EIPH	2
Heat distress	0
-----	
<b>Claimed horses</b>	4
-----	
<b>Voided Claims</b>	1
-----	
<b>Ambulance Runs</b>	2
-----	
<b>Racing Fatalities</b>	0
-----	
musculo-skeletal	0
sudden death	0
-----	
<b>Training Fatalities</b>	0
-----	
musculo-skeletal	0
sudden death	0

## Occurrence of Thoroughbred race-related fatalities in Kentucky 2007 to present

Year	Category Non-musculoskeletal: EIPH, Sudden Death, Racing Accident	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total	Starts (Equibase)	Fatalities per 1,000 starts
2007	Musculoskeletal	2	1	5	2	4	3	4	2	2	3	4	5	37	23,180	1.60
	Non-musculoskeletal	0	0	0	0	0	0	0	0	0	1	0	0	1		0.04
	<b>TOTAL</b>	2	1	5	2	4	3	4	2	2	4	4	5	38		<b>1.64</b>
2008	Musculoskeletal	3	0	4	2	3	2	2	2	3	2	4	8	35	22,278	1.57
	Non-musculoskeletal	0	0	0	0	0	0	0	0	0	0	0	0	0		0.00
	<b>TOTAL</b>	3	0	4	2	3	2	2	2	3	2	4	8	35		<b>1.57</b>
2009	Musculoskeletal	3	5	1	2	4	1	2	4	3	3	2	3	33	20,142	1.64
	Non-musculoskeletal	0	0	0	0	0	0	0	0	0	0	0	0	0		0.00
	<b>TOTAL</b>	3	5	1	2	4	1	2	4	3	3	2	3	33		<b>1.64</b>
2010	Musculoskeletal	2	1	2	0	0	2	4	2	1	3	7	1	25	18,167	1.38
	Non-musculoskeletal	0	0	1	1	0	0	0	0	0	0	0	0	2		0.11
	<b>TOTAL</b>	2	1	3	1	0	2	4	2	1	3	7	1	27		<b>1.49</b>
2011	Musculoskeletal	2	2	1	1	2	5	1	3	2	5	3	1	28	18,258	1.53
	Non-musculoskeletal	0	0	0	0	0	0	0	0	1	0	0	0	1		0.05
	<b>TOTAL</b>	2	2	1	1	2	5	1	3	3	5	3	1	29		<b>1.59</b>
2012	Musculoskeletal	0	0	1	2	9	2	0	2	0	3	5	3	27	17,513	1.54
	Non-musculoskeletal	0	0	0	0	0	0	0	0	0	1	0	0	1		0.06
	<b>TOTAL</b>	0	0	1	2	9	2	0	2	0	4	5	3	28		<b>1.60</b>

Year	Category Non-musculoskeletal: EIPH, Sudden Death, Racing Accident	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total	Starts (Equibase)	Fatalities per 1,000 starts
2013	Musculoskeletal	2	2	0	0	1	2	2	5	3	1	1	3	22	16,242	1.35
	Non-musculoskeletal	0	0	0	0	0	0	0	0	1	0	0	0	1		0.06
	<b>TOTAL</b>	2	2	0	0	1	2	1	5	4	1	1	3	23		<b>1.42</b>
2014	Musculoskeletal	2	2	0	0	3	0	1	1	1	3	3	0	16	14,460	1.11
	Non-musculoskeletal	0	0	0	0	0	0	0	0	0	0	0	0	0		0.00
	<b>TOTAL</b>	2	2	0	0	3	0	1	1	1	3	3	0	16		<b>1.11</b>
2015	Musculoskeletal	3	1	1	0	3	2	1	1	3	3	2	1	21	15,514	1.35
	Non-musculoskeletal	0	0	0	0	0	1	0	1	0	0	0	0	2		0.13
	<b>TOTAL</b>	3	1	1	0	3	3	1	2	3	3	3	1	23		<b>1.48</b>
2016	Musculoskeletal	0	2	0	4	4	3	1	0	1	2	5	1	23	16,495	1.39
	Non-musculoskeletal	0	0	0	0	0	2	1	0	0	0	0	0	3		0.18
	<b>TOTAL</b>	0	2	0	4	4	5	2	0	1	2	5	1	26		<b>1.58</b>
2017	Musculoskeletal	1	2	1	2	1	3	0	1	2	3	2	0	18	15,032	1.20
	Non-musculoskeletal	0	0	0	0	0	2	0	0	0	0	0	0	2		0.13
	<b>TOTAL</b>	1	2	1	2	1	5	0	1	2	3	2	0	20		<b>1.33</b>
2018	Musculoskeletal	2	1	0	4	3	1	6	0	7	1	3	4	32	15,049	2.13
	Non-musculoskeletal	0	0	1	0	1	0	0	1	0	0	1	0	4		0.27
	<b>TOTAL</b>	2	1	1	4	4	1	6	1	7	1	4	4	36		<b>2.39</b>
2019	Musculoskeletal	0	1	1	4	1	0	0	1	1	5	2	3	19	15,456	1.23
	Non-musculoskeletal	0	0	0	0	0	2	0	1	0	0	0	0	3		0.19
	<b>TOTAL</b>	0	1	1	4	1	2	0	2	1	5	2	3	22		<b>1.42</b>

Year	Category Non-musculoskeletal: EIPH, Sudden Death, Racing Accident	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total	Starts (Equibase)	Fatalities per 1,000 starts
2020	Musculoskeletal	1	0	1	0	1	0	0						3	6,532	0.46
	Non-musculoskeletal	0	0	3	0	0	0	0						3		0.46
	<b>TOTAL</b>	1	0	4	0	1	0	0						6		0.92

**Kentucky Pari-Mutuel Excise Tax and BIF by Source, FYTD 2021**

**July-2020**

Racetrack/ADW	Thoroughbred Development Fund		Standardbred Development Fund		QH/Other Development Fund		Equine Industry Program		Equine Drug Program		Higher Education Program		Backside Improvement		ADW Host Track Allocation		ADW Purse Allocation		Kentucky General Fund		Total Excise Tax FYTD	
	FYTD	% of Fund Total	FYTD	% of Fund Total	FYTD	% of Fund Total	FYTD	% of Fund Total	FYTD	% of Fund Total	FYTD	% of Fund Total	FYTD	% of Fund Total	FYTD	% of Fund Total	FYTD	% of Fund Total	FYTD	% of Fund Total	FYTD	% of Fund Total
<b>Live</b>	\$ 7,872	0.4%	\$ -	0.0%	\$ -	0.0%	\$ 2,099	0.4%	\$ 1,050	0.4%	\$ 1,050	0.4%	\$ 5,248	100.0%			\$ 3,673	0.4%	\$ 20,991	0.5%		
Bluegrass Downs			\$ -	0.0%			\$ -	0.0%	\$ -	0.0%	\$ -	0.0%					\$ -	0.0%	\$ -	0.0%	\$ -	0.0%
Churchill Downs	\$ -	0.0%					\$ -	0.0%	\$ -	0.0%	\$ -	0.0%	\$ -	0.0%			\$ -	0.0%	\$ -	0.0%	\$ -	0.0%
Ellis Park	\$ 2,814	0.1%					\$ 750	0.1%	\$ 375	0.1%	\$ 375	0.1%	\$ 1,876	35.8%			\$ 1,313	0.1%	\$ 7,505	0.2%	\$ 7,505	0.2%
Keeneland	\$ 5,057	0.3%					\$ 1,349	0.2%	\$ 674	0.2%	\$ 674	0.2%	\$ 3,372	64.2%			\$ 2,360	0.2%	\$ 13,486	0.3%	\$ 13,486	0.3%
Kentucky Downs	\$ -	0.0%					\$ -	0.0%	\$ -	0.0%	\$ -	0.0%	\$ -	0.0%			\$ -	0.0%	\$ -	0.0%	\$ -	0.0%
Oak Grove			\$ -	0.0%			\$ -	0.0%	\$ -	0.0%	\$ -	0.0%					\$ -	0.0%	\$ -	0.0%	\$ -	0.0%
The Red Mile			\$ -	0.0%			\$ -	0.0%	\$ -	0.0%	\$ -	0.0%					\$ -	0.0%	\$ -	0.0%	\$ -	0.0%
Turfway Park	\$ -	0.0%					\$ -	0.0%	\$ -	0.0%	\$ -	0.0%	\$ -	0.0%			\$ -	0.0%	\$ -	0.0%	\$ -	0.0%
<b>Simulcast</b>	\$ 82,640	4.2%	\$ 4,379	1.9%	\$ 1,003	100%	\$ 2,201	0.4%	\$ 4,401	1.6%	\$ 4,401	1.6%					\$ 33,008	3.4%	\$ 132,032	3.0%	\$ 132,032	3.0%
Bluegrass Downs																						
Churchill Downs	\$ -	0.0%	\$ -	0.0%	\$ -	0.0%	\$ -	0.0%	\$ -	0.0%	\$ -	0.0%					\$ -	0.0%	\$ -	0.0%	\$ -	0.0%
Ellis Park	\$ 19,127	1.0%	\$ 2,578	1.1%	\$ 111	11.0%	\$ 545	0.1%	\$ 1,091	0.4%	\$ 1,091	0.4%					\$ 8,181	0.9%	\$ 32,724	0.7%	\$ 32,724	0.7%
Keeneland	\$ 44,884	2.3%			\$ 229	22.8%	\$ 1,128	0.2%	\$ 2,256	0.8%	\$ 2,256	0.8%					\$ 16,917	1.8%	\$ 67,669	1.5%	\$ 67,669	1.5%
Kentucky Downs	\$ 18,629	1.0%	\$ 1,801	0.8%	\$ 664	66.2%	\$ 527	0.1%	\$ 1,055	0.4%	\$ 1,055	0.4%					\$ 7,910	0.8%	\$ 31,640	0.7%	\$ 31,640	0.7%
Oak Grove																						
The Red Mile																						
Turfway Park	\$ -	0.0%	\$ -	0.0%	\$ -	0.0%	\$ -	0.0%	\$ -	0.0%	\$ -	0.0%					\$ -	0.0%	\$ -	0.0%	\$ -	0.0%
<b>ADW</b>															\$ 85,349	100.0%	\$ 85,349	100.0%	\$ 30,123	3.1%	\$ 200,821	4.5%
Amwest															\$ 340	0.4%	\$ 340	0.4%	\$ 120	0.0%	\$ 800	0.0%
eBet															\$ 180	0.2%	\$ 180	0.2%	\$ 64	0.0%	\$ 425	0.0%
Lien Games															\$ 58	0.1%	\$ 58	0.1%	\$ 20	0.0%	\$ 136	0.0%
NYRA															\$ 2,477	2.9%	\$ 2,477	2.9%	\$ 874	0.1%	\$ 5,829	0.1%
TVG															\$ 30,754	36.0%	\$ 30,754	36.0%	\$ 10,854	1.1%	\$ 72,362	1.6%
TwinSpires															\$ 43,254	50.7%	\$ 43,254	50.7%	\$ 15,266	1.6%	\$ 101,773	2.3%
Watch and Wager															\$ 74	0.1%	\$ 74	0.1%	\$ 26	0.0%	\$ 173	0.0%
XpressBet															\$ 8,212	9.6%	\$ 8,212	9.6%	\$ 2,898	0.3%	\$ 19,322	0.4%
<b>HHR</b>	\$ 1,865,140	95.4%	\$ 220,379	98.1%			\$ 541,446	99.2%	\$ 270,723	98.0%	\$ 270,723	98.0%							\$ 892,437	93.0%	\$ 4,060,849	92.0%
Derby City Gaming	\$ 780,836	39.9%					\$ 208,223	38.2%	\$ 104,111	37.7%	\$ 104,111	37.7%							\$ 364,390	38.0%	\$ 1,561,672	35.4%
Ellis Park	\$ 144,098	7.4%					\$ 38,426	7.0%	\$ 19,213	7.0%	\$ 19,213	7.0%							\$ 67,246	7.0%	\$ 288,197	6.5%
Keeneland/Red Mile	\$ 165,284	8.5%	\$ 220,379	98.1%			\$ 88,151	16.2%	\$ 44,076	16.0%	\$ 44,076	16.0%							\$ 99,170	10.3%	\$ 661,136	15.0%
Kentucky Downs	\$ 774,922	39.6%					\$ 206,646	37.9%	\$ 103,323	37.4%	\$ 103,323	37.4%							\$ 361,630	37.7%	\$ 1,549,843	35.1%
<b>Grand Total</b>	\$ 1,955,652	100.0%	\$ 224,757	100.0%	\$ 1,003	100%	\$ 545,746	100.0%	\$ 276,174	100.0%	\$ 276,174	100.0%	\$ 5,248	100.0%	\$ 85,349	100.0%	\$ 85,349	100.0%	\$ 959,241	100.0%	\$ 4,414,692	100.0%



## Kentucky Live and Simulcast Wagering Report July 2020

Live Racing						
	Jul-20	Jul-19	% Change	FYTD - 2021	FYTD - 2020	% Change
<b>Race Dates</b>	16	21	-23.8%	16	21	-23.8%
<b>On Track Handle</b>	\$ 1,049,542	\$ 1,753,564	-40.1%	\$ 1,049,542	\$ 1,753,564	-40.1%
<b>Less: Return to the Public</b>	\$ 843,692	\$ 1,392,303	-39.4%	\$ 843,692	\$ 1,392,303	-39.4%
<b>Less: Breakage</b>	\$ 7,056	\$ 13,019	-45.8%	\$ 7,056	\$ 13,019	-45.8%
<b>Gross Commission</b>	<u>\$ 198,793</u>	<u>\$ 348,241</u>	<u>-42.9%</u>	<u>\$ 198,793</u>	<u>\$ 348,241</u>	<u>-42.9%</u>
TB Development Fund	\$ 7,872	\$ 12,532	-37.2%	\$ 7,872	\$ 12,532	-37.2%
SB Development Fund	\$ -	\$ 1,032	-100.0%	\$ -	\$ 1,032	-100.0%
Equine Industry Program	\$ 2,099	\$ 3,507	-40.1%	\$ 2,099	\$ 3,507	-40.1%
Equine Drug Research	\$ 1,050	\$ 1,754	-40.1%	\$ 1,050	\$ 1,754	-40.1%
Higher Education Fund	\$ 1,050	\$ 1,754	-40.1%	\$ 1,050	\$ 1,754	-40.1%
Ky General Fund	\$ 3,673	\$ 5,931	-38.1%	\$ 3,673	\$ 5,931	-38.1%
<b>Total Kentucky Excise Tax</b>	<u>\$ 15,743</u>	<u>\$ 26,510</u>	<u>-40.6%</u>	<u>\$ 15,743</u>	<u>\$ 26,510</u>	<u>-40.6%</u>
Backside Improvement Fund	\$ 5,248	\$ 8,355	-37.2%	\$ 5,248	\$ 8,355	-37.2%
<b>Net Commission</b>	\$ 177,802	\$ 313,377	-43.3%	\$ 177,802	\$ 313,377	-43.3%
<b>Off Track Handle From:</b>						
Other Kentucky Tracks	\$ 156,890	\$ 708,539	-77.9%	\$ 156,890	\$ 708,539	-77.9%
Kentucky ADW's	\$ 6,038,181	\$ 1,351,105	346.9%	\$ 6,038,181	\$ 1,351,105	346.9%
Other Sources	\$ 56,429,951	\$ 18,255,614	209.1%	\$ 56,429,951	\$ 18,255,614	209.1%
(Non-Ky Tracks, OTB's, Other ADW's)						
<b>All Sources Handle</b>	<u>\$ 63,674,564</u>	<u>\$ 22,068,822</u>	<u>188.5%</u>	<u>\$ 63,674,564</u>	<u>\$ 22,068,822</u>	<u>188.5%</u>
<b>Average Daily Handle - On Track</b>	\$ 65,596	\$ 83,503	-21.4%	\$ 65,596	\$ 83,503	-21.4%
<b>Average Daily Handle - All Sources</b>	\$ 3,979,660	\$ 1,050,896	278.7%	\$ 3,979,660	\$ 1,050,896	278.7%
Simulcast						
<b>Handle by Breed</b>						
Thoroughbred	\$ 4,131,998	\$ 8,318,601	-50.3%	\$ 4,131,998	\$ 8,318,601	-50.3%
Standardbred	\$ 2,486,950	\$ 2,499,738	-0.5%	\$ 2,486,950	\$ 2,499,738	-0.5%
Qtr/Arabian	\$ 67,918	\$ 48,074	41.3%	\$ 67,918	\$ 48,074	41.3%
<b>Total Handle</b>	<u>\$ 6,686,866</u>	<u>\$ 10,866,413</u>	<u>-38.5%</u>	<u>\$ 6,686,866</u>	<u>\$ 10,866,413</u>	<u>-38.5%</u>
TB Development Fund	\$ 82,640	\$ 163,409	-49.4%	\$ 82,640	\$ 163,409	-49.4%
SB Development Fund	\$ 4,379	\$ 4,334	1.0%	\$ 4,379	\$ 4,334	1.0%
QH Development Fund	\$ 1,003	\$ 725	38.4%	\$ 1,003	\$ 725	38.4%
Equine Industry Program*	\$ 2,201	\$ 4,212	-47.8%	\$ 2,201	\$ 4,212	-47.8%
Equine Drug Research	\$ 4,401	\$ 8,423	-47.8%	\$ 4,401	\$ 8,423	-47.8%
Higher Education Fund	\$ 4,401	\$ 8,423	-47.8%	\$ 4,401	\$ 8,423	-47.8%
Ky General Fund	\$ 33,008	\$ 63,175	-47.8%	\$ 33,008	\$ 63,175	-47.8%
<b>Total Kentucky Excise Tax</b>	<u>\$ 132,032</u>	<u>\$ 252,702</u>	<u>-47.8%</u>	<u>\$ 132,032</u>	<u>\$ 252,702</u>	<u>-47.8%</u>

\* Rate is .0005 for Simulcast only

## Monthly Track Report - Bluegrass Downs

July 2020

### Live Racing

	Jul-20	Jul-19	% Change	FYTD - 2021	FYTD - 2020	% Change
<b>Race Dates</b>	0	6	-100.0%	0	6	-100.0%
<b>On Track Handle</b>	\$ -	\$ 5,930	-100.0%	\$ -	\$ 5,930	-100.0%
<b>Less: Return to the Public</b>	\$ -	\$ 4,659	-100.0%	\$ -	\$ 4,659	-100.0%
<b>Less: Breakage</b>	\$ -	\$ 43	-100.0%	\$ -	\$ 43	-100.0%
<b>Gross Commission</b>	\$ -	\$ 1,228	-100.0%	\$ -	\$ 1,228	-100.0%
SB Development Fund	\$ -	\$ 74	-100.0%	\$ -	\$ 74	-100.0%
Equine Industry Program	\$ -	\$ 12	-100.0%	\$ -	\$ 12	-100.0%
Equine Drug Research	\$ -	\$ 6	-100.0%	\$ -	\$ 6	-100.0%
Higher Education Fund	\$ -	\$ 6	-100.0%	\$ -	\$ 6	-100.0%
Ky General Fund	\$ -	\$ 6	-100.0%	\$ -	\$ 6	-100.0%
<b>Total Kentucky Excise Tax (1.75%)</b>	\$ -	\$ 104	-100.0%	\$ -	\$ 104	-100.0%
<b>Net Commission</b>	\$ -	\$ 1,124	-100.0%	\$ -	\$ 1,124	-100.0%
<b>Off Track Handle From:</b>						
Other Kentucky Tracks	\$ -	\$ -		\$ -	\$ -	
Kentucky ADW's	\$ -	\$ -		\$ -	\$ -	
Other Sources	\$ -	\$ -		\$ -	\$ -	
(Non-Ky Tracks, OTB's, Other ADW's)						
<b>All Sources Handle</b>	\$ -	\$ 5,930	-100.0%	\$ -	\$ 5,930	-100.0%
<b>Average Daily Handle - On Track</b>		\$ 988	-100.0%		\$ 988	-100.0%
<b>Average Daily Handle - All Sources</b>		\$ 988	-100.0%		\$ 988	-100.0%

### Simulcast

<b>Handle by Breed</b>						
Thoroughbred	\$ -	\$ 148,152	-100.0%	\$ -	\$ 148,152	-100.0%
Standardbred	\$ -	\$ 7,073	-100.0%	\$ -	\$ 7,073	-100.0%
Qtr/Arabian	\$ -	\$ 302	-100.0%	\$ -	\$ 302	-100.0%
<b>Total Handle</b>	\$ -	\$ 155,527	-100.0%	\$ -	\$ 155,527	-100.0%
TB Development Fund						
SB Development Fund						
QH Development Fund			ONLY TAXED ON LIVE RACING			
Equine Industry Program						
Equine Drug Research						
Higher Education Fund						
Ky General Fund						
<b>Total Kentucky Excise Tax</b>						

## Monthly Track Report - Churchill Downs

July 2020

Live Racing

	Jul-20	Jul-19	% Change	FYTD - 2021	FYTD - 2020	% Change
<b>Race Dates</b>	0	0		0	0	
<b>On Track Handle</b>	\$ -	\$ -		\$ -	\$ -	
<b>Less: Return to the Public</b>	\$ -	\$ -		\$ -	\$ -	
<b>Less: Breakage</b>	\$ -	\$ -		\$ -	\$ -	
<b>Gross Commission</b>	<u>\$ -</u>	<u>\$ -</u>		<u>\$ -</u>	<u>\$ -</u>	
TB Development Fund	\$ -	\$ -		\$ -	\$ -	
Equine Industry Program	\$ -	\$ -		\$ -	\$ -	
Equine Drug Research	\$ -	\$ -		\$ -	\$ -	
Higher Education Fund	\$ -	\$ -		\$ -	\$ -	
Ky General Fund	\$ -	\$ -		\$ -	\$ -	
<b>Total Kentucky Excise Tax (1.5%)</b>	<u>\$ -</u>	<u>\$ -</u>		<u>\$ -</u>	<u>\$ -</u>	
Backside Improvement Fund	\$ -	\$ -		\$ -	\$ -	
<b>Net Commission</b>	\$ -	\$ -		\$ -	\$ -	
<b>Off Track Handle From:</b>						
Other Kentucky Tracks	\$ -	\$ -		\$ -	\$ -	
Kentucky ADW's	\$ -	\$ -		\$ -	\$ -	
Other Sources (Non-Ky Tracks, OTB's, Other ADW's)	\$ -	\$ -		\$ -	\$ -	
<b>All Sources Handle</b>	<u>\$ -</u>	<u>\$ -</u>		<u>\$ -</u>	<u>\$ -</u>	
<b>Average Daily Handle - On Track</b>						
<b>Average Daily Handle - All Sources</b>						

Simulcast

<b>Handle by Breed</b>						
Thoroughbred	\$ -	\$ 2,345,854	-100.0%	\$ -	\$ 2,345,854	-100.0%
Standardbred	\$ -	\$ 1,793	-100.0%	\$ -	\$ 1,793	-100.0%
Qtr/Arabian	\$ -	\$ 13,195	-100.0%	\$ -	\$ 13,195	-100.0%
<b>Total Handle</b>	<u>\$ -</u>	<u>\$ 2,360,842</u>	<u>-100.0%</u>	<u>\$ -</u>	<u>\$ 2,360,842</u>	<u>-100.0%</u>
TB Development Fund	\$ -	\$ 46,917	-100.0%	\$ -	\$ 46,917	-100.0%
SB Development Fund	\$ -	\$ 36	-100.0%	\$ -	\$ 36	-100.0%
QH Development Fund	\$ -	\$ 264	-100.0%	\$ -	\$ 264	-100.0%
Equine Industry Program*	\$ -	\$ 1,180	-100.0%	\$ -	\$ 1,180	-100.0%
Equine Drug Research	\$ -	\$ 2,361	-100.0%	\$ -	\$ 2,361	-100.0%
Higher Education Fund	\$ -	\$ 2,361	-100.0%	\$ -	\$ 2,361	-100.0%
Ky General Fund	\$ -	\$ 17,706	-100.0%	\$ -	\$ 17,706	-100.0%
<b>Total Kentucky Excise Tax (3%)</b>	<u>\$ -</u>	<u>\$ 70,825</u>	<u>-100.0%</u>	<u>\$ -</u>	<u>\$ 70,825</u>	<u>-100.0%</u>

\* Rate is .0005 for Simulcast only

## Monthly Track Report - Ellis Park

July 2020

### Live Racing

	Jul-20	Jul-19	% Change	FYTD - 2021	FYTD - 2020	% Change
<b>Race Dates</b>	11	12	-8.3%	11	12	-8.3%
<b>On Track Handle</b>	\$ 375,232	\$ 1,670,995	-77.5%	\$ 375,232	\$ 1,670,995	-77.5%
<b>Less: Return to the Public</b>	\$ 298,583	\$ 1,328,291	-77.5%	\$ 298,583	\$ 1,328,291	-77.5%
<b>Less: Breakage</b>	\$ 2,970	\$ 12,972	-77.1%	\$ 2,970	\$ 12,972	-77.1%
<b>Gross Commission</b>	<u>\$ 73,679</u>	<u>\$ 329,732</u>	-77.7%	<u>\$ 73,679</u>	<u>\$ 329,732</u>	-77.7%
TB Development Fund	\$ 2,814	\$ 12,532	-77.5%	\$ 2,814	\$ 12,532	-77.5%
Equine Industry Program	\$ 750	\$ 3,342	-77.5%	\$ 750	\$ 3,342	-77.5%
Equine Drug Research	\$ 375	\$ 1,671	-77.5%	\$ 375	\$ 1,671	-77.5%
Higher Education Fund	\$ 375	\$ 1,671	-77.5%	\$ 375	\$ 1,671	-77.5%
Ky General Fund	\$ 1,313	\$ 5,848	-77.5%	\$ 1,313	\$ 5,848	-77.5%
<b>Total Kentucky Excise Tax (1.5%)</b>	<u>\$ 5,628</u>	<u>\$ 25,065</u>	-77.5%	<u>\$ 5,628</u>	<u>\$ 25,065</u>	-77.5%
Backside Improvement Fund	\$ 1,876	\$ 8,355	-77.5%	\$ 1,876	\$ 8,355	-77.5%
<b>Net Commission</b>	\$ 66,174	\$ 296,312	-77.7%	\$ 66,174	\$ 296,312	-77.7%
<b>Off Track Handle From:</b>						
Other Kentucky Tracks	\$ 206,333	\$ 706,051	-70.8%	\$ 206,333	\$ 706,051	-70.8%
Kentucky ADW's	\$ 1,659,627	\$ 1,343,449	23.5%	\$ 1,659,627	\$ 1,343,449	23.5%
Other Sources	\$ 16,566,598	\$ 18,025,387	-8.1%	\$ 16,566,598	\$ 18,025,387	-8.1%
(Non-Ky Tracks, OTB's, Other ADW's)						
<b>All Sources Handle</b>	<u>\$ 18,807,790</u>	<u>\$ 21,745,882</u>	-13.5%	<u>\$ 18,807,790</u>	<u>\$ 21,745,882</u>	-13.5%
<b>Average Daily Handle - On Track</b>	\$ 34,112	\$ 139,250	-75.5%	\$ 34,112	\$ 139,250	-75.5%
<b>Average Daily Handle - All Sources</b>	\$ 1,709,799	\$ 1,812,157	-5.6%	\$ 1,709,799	\$ 1,812,157	-5.6%

### Simulcast

<b>Handle by Breed</b>						
Thoroughbred	\$ 956,360	\$ 1,150,779	-16.9%	\$ 956,360	\$ 1,150,779	-16.9%
Standardbred	\$ 128,897	\$ 107,463	19.9%	\$ 128,897	\$ 107,463	19.9%
Qtr/Arabian	\$ 5,537	\$ 117	4616.2%	\$ 5,537	\$ 117	4616.2%
<b>Total Handle</b>	<u>\$ 1,090,794</u>	<u>\$ 1,258,360</u>	-13.3%	<u>\$ 1,090,794</u>	<u>\$ 1,258,360</u>	-13.3%
TB Development Fund	\$ 19,127	\$ 23,016	-16.9%	\$ 19,127	\$ 23,016	-16.9%
SB Development Fund	\$ 2,578	\$ 2,149	19.9%	\$ 2,578	\$ 2,149	19.9%
QH Development Fund	\$ 111	\$ 2	4616.2%	\$ 111	\$ 2	4616.2%
Equine Industry Program*	\$ 545	\$ 629	-13.3%	\$ 545	\$ 629	-13.3%
Equine Drug Research	\$ 1,091	\$ 1,258	-13.3%	\$ 1,091	\$ 1,258	-13.3%
Higher Education Fund	\$ 1,091	\$ 1,258	-13.3%	\$ 1,091	\$ 1,258	-13.3%
Ky General Fund	\$ 8,181	\$ 9,438	-13.3%	\$ 8,181	\$ 9,438	-13.3%
<b>Total Kentucky Excise Tax (3%)</b>	<u>\$ 32,724</u>	<u>\$ 37,751</u>	-13.3%	<u>\$ 32,724</u>	<u>\$ 37,751</u>	-13.3%

\* Rate is .0005 for Simulcast only

## Monthly Track Report - Keeneland

July 2020

### Live Racing

	Jul-20	Jul-19	% Change	FYTD - 2021	FYTD - 2020	% Change
<b>Race Dates</b>	5	0		5	0	
<b>On Track Handle</b>	\$ 674,310	\$ -		\$ 674,310	\$ -	
<b>Less: Return to the Public</b>	\$ 545,109	\$ -		\$ 545,109	\$ -	
<b>Less: Breakage</b>	\$ 4,086	\$ -		\$ -	\$ -	
<b>Gross Commission</b>	<u>\$ 125,114</u>	<u>\$ -</u>		<u>\$ 129,200</u>	<u>\$ -</u>	
TB Development Fund	\$ 5,057	\$ -		\$ 5,057	\$ -	
Equine Industry Program	\$ 1,349	\$ -		\$ 1,349	\$ -	
Equine Drug Research	\$ 674	\$ -		\$ 674	\$ -	
Higher Education Fund	\$ 674	\$ -		\$ 674	\$ -	
Ky General Fund	\$ 2,360	\$ -		\$ 2,360	\$ -	
<b>Total Kentucky Excise Tax (1.5%)</b>	<u>\$ 10,115</u>	<u>\$ -</u>		<u>\$ 10,115</u>	<u>\$ -</u>	
Backside Improvement Fund	\$ 3,372	\$ -		\$ 3,372	\$ -	
<b>Net Commission</b>	\$ 111,628	\$ -		\$ 115,714	\$ -	
<b>Off Track Handle From:</b>						
Other Kentucky Tracks	\$ 156,890	\$ -		\$ 156,890	\$ -	
Kentucky ADW's	\$ 6,038,181	\$ -		\$ 6,038,181	\$ -	
Other Sources	\$ 56,429,951	\$ -		\$ 56,429,951	\$ -	
(Non-Ky Tracks, OTB's, Other ADW's)						
<b>All Sources Handle</b>	<u>\$ 63,299,332</u>	<u>\$ -</u>		<u>\$ 63,299,332</u>	<u>\$ -</u>	
<b>Average Daily Handle - On Track</b>	\$ 134,862			\$ 134,862		
<b>Average Daily Handle - All Sources</b>	\$ 12,659,866			\$ 12,659,866		

### Simulcast

<b>Handle by Breed</b>						
Thoroughbred	\$ 2,244,208	\$ 2,737,587	-18.0%	\$ 2,244,208	\$ 2,737,587	-18.0%
Standardbred						
Qtr/Arabian	\$ 11,427	\$ 4,410	159.1%	\$ 11,427	\$ 4,410	159.1%
<b>Total Handle</b>	<u>\$ 2,255,635</u>	<u>\$ 2,741,997</u>	-17.7%	<u>\$ 2,255,635</u>	<u>\$ 2,741,997</u>	-17.7%
TB Development Fund	\$ 44,884	\$ 54,752	-18.0%	\$ 44,884	\$ 54,752	-18.0%
SB Development Fund						
QH Development Fund	\$ 229	\$ 88	159.1%	\$ 229	\$ 88	159.1%
Equine Industry Program*	\$ 1,128	\$ 1,371	-17.7%	\$ 1,128	\$ 1,371	-17.7%
Equine Drug Research	\$ 2,256	\$ 2,742	-17.7%	\$ 2,256	\$ 2,742	-17.7%
Higher Education Fund	\$ 2,256	\$ 2,742	-17.7%	\$ 2,256	\$ 2,742	-17.7%
Ky General Fund	\$ 16,917	\$ 20,565	-17.7%	\$ 16,917	\$ 20,565	-17.7%
<b>Total Kentucky Excise Tax (3%)</b>	<u>\$ 67,669</u>	<u>\$ 82,260</u>	-17.7%	<u>\$ 67,669</u>	<u>\$ 82,260</u>	-17.7%

\* Rate is .0005 for Simulcast only

## Monthly Track Report - Kentucky Downs

July 2020

### Live Racing

	Jul-20	Jul-19	% Change	FYTD - 2021	FYTD - 2020	% Change
<b>Race Dates</b>	0	0		0	0	
<b>On Track Handle</b>	\$ -	\$ -		\$ -	\$ -	
<b>Less: Return to the Public</b>	\$ -	\$ -		\$ -	\$ -	
<b>Less: Breakage</b>	\$ -	\$ -		\$ -	\$ -	
<b>Gross Commission</b>	\$ -	\$ -		\$ -	\$ -	
TB Development Fund	\$ -	\$ -		\$ -	\$ -	
Equine Industry Program	\$ -	\$ -		\$ -	\$ -	
Equine Drug Research	\$ -	\$ -		\$ -	\$ -	
Higher Education Fund	\$ -	\$ -		\$ -	\$ -	
Ky General Fund	\$ -	\$ -		\$ -	\$ -	
<b>Total Kentucky Excise Tax (1.5%)</b>	\$ -	\$ -		\$ -	\$ -	
Backside Improvement Fund	\$ -	\$ -		\$ -	\$ -	
<b>Net Commission</b>	\$ -	\$ -		\$ -	\$ -	
<b>Off Track Handle From:</b>						
Other Kentucky Tracks	\$ -	\$ -		\$ -	\$ -	
Kentucky ADW's	\$ -	\$ -		\$ -	\$ -	
Other Sources	\$ -	\$ -		\$ -	\$ -	
(Non-Ky Tracks, OTB's, Other ADW's)						
<b>All Sources Handle</b>	\$ -	\$ -		\$ -	\$ -	
 <b>Average Daily Handle - On Track</b>						
<b>Average Daily Handle - All Sources</b>						

### Simulcast

<b>Handle by Breed</b>						
Thoroughbred	\$ 931,431	\$ 899,166	3.6%	\$ 931,431	\$ 899,166	3.6%
Standardbred	\$ 90,039	\$ 64,161	40.3%	\$ 90,039	\$ 64,161	40.3%
Qtr/Arabian	\$ 33,184	\$ 13,923	138.3%	\$ 33,184	\$ 13,923	138.3%
<b>Total Handle</b>	\$ 1,054,654	\$ 977,250	7.9%	\$ 1,054,654	\$ 977,250	7.9%
TB Development Fund	\$ 18,629	\$ 17,983	3.6%	\$ 18,629	\$ 17,983	3.6%
SB Development Fund	\$ 1,801	\$ 1,283	40.3%	\$ 1,801	\$ 1,283	40.3%
QH Development Fund	\$ 664	\$ 278	138.3%	\$ 664	\$ 278	138.3%
Equine Industry Program*	\$ 527	\$ 489	7.9%	\$ 527	\$ 489	7.9%
Equine Drug Research	\$ 1,055	\$ 977	7.9%	\$ 1,055	\$ 977	7.9%
Higher Education Fund	\$ 1,055	\$ 977	7.9%	\$ 1,055	\$ 977	7.9%
Ky General Fund	\$ 7,910	\$ 7,329	7.9%	\$ 7,910	\$ 7,329	7.9%
<b>Total Kentucky Excise Tax (3%)</b>	\$ 31,640	\$ 29,317	7.9%	\$ 31,640	\$ 29,317	7.9%

\* Rate is .0005 for Simulcast only

## Monthly Track Report - Oak Grove

July 2020

Live Racing

	Jul-20	Jul-19	% Change	FYTD - 2021	FYTD - 2020	% Change
<b>Race Dates</b>	0	0		0	0	
<b>On Track Handle</b>	\$ -	\$ -		\$ -	\$ -	
<b>Less: Return to the Public</b>	\$ -	\$ -		\$ -	\$ -	
<b>Less: Breakage</b>	\$ -	\$ -		\$ -	\$ -	
<b>Gross Commission</b>	\$ -	\$ -		\$ -	\$ -	
SB Development Fund	\$ -	\$ -		\$ -	\$ -	
Equine Industry Program	\$ -	\$ -		\$ -	\$ -	
Equine Drug Research	\$ -	\$ -		\$ -	\$ -	
Higher Education Fund	\$ -	\$ -		\$ -	\$ -	
Ky General Fund	\$ -	\$ -		\$ -	\$ -	
<b>Total Kentucky Excise Tax (1.75%)</b>	\$ -	\$ -		\$ -	\$ -	
<b>Net Commission</b>	\$ -	\$ -		\$ -	\$ -	
<b>Off Track Handle From:</b>						
Other Kentucky Tracks	\$ -	\$ -		\$ -	\$ -	
Kentucky ADW's	\$ -	\$ -		\$ -	\$ -	
Other Sources	\$ -	\$ -		\$ -	\$ -	
(Non-Ky Tracks, OTB's, Other ADW's)						
<b>All Sources Handle</b>	\$ -	\$ -		\$ -	\$ -	
<b>Average Daily Handle - On Track</b>						
<b>Average Daily Handle - All Sources</b>						

Simulcast

**Handle by Breed**

Thoroughbred	\$ -	\$ -		\$ -	\$ -	
Standardbred	\$ -	\$ -		\$ -	\$ -	
Qtr/Arabian	\$ -	\$ -		\$ -	\$ -	
<b>Total Handle</b>	\$ -	\$ -		\$ -	\$ -	
TB Development Fund						
SB Development Fund						
QH Development Fund						
Equine Industry Program						
Equine Drug Research						
Higher Education Fund						
Ky General Fund						
<b>Total Kentucky Excise Tax</b>						

ONLY TAXED ON LIVE RACING

## Monthly Track Report - The Red Mile

July 2020

### Live Racing

	Jul-20	Jul-19	% Change	FYTD - 2021	FYTD - 2020	% Change
<b>Race Dates</b>	0	3	-100.0%	0	3	-100.0%
<b>On Track Handle</b>	\$ -	\$ 76,639	-100.0%	\$ -	\$ 76,639	-100.0%
<b>Less: Return to the Public</b>	\$ -	\$ 59,353	-100.0%	\$ -	\$ 59,353	-100.0%
<b>Less: Breakage</b>	\$ -	\$ 4	-100.0%	\$ -	\$ 4	-100.0%
<b>Gross Commission</b>	\$ -	\$ 17,282	-100.0%	\$ -	\$ 17,282	-100.0%
SB Development Fund	\$ -	\$ 958	-100.0%	\$ -	\$ 958	-100.0%
Equine Industry Program	\$ -	\$ 153	-100.0%	\$ -	\$ 153	-100.0%
Equine Drug Research	\$ -	\$ 77	-100.0%	\$ -	\$ 77	-100.0%
Higher Education Fund	\$ -	\$ 77	-100.0%	\$ -	\$ 77	-100.0%
Ky General Fund	\$ -	\$ 77	-100.0%	\$ -	\$ 77	-100.0%
<b>Total Kentucky Excise Tax (1.75%)</b>	\$ -	\$ 1,341	-100.0%	\$ -	\$ 1,341	-100.0%
<b>Net Commission</b>	\$ -	\$ 15,940	-100.0%	\$ -	\$ 15,940	-100.0%
<b>Off Track Handle From:</b>						
Other Kentucky Tracks	\$ -	\$ 2,488	-100.0%	\$ -	\$ 2,488	-100.0%
Kentucky ADW's	\$ -	\$ 7,656	-100.0%	\$ -	\$ 7,656	-100.0%
Other Sources	\$ -	\$ 230,227	-100.0%	\$ -	\$ 230,227	-100.0%
<small>(Non-Ky Tracks, OTB's, Other ADW's)</small>						
<b>All Sources Handle</b>	\$ -	\$ 317,010	-100.0%	\$ -	\$ 317,010	-100.0%
<b>Average Daily Handle - On Track</b>		\$ 25,546	-100.0%		\$ 25,546	-100.0%
<b>Average Daily Handle - All Sources</b>		\$ 105,670	-100.0%		\$ 105,670	-100.0%

### Simulcast

<b>Handle by Breed</b>						
Thoroughbred	\$ -	\$ -		\$ -	\$ -	
Standardbred	\$ 2,268,014	\$ 2,275,958	-0.3%	\$ 2,268,014	\$ 2,275,958	-0.3%
Qtr/Arabian	\$ 17,770	\$ 11,537	54.0%	\$ 17,770	\$ 11,537	54.0%
<b>Total Handle</b>	\$ 2,285,784	\$ 2,287,495	-0.1%	\$ 2,285,784	\$ 2,287,495	-0.1%
TB Development Fund						
SB Development Fund						
QH Development Fund			ONLY TAXED ON LIVE RACING			
Equine Industry Program						
Equine Drug Research						
Higher Education Fund						
Ky General Fund						
<b>Total Kentucky Excise Tax</b>						

## Monthly Track Report - Turfway

July 2020

### Live Racing

	Jul-20	Jul-19	% Change	FYTD - 2021	FYTD - 2020	% Change
<b>Race Dates</b>	0	0		0	0	
<b>On Track Handle</b>	\$ -	\$ -		\$ -	\$ -	
<b>Less: Return to the Public</b>	\$ -	\$ -		\$ -	\$ -	
<b>Less: Breakage</b>	\$ -	\$ -		\$ -	\$ -	
<b>Gross Commission</b>	\$ -	\$ -		\$ -	\$ -	
TB Development Fund	\$ -	\$ -		\$ -	\$ -	
Equine Industry Program	\$ -	\$ -		\$ -	\$ -	
Equine Drug Research	\$ -	\$ -		\$ -	\$ -	
Higher Education Fund	\$ -	\$ -		\$ -	\$ -	
Ky General Fund	\$ -	\$ -		\$ -	\$ -	
<b>Total Kentucky Excise Tax (1.5%)</b>	\$ -	\$ -		\$ -	\$ -	
Backside Improvement Fund	\$ -	\$ -		\$ -	\$ -	
<b>Net Commission</b>	\$ -	\$ -		\$ -	\$ -	
<b>Off Track Handle From:</b>						
Other Kentucky Tracks	\$ -	\$ -		\$ -	\$ -	
Kentucky ADW's	\$ -	\$ -		\$ -	\$ -	
Other Sources	\$ -	\$ -		\$ -	\$ -	
(Non-Ky Tracks, OTB's, Other ADW's)						
<b>All Sources Handle</b>	\$ -	\$ -		\$ -	\$ -	
 <b>Average Daily Handle - On Track</b>						
<b>Average Daily Handle - All Sources</b>						

### Simulcast

<b>Handle by Breed</b>						
Thoroughbred	\$ -	\$ 1,037,063	-100.0%	\$ -	\$ 1,037,063	-100.0%
Standardbred	\$ -	\$ 43,290	-100.0%	\$ -	\$ 43,290	-100.0%
Qtr/Arabian	\$ -	\$ 4,590	-100.0%	\$ -	\$ 4,590	-100.0%
<b>Total Handle</b>	\$ -	\$ 1,084,943	-100.0%	\$ -	\$ 1,084,943	-100.0%
TB Development Fund	\$ -	\$ 20,741	-100.0%	\$ -	\$ 20,741	-100.0%
SB Development Fund	\$ -	\$ 866	-100.0%	\$ -	\$ 866	-100.0%
QH Development Fund	\$ -	\$ 92	-100.0%	\$ -	\$ 92	-100.0%
Equine Industry Program*	\$ -	\$ 542	-100.0%	\$ -	\$ 542	-100.0%
Equine Drug Research	\$ -	\$ 1,085	-100.0%	\$ -	\$ 1,085	-100.0%
Higher Education Fund	\$ -	\$ 1,085	-100.0%	\$ -	\$ 1,085	-100.0%
Ky General Fund	\$ -	\$ 8,137	-100.0%	\$ -	\$ 8,137	-100.0%
<b>Total Kentucky Excise Tax (3%)</b>	\$ -	\$ 32,548	-100.0%	\$ -	\$ 32,548	-100.0%

\* Rate is .0005 for Simulcast only

## Kentucky Resident Advance Deposit Wagering Report July, 2020

	Jul-20	Jul-19	% Change	FYTD-2021	FYTD-2020	% Change
Total In-State Handle	\$ 7,697,808	\$ 1,351,105	469.7%	\$ 7,697,808	\$ 1,351,105	469.7%
Total Out-of State Handle	\$ 32,466,295	\$ 18,469,977	75.8%	\$ 32,466,295	\$ 18,469,977	75.8%
<b>Total Handle (TH)</b>	<b>\$ 40,164,103</b>	<b>\$ 19,821,082</b>	<b>102.6%</b>	<b>\$ 40,164,103</b>	<b>\$ 19,821,082</b>	<b>102.6%</b>
Host Track (42.5% Allocation)	\$ 85,349	\$ 42,120	102.6%	\$ 85,349	\$ 42,120	102.6%
Purse (42.5% Allocation)	\$ 85,349	\$ 42,120	102.6%	\$ 85,349	\$ 42,120	102.6%
KY General Fund (15% Allocation)	\$ 30,123	\$ 14,866	102.6%	\$ 30,123	\$ 14,866	102.6%
<b>Total KY Excise Tax (.05% of TH)</b>	<b>\$ 200,821</b>	<b>\$ 99,105</b>	<b>102.6%</b>	<b>\$ 200,821</b>	<b>\$ 99,105</b>	<b>102.6%</b>



**Kentucky Resident Advance Deposit Wagering Report for July 2020**

<b>AmWest Entertainment</b>	<b>% of Total</b>	<b>Jul-20</b>	<b>Jul-19</b>	<b>% Change</b>	<b>% of Total</b>	<b>FYTD-2021</b>	<b>FYTD-2020</b>	<b>% Change</b>
Total In-State Handle	0.21%	\$ 16,176	\$ 1,643	884.5%	0.21%	\$ 16,176	\$ 1,643	884.5%
Total Out-of State Handle	0.44%	\$ 143,727	\$ 76,984	86.7%	0.44%	\$ 143,727	\$ 76,984	86.7%
<b>Total Handle (TH)</b>	<b>0.40%</b>	<b>\$ 159,903</b>	<b>\$ 78,627</b>	<b>103.4%</b>	<b>0.40%</b>	<b>\$ 159,903</b>	<b>\$ 78,627</b>	<b>103.4%</b>
Host Track (42.5% Allocation)		\$ 340	\$ 167	103.4%		\$ 340	\$ 167	103.4%
Purse (42.5% Allocation)		\$ 340	\$ 167	103.4%		\$ 340	\$ 167	103.4%
KY General Fund (15% Allocation)		\$ 120	\$ 59	103.4%		\$ 120	\$ 59	103.4%
<b>Total KY Excise Tax (.05% of TH)</b>		<b>\$ 800</b>	<b>\$ 393</b>	<b>103.4%</b>		<b>\$ 800</b>	<b>\$ 393</b>	<b>103.4%</b>

<b>eBet</b>	<b>% of Total</b>	<b>Jul-20</b>	<b>Jul-19</b>	<b>% Change</b>	<b>% of Total</b>	<b>FYTD-2021</b>	<b>FYTD-2020</b>	<b>% Change</b>
Total In-State Handle	0.09%	\$ 7,105	\$ 2,353	201.9%	0.09%	\$ 7,105	\$ 2,353	201.9%
Total Out-of State Handle	0.24%	\$ 77,822	\$ 70,261	10.8%	0.24%	\$ 77,822	\$ 70,261	10.8%
<b>Total Handle (TH)</b>	<b>0.21%</b>	<b>\$ 84,927</b>	<b>\$ 72,614</b>	<b>17.0%</b>	<b>0.21%</b>	<b>\$ 84,927</b>	<b>\$ 72,614</b>	<b>17.0%</b>
Host Track (42.5% Allocation)		\$ 180	\$ 154	17.0%		\$ 180	\$ 154	17.0%
Purse (42.5% Allocation)		\$ 180	\$ 154	17.0%		\$ 180	\$ 154	17.0%
KY General Fund (15% Allocation)		\$ 64	\$ 54	17.0%		\$ 64	\$ 54	17.0%
<b>Total KY Excise Tax (.05% of TH)</b>		<b>\$ 425</b>	<b>\$ 363</b>	<b>17.0%</b>		<b>\$ 425</b>	<b>\$ 363</b>	<b>17.0%</b>

<b>Lien Games</b>	<b>% of Total</b>	<b>Jul-20</b>	<b>Jul-19</b>	<b>% Change</b>	<b>% of Total</b>	<b>FYTD-2021</b>	<b>FYTD-2020</b>	<b>% Change</b>
Total In-State Handle	0.03%	\$ 2,410	\$ 897	168.6%	0.03%	\$ 2,410	\$ 897	168.6%
Total Out-of State Handle	0.08%	\$ 24,692	\$ 24,319	1.5%	0.08%	\$ 24,692	\$ 24,319	1.5%
<b>Total Handle (TH)</b>	<b>0.07%</b>	<b>\$ 27,102</b>	<b>\$ 25,216</b>	<b>7.5%</b>	<b>0.07%</b>	<b>\$ 27,102</b>	<b>\$ 25,216</b>	<b>7.5%</b>
Host Track (42.5% Allocation)		\$ 58	\$ 54	7.5%		\$ 58	\$ 54	7.5%
Purse (42.5% Allocation)		\$ 58	\$ 54	7.5%		\$ 58	\$ 54	7.5%
KY General Fund (15% Allocation)		\$ 20	\$ 19	7.5%		\$ 20	\$ 19	7.5%
<b>Total KY Excise Tax (.05% of TH)</b>		<b>\$ 136</b>	<b>\$ 126</b>	<b>7.5%</b>		<b>\$ 136</b>	<b>\$ 126</b>	<b>7.5%</b>

**Kentucky Resident Advance Deposit Wagering Report for July 2020 (Cont)**

<b>NYRabets</b>	<b>% of Total</b>	<b>Jul-20</b>	<b>Jul-19</b>	<b>% Change</b>	<b>% of Total</b>	<b>FYTD-2021</b>	<b>FYTD-2020</b>	<b>% Change</b>
Total In-State Handle	2.40%	\$ 184,768	\$ 20,219	813.8%	2.40%	\$ 184,768	\$ 20,219	813.8%
Total Out-of State Handle	3.02%	\$ 981,097	\$ 506,607	93.7%	3.02%	\$ 981,097	\$ 506,607	93.7%
<b>Total Handle (TH)</b>	<b>2.90%</b>	<b>\$ 1,165,866</b>	<b>\$ 526,826</b>	<b>121.3%</b>	<b>2.90%</b>	<b>\$ 1,165,866</b>	<b>\$ 526,826</b>	<b>121.3%</b>
Host Track (42.5% Allocation)		\$ 2,477	\$ 1,120	121.3%		\$ 2,477	\$ 1,120	121.3%
Purse (42.5% Allocation)		\$ 2,477	\$ 1,120	121.3%		\$ 2,477	\$ 1,120	121.3%
KY General Fund (15% Allocation)		\$ 874	\$ 395	121.3%		\$ 874	\$ 395	121.3%
<b>Total KY Excise Tax (.05% of TH)</b>		<b>\$ 5,829</b>	<b>\$ 2,634</b>	<b>121.3%</b>		<b>\$ 5,829</b>	<b>\$ 2,634</b>	<b>121.3%</b>

<b>TVG</b>	<b>% of Total</b>	<b>Jul-20</b>	<b>Jul-19</b>	<b>% Change</b>	<b>% of Total</b>	<b>FYTD-2021</b>	<b>FYTD-2020</b>	<b>% Change</b>
Total In-State Handle	30.58%	\$ 2,353,753	\$ 335,757	601.0%	30.58%	\$ 2,353,753	\$ 335,757	601.0%
Total Out-of State Handle	37.33%	\$ 12,118,745	\$ 6,172,060	96.3%	37.33%	\$ 12,118,745	\$ 6,172,060	96.3%
<b>Total Handle (TH)</b>	<b>36.03%</b>	<b>\$ 14,472,498</b>	<b>\$ 6,507,817</b>	<b>122.4%</b>	<b>36.03%</b>	<b>\$ 14,472,498</b>	<b>\$ 6,507,817</b>	<b>122.4%</b>
Host Track (42.5% Allocation)		\$ 30,754	\$ 13,829	122.4%		\$ 30,754	\$ 13,829	122.4%
Purse (42.5% Allocation)		\$ 30,754	\$ 13,829	122.4%		\$ 30,754	\$ 13,829	122.4%
KY General Fund (15% Allocation)		\$ 10,854	\$ 4,881	122.4%		\$ 10,854	\$ 4,881	122.4%
<b>Total KY Excise Tax (.05% of TH)</b>		<b>\$ 72,362</b>	<b>\$ 32,539</b>	<b>122.4%</b>		<b>\$ 72,362</b>	<b>\$ 32,539</b>	<b>122.4%</b>

<b>TwinSpires</b>	<b>% of Total</b>	<b>Jul-20</b>	<b>Jul-19</b>	<b>% Change</b>	<b>% of Total</b>	<b>FYTD-2021</b>	<b>FYTD-2020</b>	<b>% Change</b>
Total In-State Handle	55.80%	\$ 4,295,027	\$ 758,069	466.6%	55.80%	\$ 4,295,027	\$ 758,069	466.6%
Total Out-of State Handle	49.47%	\$ 16,059,658	\$ 9,397,970	70.9%	49.47%	\$ 16,059,658	\$ 9,397,970	70.9%
<b>Total Handle (TH)</b>	<b>50.68%</b>	<b>\$ 20,354,686</b>	<b>\$ 10,156,039</b>	<b>100.4%</b>	<b>50.68%</b>	<b>\$ 20,354,686</b>	<b>\$ 10,156,039</b>	<b>100.4%</b>
Host Track (42.5% Allocation)		\$ 43,254	\$ 21,582	100.4%		\$ 43,254	\$ 21,582	100.4%
Purse (42.5% Allocation)		\$ 43,254	\$ 21,582	100.4%		\$ 43,254	\$ 21,582	100.4%
KY General Fund (15% Allocation)		\$ 15,266	\$ 7,617	100.4%		\$ 15,266	\$ 7,617	100.4%
<b>Total KY Excise Tax (.05% of TH)</b>		<b>\$ 101,773</b>	<b>\$ 50,780</b>	<b>100.4%</b>		<b>\$ 101,773</b>	<b>\$ 50,780</b>	<b>100.4%</b>

**Kentucky Resident Advance Deposit Wagering Report for July 2020 (Cont)**

<b>Watch and Wager</b>	<b>% of Total</b>	<b>Jul-20</b>	<b>Jul-19</b>	<b>% Change</b>	<b>% of Total</b>	<b>FYTD-2021</b>	<b>FYTD-2020</b>	<b>% Change</b>
Total In-State Handle	0.07%	\$ 5,267	\$ 800	558.3%	0.07%	\$ 5,267	\$ 800	558.3%
Total Out-of State Handle	0.09%	\$ 29,371	\$ 37,230	-21.1%	0.09%	\$ 29,371	\$ 37,230	-21.1%
<b>Total Handle (TH)</b>	<b>0.09%</b>	<b>\$ 34,638</b>	<b>\$ 38,030</b>	<b>-8.9%</b>	<b>0.09%</b>	<b>\$ 34,638</b>	<b>\$ 38,030</b>	<b>-8.9%</b>
Host Track (42.5% Allocation)		\$ 74	\$ 81	-8.9%		\$ 74	\$ 81	-8.9%
Purse (42.5% Allocation)		\$ 74	\$ 81	-8.9%		\$ 74	\$ 81	-8.9%
KY General Fund (15% Allocation)		\$ 26	\$ 29	-8.9%		\$ 26	\$ 29	-8.9%
<b>Total KY Excise Tax (.05% of TH)</b>		<b>\$ 173</b>	<b>\$ 190</b>	<b>-8.9%</b>		<b>\$ 173</b>	<b>\$ 190</b>	<b>-8.9%</b>

<b>Xpressbet</b>	<b>% of Total</b>	<b>Jul-20</b>	<b>Jul-19</b>	<b>% Change</b>	<b>% of Total</b>	<b>FYTD-2021</b>	<b>FYTD-2020</b>	<b>% Change</b>
Total In-State Handle	10.83%	\$ 833,303	\$ 231,367	260.2%	10.83%	\$ 833,303	\$ 231,367	260.2%
Total Out-of State Handle	9.34%	\$ 3,031,182	\$ 2,184,546	38.8%	9.34%	\$ 3,031,182	\$ 2,184,546	38.8%
<b>Total Handle (TH)</b>	<b>9.62%</b>	<b>\$ 3,864,485</b>	<b>\$ 2,415,913</b>	<b>60.0%</b>	<b>9.62%</b>	<b>\$ 3,864,485</b>	<b>\$ 2,415,913</b>	<b>60.0%</b>
Host Track (42.5% Allocation)		\$ 8,212	\$ 5,134	60.0%		\$ 8,212	\$ 5,134	60.0%
Purse (42.5% Allocation)		\$ 8,212	\$ 5,134	60.0%		\$ 8,212	\$ 5,134	60.0%
KY General Fund (15% Allocation)		\$ 2,898	\$ 1,812	60.0%		\$ 2,898	\$ 1,812	60.0%
<b>Total KY Excise Tax (.05% of TH)</b>		<b>\$ 19,322</b>	<b>\$ 12,080</b>	<b>60.0%</b>		<b>\$ 19,322</b>	<b>\$ 12,080</b>	<b>60.0%</b>

## Kentucky Historical Horse Racing Report for July, 2020

	July -20	July - 19	% Change	FYTD - 2021	FYTD - 2020	% Change
<b>Total Handle</b>	\$ 270,723,237	\$ 219,348,032	23.4%	\$ 270,723,237	\$ 219,348,032	23.4%
Less: Returned to Public	\$ 247,068,091	\$ 201,132,370	22.8%	\$ 247,068,091	\$ 201,132,370	22.8%
Less: Breakage	\$ 85,826	\$ 110,216		\$ 85,826	\$ 110,216	
Less: Settlement	\$ 846,641	\$ (296,321)		\$ 846,641	\$ (296,321)	
<b>Gross Commission</b>	<u>\$ 22,722,679</u>	<u>\$ 18,401,767</u>	23.5%	<u>\$ 22,722,679</u>	<u>\$ 18,401,767</u>	23.5%
TB Development Fund (Note 1)	\$ 1,865,140	\$ 1,528,875	22.0%	\$ 1,865,140	\$ 1,528,875	22.0%
SB Development Fund (Note 2)	\$ 220,379	\$ 154,980	42.2%	\$ 220,379	\$ 154,980	42.2%
Equine Industry Program (Note 3)	\$ 541,446	\$ 438,696	23.4%	\$ 541,446	\$ 438,696	23.4%
Equine Drug Research (Note 4)	\$ 270,723	\$ 219,348	23.4%	\$ 270,723	\$ 219,348	23.4%
Higher Education Fund (Note 4)	\$ 270,723	\$ 219,348	23.4%	\$ 270,723	\$ 219,348	23.4%
Kentucky General Fund (Note 5)	\$ 892,437	\$ 728,973	22.4%	\$ 892,437	\$ 728,973	22.4%
<b>Total KY Excise Tax (1.5% of Total Handle)</b>	<u>\$ 4,060,849</u>	<u>\$ 3,290,220</u>	23.4%	<u>\$ 4,060,849</u>	<u>\$ 3,290,220</u>	23.4%
% of Gross Commission	17.9%	17.9%		17.9%	17.9%	
<b>Net Commission + Breakage</b>	\$ 18,747,657	\$ 15,221,762	23.2%	\$ 18,747,657	\$ 15,221,762	23.2%
% of Total Handle	6.93%	6.94%		6.93%	6.94%	
Number of HHR Terminals	\$ 1,830	\$ 2,834	-35.4%	1,830	2,834	-35.4%
Average Daily Handle	\$ 2,183,252	\$ 1,768,936	23.4%	\$ 8,733,008	\$ 7,075,743	23.4%
Handle/Day/Machine	\$ 1,193	\$ 624	91.1%	\$ 4,772	\$ 2,497	91.1%
Gross Commission / Day / Machine	\$ 100	\$ 52	91.2%	\$ 401	\$ 209	91.2%

	July -20	June - 20	% Change	Since Inception	
Total Handle	\$ 270,723,237	\$ 209,273,727	29.4%	Total Handle	\$ 8,306,363,347
Total Kentucky Excise Tax	\$ 4,060,849	\$ 3,139,106	29.4%	Total Kentucky Excise Tax	\$ 124,595,450
Average Daily Handle	\$ 2,183,252	\$ 2,378,111	-8.2%	Total KY General Fund	\$ 48,765,557

Note 1: Thoroughbred Development Fund receives .75% of TH at KD, EP and DCG. The ratge applies to half of the TH at KRM.

Note 2: The Standardbred Development Fund receives 1.0% of half of the TH at KRM.

Note 3: EIP Fund receives .2% of the TH with a fiscal year cap of \$650,000.

Note 4: EDR and HEF Funds each receive .1% of the TH with a fiscal year cap of \$320,000.

Note 5: Kentucky General Fund receives 1.5% of TH less Notes 1,2,3 and 4.

## Derby City Gaming Historical Horse Racing Report for July, 2020

	July -20	July - 19	% Change	FYTD - 2021	FYTD - 2020	% Change
<b>Total Handle</b>	\$ 104,111,483	\$ 91,879,597	13.3%	\$ 104,111,483	\$ 91,879,597	13.3%
Less: Returned to Public	\$ 94,546,229	\$ 83,445,289	13.3%	\$ 94,546,229	\$ 83,445,289	13.3%
Less: Breakage	\$ -	\$ -		\$ -	\$ -	
Less: Settlement	\$ 281,002	\$ (45,723)		\$ 281,002	\$ (45,723)	
<b>Gross Commission</b>	<u>\$ 9,284,253</u>	<u>\$ 8,480,031</u>	9.5%	<u>\$ 9,284,253</u>	<u>\$ 8,480,031</u>	9.5%
TB Development Fund (Note 1)	\$ 780,836	\$ 689,097	13.3%	\$ 780,836	\$ 689,097	13.3%
SB Development Fund (Note 2)						
Equine Industry Program (Note 3)	\$ 208,223	\$ 183,759	13.3%	\$ 208,223	\$ 183,759	13.3%
Equine Drug Research (Note 4)	\$ 104,111	\$ 91,880	13.3%	\$ 104,111	\$ 91,880	13.3%
Higher Education Fund (Note 4)	\$ 104,111	\$ 91,880	13.3%	\$ 104,111	\$ 91,880	13.3%
Kentucky General Fund (Note 5 )	\$ 364,390	\$ 321,579	13.3%	\$ 364,390	\$ 321,579	13.3%
<b>Total KY Excise Tax (1.5% of Total Handle)</b>	<u>\$ 1,561,672</u>	<u>\$ 1,378,194</u>	13.3%	<u>\$ 1,561,672</u>	<u>\$ 1,378,194</u>	13.3%
% of Gross Commission	16.8%	16.3%		16.8%	16.3%	
<b>Net Commission + Breakage</b>	\$ 7,722,580	\$ 7,101,837	8.7%	\$ 7,722,580	\$ 7,101,837	8.7%
% of Total Handle	7.42%	7.73%		7.42%	7.73%	
Number of HHR Terminals	654	1000	-34.6%	654	1000	-34.6%
Average Daily Handle	\$ 3,358,435	\$ 2,963,858	13.3%	\$ 3,358,435	\$ 2,963,858	13.3%
Handle/Day/Machine	\$ 5,135	\$ 2,964	73.3%	\$ 5,135	\$ 2,964	73.3%
Gross Commission / Day / Machine	\$ 458	\$ 274	67.4%	\$ 458	\$ 274	67.4%

	July -20	June - 20	% Change	Since Inception (September 10, 2018)	
Total Handle	\$ 104,111,483	\$ 87,273,026	19.29%	Total Handle	\$ 1,728,494,815
Total Kentucky Excise Tax	\$ 1,561,672	\$ 1,309,095	19.29%	Total Kentucky Excise Tax	\$ 25,927,422
Average Daily Handle	\$ 3,358,435	\$ 3,794,479	-11.49%	Total KY General Fund	\$ 11,904,422

Note 1: Thoroughbred Development Fund receives .75% of TH at KD, EP and DCG. The ratge applies to half of the TH at KRM.

Note 2: The Standardbred Development Fund receives 1.0% of half of the TH at KRM.

Note 3: EIP Fund receives .2% of the TH with a fiscal year cap of \$650,000.

Note 4: EDR and HEF Funds each receive .1% of the TH with a fiscal year cap of \$320,000.

Note 5: Kentucky General Fund receives 1.5% of TH less Notes 1,2,3 and 4.

## Ellis Park Historical Horse Racing Report for July, 2020

	July -20	July - 19	% Change	FYTD - 2021	FYTD - 2020	% Change
<b>Total Handle</b>	\$ 19,213,127	\$ 9,723,802	97.6%	\$ 19,213,127	\$ 9,723,802	97.6%
Less: Returned to Public	\$ 17,428,856	\$ 8,939,900	95.0%	\$ 17,428,856	\$ 8,939,900	95.0%
Less: Breakage	\$ 1,358	\$ 839		\$ 1,358	\$ 839	
Less: Settlement	\$ 79,233	\$ 12,166		\$ 79,233	\$ 12,166	
<b>Gross Commission</b>	<u>\$ 1,703,679</u>	<u>\$ 770,898</u>	121.0%	<u>\$ 1,703,679</u>	<u>\$ 770,898</u>	121.0%
TB Development Fund (Note 1)	\$ 144,098	\$ 72,929	97.6%	\$ 144,098	\$ 72,929	97.6%
SB Development Fund (Note 2)						
Equine Industry Program (Note 3)	\$ 38,426	\$ 19,448	97.6%	\$ 38,426	\$ 19,448	97.6%
Equine Drug Research (Note 4)	\$ 19,213	\$ 9,724	97.6%	\$ 19,213	\$ 9,724	97.6%
Higher Education Fund (Note 4)	\$ 19,213	\$ 9,724	97.6%	\$ 19,213	\$ 9,724	97.6%
Kentucky General Fund (Note 5)	\$ 67,246	\$ 34,033	97.6%	\$ 67,246	\$ 34,033	97.6%
<b>Total KY Excise Tax (1.5% of Total Handle)</b>	<u>\$ 288,197</u>	<u>\$ 145,857</u>	97.6%	<u>\$ 288,197</u>	<u>\$ 145,857</u>	97.6%
% of Gross Commission	16.9%	18.9%		16.9%	18.9%	
<b>Net Commission + Breakage</b>	\$ 1,416,841	\$ 625,880	126.4%	\$ 1,416,841	\$ 625,880	126.4%
% of Total Handle	7.37%	6.44%		7.37%	6.44%	
Number of HHR Terminals	171	179	-4.5%	171	179	-4.5%
Average Daily Handle	\$ 619,778	\$ 313,671	97.6%	\$ 619,778	\$ 313,671	97.6%
Handle/Day/Machine	\$ 3,624	\$ 1,752	106.8%	\$ 3,624	\$ 1,752	106.8%
Gross Commission / Day / Machine	\$ 321	\$ 139	131.3%	\$ 321	\$ 139	131.3%
	July -20	June - 20	% Change	Since Inception (August 31, 2012)		
Total Handle	\$ 19,213,127	\$ 18,285,581	5.1%	Total Handle	\$ 553,953,876	
Total Kentucky Excise Tax	\$ 288,197	\$ 274,284	5.1%	Total Kentucky Excise Tax	\$ 8,309,308	
Average Daily Handle	\$ 619,778	\$ 795,025	-22.0%	Total KY General Fund	\$ 3,158,601	

Note 1: Thoroughbred Development Fund receives .75% of TH at KD, EP and DCG. The ratge applies to half of the TH at KRM.

Note 2: The Standardbred Developoment Fund receives 1.0% of half of the TH at KRM.

Note 3: EIP Fundreceives .2% of the TH with a fiscal year cap of \$650,000.

Note 4: EDR and HEF Funds each receive .1% of the TH with a fiscal year cap of \$320,000.

Note 5: Kentucky General Fund receives 1.5% of TH less Notes 1,2,3 and 4.

## Kentucky Downs Historical Horse Racing Report for July, 2020

	July -20	July - 19	% Change	FYTD - 2021	FYTD - 2020	% Change
<b>Total Handle</b>	\$ 103,322,880	\$ 86,748,588	19.1%	\$ 103,322,880	\$ 86,748,588	19.1%
Less: Returned to Public	\$ 94,823,770	\$ 80,111,162	18.4%	\$ 94,823,770	\$ 80,111,162	18.4%
Less: Breakage	\$ 22,025	\$ 17,972		\$ 22,025	\$ 17,972	
Less: Settlement	\$ 237,226	\$ (255,132)		\$ 237,226	\$ (255,132)	
<b>Gross Commission</b>	<u>\$ 8,239,858</u>	<u>\$ 6,874,585</u>	19.9%	<u>\$ 8,239,858</u>	<u>\$ 6,874,585</u>	19.9%
TB Development Fund (Note 1)	\$ 774,922	\$ 650,614	19.1%	\$ 774,922	\$ 650,614	19.1%
SB Development Fund (Note 2)						
Equine Industry Program (Note 3)	\$ 206,646	\$ 173,497	19.1%	\$ 206,646	\$ 173,497	19.1%
Equine Drug Research (Note 4)	\$ 103,323	\$ 86,749	19.1%	\$ 103,323	\$ 86,749	19.1%
Higher Education Fund (Note 4)	\$ 103,323	\$ 86,749	19.1%	\$ 103,323	\$ 86,749	19.1%
Kentucky General Fund (Note 5 )	\$ 361,630	\$ 303,620	19.1%	\$ 361,630	\$ 303,620	19.1%
<b>Total KY Excise Tax (1.5% of Total Handle)</b>	<u>\$ 1,549,843</u>	<u>\$ 1,301,229</u>	19.1%	<u>\$ 1,549,843</u>	<u>\$ 1,301,229</u>	19.1%
% of Gross Commission	18.8%	18.9%		18.8%	18.9%	
<b>Net Commission + Breakage</b>	\$ 6,712,040	\$ 5,591,328	20.0%	\$ 6,712,040	\$ 5,591,328	20.0%
% of Total Handle	6.50%	6.45%		6.50%	6.45%	
Number of HHR Terminals	567	753	-24.7%	567	753	-24.7%
Average Daily Handle	\$ 3,332,996	\$ 2,798,342	19.1%	\$ 3,332,996	\$ 2,798,342	19.1%
Handle/Day/Machine	\$ 5,878	\$ 3,716	58.2%	\$ 5,878	\$ 3,716	58.2%
Gross Commission / Day / Machine	\$ 469	\$ 295	59.2%	\$ 469	\$ 295	59.2%

	July -20	June - 20	% Change	Since Inception (September 1, 2011)	
Total Handle	\$ 103,322,880	\$ 75,765,612	36.4%	Total Handle	\$ 4,574,521,817
Total Kentucky Excise Tax	\$ 1,549,843	\$ 1,136,484	36.4%	Total Kentucky Excise Tax	\$ 68,617,827
Average Daily Handle	\$ 3,332,996	\$ 3,443,891	-3.2%	Total KY General Fund	\$ 26,244,537

Note 1: Thoroughbred Development Fund receives .75% of TH at KD, EP and DCG. The ratge applies to half of the TH at KRM.

Note 2: The Standardbred Developoment Fund receives 1.0% of half of the TH at KRM.

Note 3: EIP Fundreceives .2% of the TH with a fiscal year cap of \$650,000.

Note 4: EDR and HEF Funds each receive .1% of the TH with a fiscal year cap of \$320,000.

Note 5: Kentucky General Fund receives 1.5% of TH less Notes 1,2,3 and 4.

## Keeneland/Red Mile Historical Horse Racing Report for July, 2020

	July -20	July - 19	% Change	FYTD - 2021	FYTD - 2020	% Change
<b>Total Handle</b>	\$ 44,075,747	\$ 30,996,045	42.2%	\$ 44,075,747	\$ 30,996,045	42.2%
Less: Returned to Public	\$ 40,269,236	\$ 28,636,020	40.6%	\$ 40,269,236	\$ 28,636,020	40.6%
Less: Breakage	\$ 62,443	\$ 91,405		\$ 62,443	\$ 91,405	
Less: Settlement	\$ 249,179	\$ (7,632)		\$ 249,179	\$ (7,632)	
<b>Gross Commission</b>	<u>\$ 3,494,889</u>	<u>\$ 2,276,253</u>	53.5%	<u>\$ 3,494,889</u>	<u>\$ 2,276,253</u>	53.5%
TB Development Fund (Note 1)	\$ 165,284	\$ 116,235	42.2%	\$ 165,284	\$ 116,235	42.2%
SB Development Fund (Note 2)	\$ 220,379	\$ 154,980	42.2%	\$ 220,379	\$ 154,980	42.2%
Equine Industry Program (Note 3)	\$ 88,151	\$ 61,992	42.2%	\$ 88,151	\$ 61,992	42.2%
Equine Drug Research (Note 4)	\$ 44,076	\$ 30,996	42.2%	\$ 44,076	\$ 30,996	42.2%
Higher Education Fund (Note 4)	\$ 44,076	\$ 30,996	42.2%	\$ 44,076	\$ 30,996	42.2%
Kentucky General Fund (Note 5 )	\$ 99,170	\$ 69,741	42.2%	\$ 99,170	\$ 69,741	42.2%
<b>Total KY Excise Tax (1.5% of Total Handle)</b>	<u>\$ 661,136</u>	<u>\$ 464,941</u>	42.2%	<u>\$ 661,136</u>	<u>\$ 464,941</u>	42.2%
% of Gross Commission	18.9%	20.4%		18.9%	20.4%	
<b>Net Commission + Breakage</b>	\$ 2,896,196	\$ 1,902,717	52.2%	\$ 2,896,196	\$ 1,902,717	52.2%
% of Total Handle	6.57%	6.14%		6.57%	6.14%	
Number of HHR Terminals	438	902	-51.4%	438	902	-51.4%
Average Daily Handle	\$ 1,421,798	\$ 999,872	42.2%	\$ 1,421,798	\$ 999,872	42.2%
Handle/Day/Machine	\$ 3,246	\$ 1,109	192.8%	\$ 3,246	\$ 1,109	192.8%
Gross Commission / Day / Machine	\$ 257	\$ 81	216.2%	\$ 257	\$ 81	216.2%

	July -20	June - 20	% Change	Since Inception (September 8, 2015)	
Total Handle	\$ 44,075,747	\$ 27,949,509	57.7%	Total Handle	\$ 1,449,392,840
Total Kentucky Excise Tax	\$ 661,136	\$ 419,243	57.7%	Total Kentucky Excise Tax	\$ 21,740,893
Average Daily Handle	\$ 1,421,798	\$ 1,397,475	1.7%	Total KY General Fund	\$ 7,457,997

Note 1: Thoroughbred Development Fund receives .75% of TH at KD, EP and DCG. The ratge applies to half of the TH at KRM.

Note 2: The Standardbred Developoment Fund receives 1.0% of half of the TH at KRM.

Note 3: EIP Fundreceives .2% of the TH with a fiscal year cap of \$650,000.

Note 4: EDR and HEF Funds each receive .1% of the TH with a fiscal year cap of \$320,000.

Note 5: Kentucky General Fund receives 1.5% of TH less Notes 1,2,3 and 4.